

Algebraic Structures in Natural Language

Edited by

Shalom Lappin

Queen Mary University of London

Jean-Philippe Bernardy

University of Gothenburg



CRC Press

Taylor & Francis Group

Boca Raton London New York

CRC Press is an imprint of the
Taylor & Francis Group, an **informa** business

First edition published 2023
by CRC Press
6000 Broken Sound Parkway NW, Suite 300, Boca Raton, FL 33487-2742

and by CRC Press
4 Park Square, Milton Park, Abingdon, Oxon, OX14 4RN

CRC Press is an imprint of Taylor & Francis Group, LLC

© 2023 selection and editorial matter, Shalom Lappin and Jean-Philippe Bernardy; individual chapters, the contributors

Reasonable efforts have been made to publish reliable data and information, but the author and publisher cannot assume responsibility for the validity of all materials or the consequences of their use. The authors and publishers have attempted to trace the copyright holders of all material reproduced in this publication and apologize to copyright holders if permission to publish in this form has not been obtained. If any copyright material has not been acknowledged please write and let us know so we may rectify in any future reprint.

Except as permitted under U.S. Copyright Law, no part of this book may be reprinted, reproduced, transmitted, or utilized in any form by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying, microfilming, and recording, or in any information storage or retrieval system, without written permission from the publishers.

For permission to photocopy or use material electronically from this work, access www.copyright.com or contact the Copyright Clearance Center, Inc. (CCC), 222 Rosewood Drive, Danvers, MA 01923, 978-750-8400. For works that are not available on CCC please contact mpkbookspermissions@tandf.co.uk

Trademark notice: Product or corporate names may be trademarks or registered trademarks and are used only for identification and explanation without intent to infringe.

Library of Congress Cataloging-in-Publication Data

ISBN: 978-1-032-06654-7 (hbk)

ISBN: 978-1-032-07104-6 (pbk)

ISBN: 978-1-003-20538-8 (ebk)

DOI: 10.1201/9781003205388

Typeset in Latin Roman
by KnowledgeWorks Global Ltd

Publisher's note: This book has been prepared from camera-ready copy provided by the authors.

Contents

Preface	ix
Contributors	xi
Introduction	xv
CHAPTER 1 ■ On the Proper Role of Linguistically Oriented Deep Net Analysis in Linguistic Theorising	1
<hr/>	
MARCO BARONI	
CHAPTER 2 ■ What Artificial Neural Networks Can Tell Us about Human Language Acquisition	17
<hr/>	
ALEX WARSTADT and SAMUEL R. BOWMAN	
CHAPTER 3 ■ Grammar through Spontaneous Order	61
<hr/>	
NICK CHATER and MORTEN H. CHRISTIANSEN	
CHAPTER 4 ■ Language is Acquired in Interaction	77
<hr/>	
EVE V. CLARK	
CHAPTER 5 ■ Why Algebraic Systems aren't Sufficient for Syntax	95
<hr/>	
BEN AMBRIDGE	
CHAPTER 6 ■ Learning Syntactic Structures from String Input	113
<hr/>	
ETHAN GOTLIEB WILCOX, JON GAUTHIER, JENNIFER HU, PENG QIAN, and ROGER LEVY	
CHAPTER 7 ■ Analysing Discourse Knowledge in Pre-Trained LMs	139
<hr/>	
SHARID LOÁICIGA	
CHAPTER 8 ■ Linguistically Guided Multilingual NLP	163
<hr/>	
OLGA MAJEWSKA, IVAN VULIĆ, and ANNA KORHONEN	

CHAPTER 9 ■ Word Embeddings are Word Story Embeddings (and That's Fine)	189
---	-----

KATRIN ERK and GABRIELLA CHRONIS

CHAPTER 10 ■ Algebra and Language: Reasons for (Dis)content	219
---	-----

LAWRENCE S. MOSS

CHAPTER 11 ■ Unitary Recurrent Networks	243
---	-----

JEAN-PHILIPPE BERNARDY and SHALOM LAPPIN

Grammar through Spontaneous Order

Nick Chater

University of Warwick

Morten H. Christiansen

Cornell University, Aarhus University and Haskins Laboratories

CONTENTS

3.1	Introduction	61
3.2	Spontaneous order: The very idea	64
3.3	From charades to grammaticalisation	65
3.4	The emergence of hierarchical structure and compositionality	68
3.5	Is the algebraic structure of language mentally represented?	70

ABSTRACT

How do the algebraic regularities in natural language, described by generative grammar, emerge? One traditional viewpoint has been that these are encoded with a species-specific and innately specified universal grammar, which has somehow come to be part of the human biological endowment. From this point of view, the strange mix of regularities, subregularities, and downright exceptions observed across languages and levels in linguistic analysis are somewhat puzzling. An alternative perspective is that language begins through attempts to solve immediate communicative problems between specific people on specific occasions; but each new communicative exchange draws on precedents from past exchanges, and sets precedents for future exchanges. Over time, specific linguistic patterns will become entrenched, and layered upon each other, to create a complex spontaneously ordered system, analogous to case law. From this point of view, the algebraic patterns in language are always various, partial and subject to exceptions.

3.1 INTRODUCTION

Language, like so much else in the natural and cultural worlds, is a curious mix of order and disorder. Where does this order come from? And why is it mixed with

disorder – with collisions between rules and downright exceptions? In this chapter, we will argue that the answers to these questions may be related.¹

In explaining any mixture of order and disorder, we can take either one or the other as basic. First, let us consider what we shall call the “order-first” viewpoint. According to this view, there are some underlying forces which initially create the orderly pattern in its “pure” form. For example, we might suppose that there is a purely logical “language of thought” built into the mind of each person (where the order of this logical language comes from, we will not enquire). This language of thought, having the form of something like predicate calculus or a more expressive logical language has an orderly, and in particular algebraic, structure. It has a well-defined set of syntactic rules (generating the familiar syntactic tree-structures or some equivalent), and a compositional semantics defined over those rules. But this orderly structure is then assumed to be disturbed by other more unruly forces, for example, concerning the practical challenges of communication. For example, it may be assumed, we somehow have to encode these purely logical structures into a convenient form that can be spoken or signed; and this must be done in the light of a myriad of practical constraints. Shortcuts and ad hoc devices may be useful to get particular messages across rapidly; cognitive limitations might have distorting impacts on how we convey ideas that have excessive logical complexity; ambiguities might be allowed, and even functionally appropriate, where context is sufficient to make it “obvious” what is meant and time is short (Piantadosi, Tily, & Gibson, 2012). More broadly, from this general standpoint, the mixture of orderly and disorderly aspects of languages arises from the multitude of “corrupting” influences of practical constraints on what is, at base, a perfectly orderly system.

This “order-first” picture can, of course, be filled out in a variety of ways. For example, Chomsky has proposed that sentences have a syntactic representation, LF, over which semantic interpretation is defined.² Chomsky’s LF is not quite a conventional logical language, though it does have a “pure” algebraic structure and compositional semantics (Chomsky, 1995). According to the minimalist program, patterns in language then arise through the process of optimising the relationship between LF and phonological representations (or, more generally, optimising the mapping between conceptual representations and the sensorimotor system). This optimisation is not, perhaps surprisingly, presumed to be based on practical considerations, such as statistical regularities in what messages need to be conveyed, fine-details of the operation of the auditory system, the speech apparatus, or memory, but is viewed in much more abstract terms. Nonetheless, some of the irregularities and complexities of natural language, at least, can be seen as arising from the inherently messy task of mapping from LF to a sound-based representation.³ Indeed, the minimalist program

¹The ideas in this chapter are outlined more extensively in Christiansen and Chater (2022).

²In particular, LF is designed to resolve scope ambiguities: For example, the different meanings of every book published in English are stored in a well-known library – where this sentence might be taken to imply that there is a single such library (so that a natural question would be which one?), or only to say that every book is stored in some well-known or other (so that no library need be completely comprehensive). The relationship between LF and related ideas in linguistics and the traditional concept of logical form in the philosophy of language is debated (e.g., Stich, 1975).

³If language is primarily for thought, rather than communication, then the process of translating

proposes that many aspects of language can be explained on the assumption that this mapping will generate inevitable complexity – even if the mapping is as “perfect” as possible (Chomsky, 1995; Lasnik, 2002). Many of the quirks of individual languages are, though, viewed as relegated to the “periphery” rather than the “core” of language, and are viewed as being of rather little linguistic interest.⁴ Another popular, although by no means essential, aspect of this type of view is that the most essential elements of the algebraic structure of language, captured in a so-called “universal grammar,” arise not purely from domain-general principles of cognition but from a genetically encoded language faculty (e.g., Chomsky 1980). Thus, the orderly nature of language arises, this point of view, from a language faculty – a genetically specified, and language-specific set of biological constraints.⁵ Quite what constraints the language faculty is presumed to contain is not at all clear, but it is generally assumed at least to include recursion, which may seem particularly central to the algebraic structure of language.

Other very different approaches to generative grammar and compositional semantics may have different theoretical presuppositions. But the order-first story is usually tacitly assumed across many areas of linguistics and the philosophy of language. Indeed, the order-first viewpoint is built into approaches to languages which assume that sentences have an underlying logical form (whether that logical form is cognitively represented or not), a viewpoint which can be traced back to the inception of analytic philosophy with the work of Frege (see Dummett, 1981) and Russell (1905). Similarly, to the degree that the compositional semantics of natural language is presumed to be patterned on the compositional semantics of formal logical languages, the “order-first” viewpoint is built into the view of language emerging from Tarski’s theory of truth and Montague Semantics (e.g., Dowty, Wall, & Peters, 1981).

We have so far reviewed the idea that language is at root orderly, but made disorderly by contact with extra-linguistic factors of various kinds. But our objective in this chapter is to explore the opposite perspective. From this point of view, language

from LF to a phonological form seems to be entirely pointless. Indeed, it seems to be counterproductive, because surely reasoning is defined over logical, not phonological, representations. Moreover, if language were centrally involved in thought, then intelligent thought should be largely eliminated in global aphasics (with no language) and should show distinctive neural traces of covert natural language processing. Neither prediction appears to be correct (Fedorenko & Varley, 2016). Now, of course, it may be that the process of generating natural language helps us clarify and formulate our thoughts. Of course, one might wonder whether it is possible even to make sense of the very idea of thoughts, independent of their expression in a publicly accessible language, in the light, for example, of Wittgenstein’s and Kripke’s thoughts on rules and private languages (Kripke, 1982). But this would be to suggest that the process of communication is essential to thought, and hence language is useful for thinking not independently of its communicative function, but because of its communicative function.

⁴Chomsky is loath to see interesting aspects of language as arising from practical considerations of communication, in the light of his counterintuitive view that language is primarily for thought rather than communication mentioned above. (For opposite viewpoints, see, e.g., Christiansen & Chater, 2016; Gibson et al., 2019; Hahn, Jurafsky & Futrell, 2020; Hawkins, 1994).

⁵We have argued elsewhere that telling an evolutionarily credible story about the origin of such a language faculty is very difficult (Christiansen & Chater, 2008). Whether recursion is either language-specific rather than a general property of human cognition is also very much to open to challenge (e.g., Christiansen & Chater, 2015; Conway & Christiansen, 2001).

should be viewed as by the default ad hoc and disorderly; but order emerges, to an extent, through the pressures on different linguistic forms generated by their endless use and reuse, and crucially the interactions between them. That is, the patterns in language arise through a process of *spontaneous order*.

3.2 SPONTANEOUS ORDER: THE VERY IDEA

The idea of spontaneous order in social phenomena is imported, originally, from the natural sciences.⁶ Polanyi (1941), a leading physical chemist early in his career, noted that coherence in the domains of ideas, culture, and social structure might potentially arise through the process of mutual balancing and interaction of forces analogous to that observed when ice forms intricate snowflakes, gas coheres in into spherical bubbles in a liquid, or water ‘finds a level’ a container. He initially termed this mutual balancing of the interactions between many independent elements with no central coordination ‘dynamic order’ later shifting to ‘spontaneous order’ (Polanyi, 1951). Polanyi viewed the processes by which the mind organises sensory input as operating according to such principles, attributing this viewpoint to the Gestalt psychologist Wolfgang Kohler (1929/1970). Moreover, he viewed science as having the same character being, in a sense, an extension of perception. In both domains, local scraps of information and insight are continually in tension – some mutually reinforcing each other, and others in competition. This process of alliance and jostling leads, from the bottom up, to a more or less coherent representation of the patterns in the external world.

In practice, the huge variety of representations created by the perceptual system are not fully coherent (Dennett, 1993; Svarverud, Gilson, & Glennerster, 2012). Similarly, our representations of the common-sense physical properties of the everyday world, our moral judgements, or our mathematical intuitions are inevitably partial and inconsistent. As far as possible, perceptual processing (or scientific theorising) attempts to piece together locally coherent models of aspects of reality (e.g., Kelso, 1995; Kugler & Turvey, 1987; Thelen & Smith, 1996), but our understanding is full of gaps and contradictions (Chater, 2018; Chater & Oaksford, 2017; Rozenblit & Keil, 2002). Indeed, it has been argued that, even in physics, we have no more than a patchwork of loosely connected local models of different phenomena, which cannot be joined up to form a consistent global representation of the world (Cartwright, 1983, 1999). Still, the attempt to bring local insights into alignment where possible is the route by which our models and theories become increasingly, though inevitably partially, coherent.

The idea of spontaneous order has particularly been taken up in economics (Hayek, 1960; Krugman, 1996; Sugden, 1989), to help understand how the tenuous and largely myopic interactions of makers, buyers, and sellers in a hugely complex web somehow conspire to generate and produce goods and services, supply chains, and financial and legal machinery of a complexity far beyond the understanding of

⁶In the natural sciences, spontaneous order is usually known as self-organisation (e.g., Camazine, Deneubourg, Franks, Sneyd, Theraula & Bonabeau, 2001; Nicolis & Prigogine, 1977).

any individual market participant. But Polanyi (1941) intended the idea much more generally, to apply to culture broadly defined, including natural language:

The social legacies of language, writing, literature and of the various arts, pictorial and musical; of practical crafts, including medicine, agriculture, manufacture and the technique of communications; of sets of conventional units and measures, and of customs of intercourse; of religious, social and political thought all these are systems of dynamic order which were developed by the method of direct individual adjustment, (Polanyi, 1941, p. 438)

And Hayek sees language as a particularly striking example on spontaneous order. As Schmidtz and Boettke (2021) explain, Hayek argued that:

Just as no one had to invent natural selection, no one had to invent the process by which natural languages evolve. A language is a massively path-dependent process of unending mutual adjustment. Language evolves spontaneously. It would make no sense to call any language optimally efficient, but it does make sense to see languages as highly refined and effective adaptations to the evolving communication needs of particular populations. (Hayek, 1945, p. 528).

So much for the big picture. But how might a story of the spontaneous evolution of language work? And how might it explain the algebraic-style regularities that appear so prominent in language? How, indeed, can it explain the mixture of order and disorder that seems so typical across every aspect of language, from phonology to syntax and semantics?

3.3 FROM CHARADES TO GRAMMATICALISATION

In the party game of charades, people attempt to communicate through novel gestures, with the use of language being expressly forbidden. Inevitably, much of the work is done by iconicity – people attempt to mime particular actions, form shapes suggesting a particular object or mimic an individual person’s characteristic gestures and movements. But as the game progresses, particular gestures can rapidly become conventionalised – a full scale re-enactment of a golf shot (initially part of a mime to indicate Tiger Woods) may become a little more than a swish of the forefinger. It may, moreover, be reused, perhaps with additional gestures, when attempting to convey the game of golf, the US Masters or even an actual tiger (see Christiansen and Chater, 2022, for extensive discussion of the charades metaphor for linguistic communication).

There is no algebraic structure here, of course. Instead, all the work is being done by loose and creative invention, and, crucially, the repurposing and gradual conventionalisation of previously used charades. But as each new charade is interpreted in the light of previous charades, we might expect that increasing standardisation of

gestures and their deployment will arise. But where does the algebraic structure of grammar come from?

A natural answer is through processes of grammaticalisation identified in the study of language change. Grammaticalisation is “the development from lexical to grammatical forms and from grammatical to even more grammatical forms” (Heine and Kuteva, 2002, p. 377). It provides a mechanism from which a simple charade-like communication can be gradually transformed into a much more complex and systematic linguistic system. Heine and Kuteva (2007) attempt to reconstruct the broad patterns of such changes by looking at the historical language record – but they propose that the same general patterns of change will have operated long before writing systems were invented, and may run back to the very origin of language. From this perspective, language evolution is language change “writ large,” rather than involving the biological evolution of a language faculty, or indeed, language-specific cognitive machinery of any kind, as assumed in many accounts of language evolution (e.g., Pinker & Bloom, 1990).

Grammaticalisation provides a mechanism for local changes to the language – but of course to create a linguistic system, requires the interaction, and mutual constraint, of many such changes. To generate spontaneous order, rather than merely independent threads of linguistic change, requires that an aspect of the language is under pressure to adjust to fit in with its neighbours. And such pressures are not only present but are many and varied. The fundamentally case-based and analogical nature of learning and memory will continually impose generalisation: gently tending to pull similar linguistic items to behave still more similarly. To take the simplest possible case, if the linguistic contexts in which *dog* and *cat* are used heavily overlap, it is likely that generalisation will tend to increase their overlap still further. Thus, while each lexical item might, initially, have distinct distributional behaviour, the distributions of similar items will tend to become more similar still, so that lexical items can, increasingly, be grouped by their distributional properties. In short, such a process will gradually group words into increasingly discrete syntactic categories. But the inherently partial and unsystematic nature of such generalisation does not guarantee the emergence of a small finite set of syntactic categories used by traditional grammarians, or in generative grammar. Instead, we should expect the processes of spontaneous order to be no more than partial. Indeed, Culicover (1999) persuasively argues that the distributional properties of words do not break neatly into distinct categories. To slightly adapt one of Culicover’s examples (1999, p 47), we might imagine that *likely* and *probable* must have the same syntactic (and indeed semantic) properties. But there remain curious cases where the two do not have the parallel distributional properties, even though there is no difficulty semantically interpreting the anomalous sentence (1d):

- (1) a. It is likely that John will be elected President next year
- b. It is probable that John will be elected President next year
- c. John is likely to be elected President next year
- d. *John is probable to be elected President next year

Culicover shows convincingly that these types of cases are ubiquitous throughout language – so much so, indeed, that he argues that it may be appropriate to see each individual word as having its own unique distributional characteristics and hence, in a sense, its own unique syntactic category. The forces of spontaneous order will, though, continually operate to bring innumerable conflicting local patterns into a more orderly form, while innovation to solve ever-changing communicative challenges will continually inject more variety, and hence disorder.

While a thorough-going construction-based perspective on language, such as Culicover's, sees abstract syntactic categories as abstractions from the diverse idiosyncratic behaviours of individual words, these abstractions nonetheless provide a useful when considering the process through which function words and morphological markers arise through the cultural evolution of language. It is easy enough to imagine how communication can be kick-started with, perhaps initially iconic, sounds or gestures to denote specific objects or actions. But it is less clear how markers for tense and aspects, case markings, determiners, conjunctions, and the like might form through processes of spontaneous change.

According to a grammaticalisation account, the puzzle is solved by observing that entrenchment and increasing conventionalisation can lead words in one syntactic category to shift into another – a process which typically flows only in one direction. A sketch of some of the key pathways is outlined in Figure 3.1 (based on Heine and Kuvtova, 2007), based on historically recorded language change (possibly only, of course, for written languages) and inevitably more speculative language reconstruction where there is no written record.

Languages can, of course, evolve in a wide variety of ways. From the perspective of spontaneous order, this is just what we should expect. Of course, there will be many overlapping patterns arising from any process of biological or cultural evolution, both through shared evolutionary history and process of coevolution. But there should be no “archetypal” languages or underlying “bauplan” for all languages, any more than there is an archetypal snow-flake. Indeed, the process of diversity ramifying in many and varied directions is the normal outcome in process of cultural and biological evolution alike. Religious traditions, agricultural technologies and institutions for managing common resources (e.g., Ostrom, 1990) are all remarkably diverse, and the spectacular variety of the biological world exemplifies the non-existence of any single optimal self-reproducing creature to which all life-forms are converging.

If an underlying bauplan for all languages could be uncovered, this would be strong evidence for the order-first viewpoint and against the spontaneous order perspective advocated here. The existence of such a bauplan is, we suggest, better viewed as a methodological assumption in the Chomskian tradition than an established fact. Indeed, exploration of the phonological, syntactic, and semantic properties of the world's languages seems continually to throw up astonishing variety, rather than revealing common underlying patterns (Evans & Levinson, 2007).

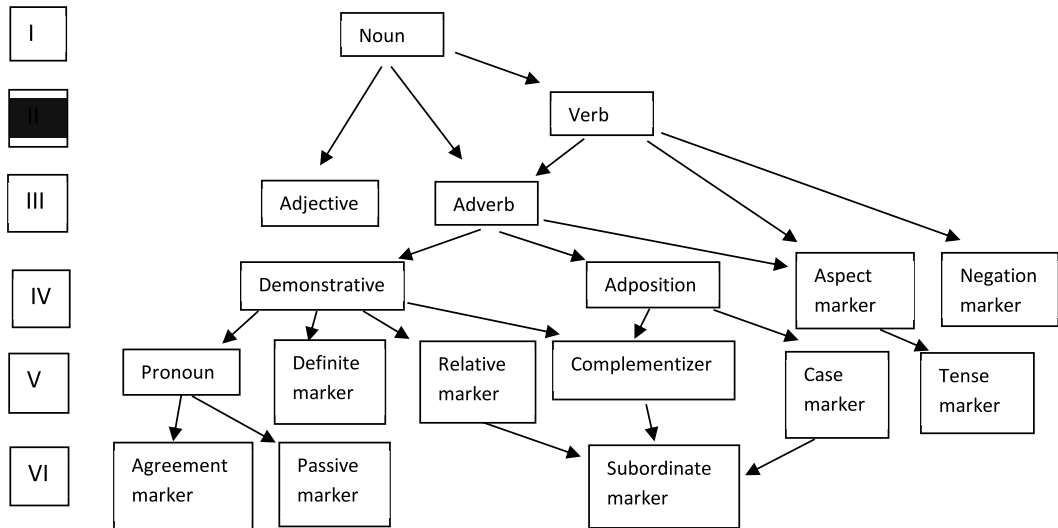


Figure 3.1 A reconstruction of historical transitions in syntactic categories through grammaticalisation (redrawn from Heine, Kaltenböck, & Kuteva, 2013).

3.4 THE EMERGENCE OF HIERARCHICAL STRUCTURE AND COMPOSITIONALITY

One of the most powerful communicative pressures on language is, of course, the ability to efficiently express a wide variety of meanings. In a game of charades, we may initially sequence our gestures in a rather haphazard way. But processes of grammaticalisation may naturally entrench particular orders as having particular semantic interpretation, so that word order may become increasingly stable. Indeed, this is also observed in the improvised gestural communicative systems sometimes created by deaf children and hearing parents. Such so-called “home sign” systems typically develop regularities in word order, usually adopted more thoroughly by child learners than their parents (Goldin-Meadow & Mylander, 1998).

Moreover, we should also expect the hierarchical structure of meaning to induce a degree of hierarchical structure at the level of syntax. So, for example, to pick out one object, we may need first to pick out another, so that noun phrases must be able to be embedded within each other. Thus, it is hard to imagine a useful language without at least a minimal hierarchical structure (e.g., [*the woman* [*with* [*a hat*]]]). And then we should expect that, by default at least, these larger units will operate syntactically roughly in the same way as phrases that pick out the same object directly (e.g., *Gladys*) – because we want to say the same types of things about people, however we pick them out (e.g., [*the woman with a hat*]/[*Gladys*] *likes singing*; *does* [*the woman in a hat*]/[*Gladys*] *like singing?*; and so on). More generally, we might reasonably expect something close to a phrase structure grammar spontaneously to emerge purely from these simple constraints.⁷ Indeed, on the face of it, the ability of

⁷A hierarchical “chunked” structure to language may also be required where no compositional semantics is involved – purely to deal with the severe processing bottleneck in both production

small communities rapidly to create rich structured communicative systems over a few generations testifies that this is possible (as in the well-known cases of Nicaraguan sign language Kegl, Senghas, & Coppola, 1999).⁸

Compositionality also helps with learnability, of course. The simpler the mapping between meanings and linguistic forms, the more briefly that mapping can be encoded and the less linguistic input should be required to learn it (Hsu, Chater, & Vitányi, 2011). Compositional mappings are, of course, particularly simple. Moreover, a wide range of laboratory studies on the cultural evolution of artificial languages (e.g., Beckner, Pierrehumbert, & Hay 2017; Kirby, Cornish, & Smith 2008; Kirby, Tamariz, Cornish, & Smith 2015) have shown that sequential, meaningful units can spontaneously emerge from meaningless elementary units (e.g., morphs arise from the concatenation of phones), without explicit supervision or teaching, from a process of iterated learning (the input for each learner is the output of a previous learner). Recently, it has been shown that sensitivity to word order, and hierarchical structures can rapidly and spontaneously be generated in laboratory conditions (Saldana, Kirby, Truswell, & Smith, 2019).

But there will, of course, be many additional pressures on the cultural evolution of language, which will complicate this picture considerably. In broad terms, to modify Givón's well-known adage,⁹ yesterday's pragmatics is today's syntax. Thus, the pragmatic drive to communicate briefly may lead to omissions and compressions of syntactic patterns. This line of thinking was developed by the philosopher and logician Hilary Putnam in an early critique of the nativist program in linguistics (Putnam, 1967). He points out that a standard phrase structure grammar for an artificial logical language would generate a structure with the form "That is $\exists!x(x$ is a lady and I saw you with x last night)"¹⁰ which may be contracted to *That is the lady I saw you with last night* (traditionally explained in terms of a transformation, leaving a "gap" between *with* and *last*). Of course, the rules governing which such "abbreviations" are grammatically acceptable are subtle – but it seems entirely possible that these constraints may arise from ease-of-processing, rather than innately specified constraints on the structure of language itself.

In a rather different vein, Levinson (1987, 2000) argues persuasively that pragmatic factors may explain the origin of many interesting aspects of grammar, including the binding constraints (Chomsky, 1981). For example, standard pragmatic

and comprehension (Christiansen & Chater, 2016) – as is observed in the hierarchically chunking in music (Lerdahl & Jackendoff, 1983), in mnemonic strategies for recalling long sequences of digits (Ericsson, Chase, & Faloon, 1980), an in the hierarchical structure of actions and plans more broadly (Dezfouli, Lingawi, & Balleine, 2014).

⁸The rapid emergence of complex linguistic structure in Nicaraguan sign language is often taken as evidence for the innateness of such structure. We suggest the opposite: that it indicates how processes of spontaneous order can arise rapidly in the absence of language-specific constraints through the necessity to communicate. Of course, as with any aspect of cultural evolution, the outcome will depend on the cognitive machinery of the agents involved, but we see no compelling reason to believe that this machinery is language-specific.

⁹The original aphorism is: "today's morphology is yesterday's syntax," Givón, T. (1971, p.413).

¹⁰Here we use $\exists!x$ to denote "there exists a unique, such that..." rather than the less usual notation in Putnam's original quotation.

principles imply that the availability of the word *himself* leads to the presumption that in *John likes him* the pronoun *him* does not refer to John – otherwise the more precise *himself* would have been used. This type of pragmatic reasoning is very general and is, indeed, observed in communicative exchanges with entirely arbitrary non-linguistic signals (e.g., Misyak, Noguchi, & Chater, 2016). But when, through normal processes of grammaticalisation, this assumption of non-coreference becomes increasingly obligatory, it becomes more naturally viewed a part of syntax rather than pragmatics (although, according to the present perspective, the boundary between the two is graded, rather than all-or-nothing). Levinson develops similar arguments to create a comprehensive account of the origins of Chomsky’s (1981) binding constraints, and putative exceptions to them, purely using pragmatic principles. Thus, syntactic phenomena that may seem highly abstract and arbitrary may be explicable through processes of spontaneous order, deriving from the gradual interaction, and conventionalisation, of regularities with pragmatic origins.

With any such explanations it is, of course, always possible to argue about the details – indeed, such argument is quite rightly the very essence of linguistic debate. But the existence of such historical explanations, even where partial and incomplete, strongly suggests that many apparently abstract principles of grammar result from processes of spontaneous order. At least if we presume the opposite, that they are arbitrary constraints built into a language-specific universal grammar (taking the standard order-first viewpoint), then the possibility of finding potential historical lines of explanation for such phenomena using pragmatic principles appears to be a remarkable and unexplained coincidence.

3.5 IS THE ALGEBRAIC STRUCTURE OF LANGUAGE MENTALLY REPRESENTED?

Nature is full of patterns. But typically, these patterns arise from complex sets of rules which are represented only in the mind (and by the mathematical tools) of the theorist. Thus, the elliptical orbits of the planets emerge from the “laws” of gravitation. But the planets are not, of course, representing those laws – or indeed, representing anything at all. The planets are *governed* by these rules but do not *follow* them – in the sense of consulting and conforming to a representation of those rules.

In the natural sciences, the spontaneous emergence of complexity always has this character: snowflakes form complex geometric patterns with rich symmetries but do not in any way represent those patterns (there is, of course, no blueprint that the freezing water molecules need consult to ensure that a snowflake grows appropriately). Similarly, the astonishing complexity of a wasp nest or an ant colony is not represented in the mind (or the genes) of any individual wasp or ant; rather, this complexity emerges, somehow, through the playing out of the presumably fairly simple local rules that govern the laying down and following of pheromone trails and the like.

According to advocates of spontaneous order in the social sciences, the story is the same for human culture. The remarkably complex structures in human societies,

patterns of economic interaction, financial markets, and cultural products of all kinds, are not represented, and do not need to be represented, by the agents whose busy interactions somehow collectively create them. Indeed, the process of social scientific explanation seems naturally to fit with this perspective. Social scientists are typically trying to provide “rational reconstructions” (to borrow, and somewhat repurpose, a suggestive phrase of Lakatos [1970]) that explain why social and culture systems operate successfully. Thus, for example, theorists wonder about the underlying rationale that allows fiat money (not based on some good of intrinsic value such as gold) to serve as a reliable store of value and medium of exchange; what kind of logic underpins the value of intangible assets of a company, such as reputation, or what determines the allocation of capital to investment projects, the divergent structures of companies in different industries, or the allocation of rewards to workers with different skills (e.g., Cabral, 2017); or even, extending this style of explanation further and perhaps more controversially, the economic logic behind dowries, bride-prices, patterns of inheritance, even the nature and functioning of the family unit (e.g., Becker, 1991). Or consider the linguistic turn that has been distinctive of twentieth century philosophy. Here, a common view of the project of philosophy is to attempt to create a set of rational principles that can explain our diverse and apparently rather contradictory intuitions about causality, mind, morality or any other topic. But in none of these domains is there typically an assumption that the “true” theory is mentally represented in the minds of the people engaging in economic transactions, social interactions, or ethical thought. Indeed, from the spontaneous order viewpoint, this is as it should be: complex order arises from networks of the interactions of large numbers of units or agents and will not be represented in any individual unit.

Language seems to be an archetypical collective cultural construction, generated by the layering of countless conversational interactions, each focussed only on the communicative demands of the moment but gradually stretching and pulling the language in new directions. Curiously, though, an influential strand of linguistics in the order-first tradition we described above has adopted precisely the opposite perspective. Chomsky (1980) explicitly argues that language (or at least a somewhat idealised form of language as actually spoken) is fundamentally a property of each individual human; that the “interesting” structure of the language (the universal grammar) is represented in the mind of each individual; and, moreover, that these representations are innately specified in the genes, and ultimately the brain, of each child. From this perspective, the algebraic structure of language is built in.

There are many difficulties with this order-first nativist perspective on the algebraic structure of language, which we will not review here (e.g., Chater, Clark, Goldsmith, & Perfors, 2015; Clark & Lappin, 2010; Culicover, 1999; Evans & Levinson, 2009; Pullum & Scholz, 2002; Putnam, 1967; Tomasello, 1992). Here, we have primarily aimed to present and illustrate the viability of the opposite viewpoint, drawing especially on the theory of grammaticalisation. Moreover, drawing parallels with complex phenomena across the natural and social sciences, we have illustrated the possibility of rich algebraic patterns arising in language through a process of

spontaneous order.¹¹ Thus, we hope to persuade the reader that the idea that patterns arise spontaneously from complex patterns of interactions between many agents over countless generations, while the agents do not in any way mentally represent those patterns, is plausible in the case of language.

Indeed, we hope the spontaneous order viewpoint can help shift researchers away from what has often been an order-first, nativist explanation as the default assumption in some areas of the science of language. The patterns observed in language are endlessly subtle and puzzling. But the right starting point from which to address such puzzles is, we believe, through exploring the variety of interacting forces operating on the development of language. Natural languages are shaped by the specifics of perception, motor control, memory, learning, pragmatic factors, and the relentless forces of grammaticalisation. There is both the relentless language-internal jostling to reconcile local, partially inconsistent constraints and the need for generalisation to deal with new communicative challenges. These processes have, over time, generated a continual flow of new linguistic innovation and a gradually and spontaneously emerging order, generating the vast range of regularities, sub-regularities, and outright exceptions that are characteristic of natural language (Christiansen & Chater, 2022).

BIBLIOGRAPHY

- Becker, G. S. (1991). *A Treatise on the Family: Enlarged Edition*. Cambridge, MA: Harvard University Press.
- Beckner, C., Pierrehumbert, J. B., & Hay, J. (2017). The emergence of linguistic structure in an online iterated learning task. *Journal of Language Evolution*, lzx001. doi: 10.1093/jole/lzx001
- Benson, D. (2006). *Music: A Mathematical Offering*. Cambridge, UK: Cambridge University Press.
- Cabral, L. M. (2017). *Introduction to Industrial Organisation*. Cambridge, MA: MIT press.
- Camazine, S., Deneubourg, J. L., Franks, N. R., Sneyd, J., Theraula, G., & Bonabeau, E. (2001). *Self-organisation in biological systems*. Princeton, NJ: Princeton University Press.
- Cartwright, N. (1983). *How the laws of physics lie*. Oxford, UK: Oxford University Press.
- Cartwright, N. (1999). *The dappled world: A study of the boundaries of science*. Cambridge, UK: Cambridge University Press.
- Chater, N. & Oaksford, M. (2017). Theories or fragments? (Commentary on Lake et al.) *Behavioural and Brain Sciences*. 40, e258.
- Chater, N., Clark, A., Goldsmith, J. A., & Perfors, A. (2015). *Empiricism and Language Learnability*. Oxford, UK: Oxford University Press.

¹¹Indeed, we could equally have considered the algebraic patterns, often modelled by rather sophisticated group theory, that arise in the patterns in the visual arts (Weyl, 1952) and in music (Benson, 2006).

- Chomsky, N. (1980). Rules and representations. *Behavioural and Brain Sciences*, 3(1), 1–15.
- Chomsky, N. (1981). *Lectures on government and binding: The Pisa lectures*. Dordrecht: Foris.
- Chomsky, N. (1995) *The Minimalist Program*. Cambridge, MA: MIT Press
- Christiansen, M. H., & Chater, N. (2008). Language as shaped by the brain. *Behavioural and Brain Sciences*, 31(5), 489–509.
- Christiansen, M. H., & Chater, N. (2015). The language faculty that wasn't: A usage-based account of natural language recursion. *Frontiers in Psychology*, 6, 23–40.
- Christiansen, M.H. & Chater, N. (2016). The Now-or-Never bottleneck: A fundamental constraint on language. *Behavioural and Brain Sciences*, 39, 1–72.
- Christiansen, M. H. & Chater, N. (2022). *The Language Game*. London, UK: Bantam Books/New York, NY: Basic Books.
- Clark, A., & Lappin, S. (2010). *Linguistic Nativism and the Poverty of the Stimulus*. Oxford, UK: John Wiley & Sons.
- Conway, C. M., & Christiansen, M. H. (2001). Sequential learning in non-human primates. *Trends in Cognitive Sciences*, 5(12), 539–546.
- Culicover, P. W., & Culicover, P. W. (1999). *Syntactic Nuts: Hard Cases, Syntactic Theory, and Language Acquisition*. Oxford, UK: Oxford University Press.
- Dennett, D. C. (1993). *Consciousness Explained*. London, UK: Penguin.
- Dezfouli, A., Lingawi, N. W., & Balleine, B. W. (2014). Habits as action sequences: hierarchical action control and changes in outcome value. *Philosophical Transactions of the Royal Society B: Biological Sciences*, 369(1655), 20130482.
- Dowty, D. R., Wall, R., & Peters, S. (1981). *Introduction to Montague Semantics*. Berlin: Springer Science & Business Media.
- Dummett, M. (1981). *Frege: Philosophy of Language*. Cambridge, MA: Harvard University Press.
- Ericsson, K. A., Chase, W. G., & Faloon, S. (1980). Acquisition of a memory skill. *Science*, 208(4448), 1181–1182.
- Evans, N., & Levinson, S. C. (2009). The myth of language universals: Language diversity and its importance for cognitive science. *Behavioural and Brain Sciences*, 32(5), 429–448.
- Fedorenko, E. & Varley, R. (2016). Language and thought are not the same thing: Evidence from neuroimaging and neurological patients. *Annals of the New York Academy of Sciences*, 1369(1), 132–153.
- Gibson, E., Futrell, R., Piantadosi, S.T., Dautriche, I., Mahowald, K., Bergen, L. & Levy, R. (2019). How Efficiency Shapes Human Language. *Trends in Cognitive Sciences*, 23(5), 389–407.
- Givón, T. (1971). Historical syntax and synchronic morphology: an archaeologist's field trip. *Chicago Linguistic Society*, 7(1), 394–415,
- Goldin-Meadow, S., & Mylander, C. (1998). Spontaneous sign systems created by deaf children in two cultures. *Nature*, 391(6664), 279–281.

- Hahn, M., Jurafsky, D., & Futrell, R. (2020). Universals of word order reflect optimization of grammars for efficient communication. *Proceedings of the National Academy of Sciences*, 117(5), 2347–2353.
- Hawkins, J. A. (1994). *A Performance Theory of Order and Constituency*. Cambridge, UK: Cambridge University Press.
- Hayek, F. A. (1945). The use of knowledge in society. *The American Economic Review*, 35(4), 519–530.
- Heine, B., Kaltenböck, G., & Kuteva, T. (2013). “On the origin of grammar.” In C. Lefebvre, B. Comrie, & H. Cohen, (Eds.). *New Perspectives on the Origins of Language* (pp. 379–405). Amsterdam: John Benjamins Publishing.
- Heine, B., & Kuteva, T. (2002). On the evolution of grammatical forms. In A. Wray (Ed.) *The Transition to Language* (pp. 376–397). Oxford, UK: Oxford University Press.
- Heine, B., & Kuteva, T. (2007). *The Genesis of Grammar: A Reconstruction*. Oxford, UK: Oxford University Press.
- Hsu, A. S., Chater, N., & Vitányi, P. M. (2011). The probabilistic analysis of language acquisition: Theoretical, computational, and experimental analysis. *Cognition*, 120(3), 380–390.
- Kegl, J., Senghas, A., and Coppola, M. (1999). Creation through contact: Sign language emergence and sign language change in Nicaragua. In M. DeGraff (Ed.) *Language Creation and Language Change: Creolization, Diachrony, and Development* (pp. 179–237). Cambridge: MIT Press.
- Kelso, J. S. (1995). *Dynamic Patterns: The Self-Organisation of Brain and Behaviour*. Cambridge, MA: MIT press.
- Kirby, S., Cornish, H., & Smith, K. (2008). Cumulative cultural evolution in the laboratory: An experimental approach to the origins of structure in human language. *Proceedings of the National Academy of Sciences*, 105(31), 10681–10686. doi: 10.1073/pnas.0707835105
- Kirby, S., Tamariz, M., Cornish, H., & Smith, K. (2015). Compression and communication in the cultural evolution of linguistic structure. *Cognition*, 141, 87–102. doi: 10.1016/j.cognition .2015.03.016
- Kohler, W. (1929/1970). *Gestalt Psychology: An Introduction to New Concepts in Modern Psychology*. New York, NY: WW Norton & Company.
- Kripke, S. A. (1982). *Wittgenstein on Rules and Private Language: An Elementary Exposition*. Cambridge, MA: Harvard University Press.
- Krugman, P. R. (1996). *The Self-Organising Economy*. Oxford, UK: Blackwell.
- Kugler, P. N., & Turvey, M. T. (1987). *Information, Natural Law, and the Self-Assembly of Rhythmic Movement*. Hillsdale, NJ: Erlbaum.
- Lakatos, I. (1970). History of science and its rational reconstructions. *PSA: Proceedings of the Biennial Meeting of the Philosophy of Science Association* (pp. 91–136). Berlin: Springer.
- Lasnik, H. (2002). The minimalist program in syntax. *Trends in Cognitive Sciences*, 6(10), 432–437.
- Lerdahl, F., & Jackendoff, R. (1983). An overview of hierarchical structure in music. *Music Perception*, 1(2), 229–252.

- Levinson, S.C (1987). Pragmatics and the grammar of anaphora: A partial pragmatic reduction of binding and control phenomena. *Journal of Linguistics*, 23, 379–434.
- Levinson, S.C (2000). *Presumptive Meanings: The Theory of Generalised Conversational Implicature*. Cambridge, MA: MIT Press.
- Misyak, J., Noguchi, T., & Chater, N. (2016). Instantaneous conventions. *Psychological Science*, 27(12), 1550–1561.
- Nicolis, G. and Prigogine, I. (1977). *Self-Organisation in Nonequilibrium Systems: From Dissipative Structures to Order Through Fluctuations*. New York, NY: Wiley.
- Ostrom, E. (1990). *Governing the Commons: The Evolution of Institutions for Collective Action*. Cambridge, UK: Cambridge University Press.
- Piantadosi, S. T., Tily, H., & Gibson, E. (2012). The communicative function of ambiguity in language. *Cognition*, 122(3), 280–291.
- Pinker, S., & Bloom, P. (1990). Natural language and natural selection. *Behavioural and Brain Sciences*, 13(4), 707–727.
- Polanyi, M. (1941). The growth of thought in society. *Economica*, 8(32), 428–456.
- Polanyi, M. (1951). *The Logic of Liberty*. Chicago: The University of Chicago Press.
- Pullum, G. K., & Scholz, B. C. (2002). Empirical assessment of stimulus poverty arguments. *The Linguistic Review*, 19(1–2), 9–50.
- Putnam, H. (1967). The ‘innateness hypothesis’ and explanatory models in linguistics. *Synthese*, 17, 12–22.
- Rozenblit, L., & Keil, F. (2002). The misunderstood limits of folk science: An illusion of explanatory depth. *Cognitive science*, 26(5), 521–562.
- Russell, B. (1905). On denoting. *Mind*, 14(56), 479–493.
- Schmidtz, D. & Boettke, P. (2021). Friedrich Hayek, *The Stanford Encyclopedia of Philosophy* (Summer 2021 Edition), Edward N. Zalta (ed.), URL = <https://plato.stanford.edu/archives/sum2021/entries/friedrich-hayek/>.
- Saldana, C., Kirby, S., Truswell, R., & Smith, K. (2019). Compositional hierarchical structure evolves through cultural transmission: An experimental study. *Journal of Language Evolution*, 4(2), 83–107. <https://doi.org/10.1093/jole/lzz002>
- Sugden, R. (1989). Spontaneous order. *Journal of Economic Perspectives*, 3(4), 85–97.
- Svarverud, E., Gilson, S., & Glennerster, A. (2012). A demonstration of ‘broken’ visual space. *PLoS One*, 7(3), e33782.
- Stich, S. P. (1975). Logical form and natural language. *Philosophical Studies*, 28(6), 397–418.
- Thelen, E., & Smith, L. B. (1996). *A Dynamic Systems Approach to the Development of Cognition and Action*. Cambridge, MA: MIT press.
- Tomasello, M. (1992). *First Verbs: A Case Study of Early Grammatical Development*. Cambridge, UK: Cambridge University Press.
- Weyl, H. (1952). *Symmetry*. Princeton, NJ: Princeton University Press.