

**algebraic concordance
——— and ———
almost classical knots**

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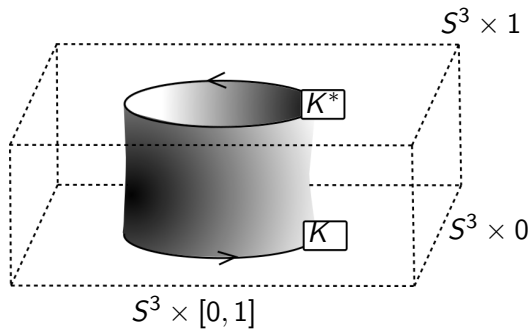
knots in washington 49.5-12/5/2021

joint w/ Sujoy Mukherjee (OSU)

[CM] Algebraic concordance and almost classical knots
(<https://arxiv.org/abs/2002.01505>)

motivation

Knots K, K^* in S^3 are **concordant** if:



Concordance group

\mathcal{C} := abelian group of concordance classes of knots

- Amphicheiral knots have order 2 in \mathcal{C} .
- Other torsion in \mathcal{C} ? Unknown!
- Classical obstructions are:
 1. the Arf invariant, and
 2. the algebraic concordance group.

Algebraic concordance group

- A is a $2n \times 2n$ dim. matrix over a field \mathbb{F} , $\chi(F) \neq 2$, and

$$\det((A - A^T)(A + A^T)) \neq 0$$

- A is *metabolic* if $\exists P$ such that $\det(P) \neq 0$ and PAP^T :

$$PAP^T = \left[\begin{array}{c|c} 0 & B \\ \hline C & D \end{array} \right].$$

If $A \oplus -B$ is metabolic, A is (*algebraically*) concordant to B .

- $\mathcal{G}^{\mathbb{F}} :=$ abelian group of these algebraic concordance classes.

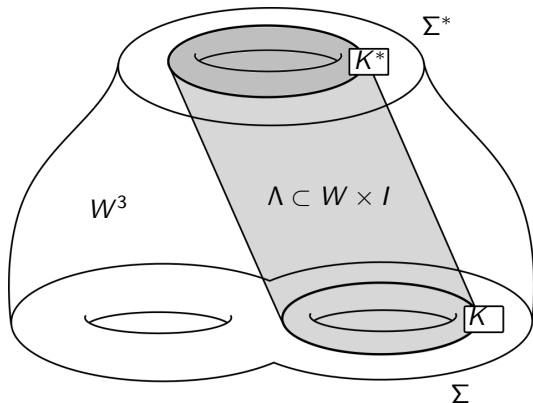
Theorem (J. Levine)

$$\mathcal{G}^{\mathbb{Q}} \cong \mathbb{Z}^{\infty} \oplus \mathbb{Z}_2^{\infty} \oplus \mathbb{Z}_4^{\infty}$$

Virtual concordance

Σ, Σ^* closed oriented surfaces.

Knots $K \subset \Sigma \times I, K^* \subset \Sigma^* \times I$ are **virtually concordant** if:



This is equivalent to concordance of virtual knots, à la Kauffman.

Virtual knot concordance group

\mathcal{VC} := concordance group of long virtual knots.

$$\mathcal{C} \xrightarrow{\quad} \mathcal{VC} \xrightarrow{\quad} \begin{array}{l} \text{2 component} \\ \text{ribbon tubes} \end{array} \subset B^3 \times I$$

The structure of \mathcal{VC} is mysterious.

- (C, 2020) \mathcal{VC} is not abelian.
- (C, 2016) Every $[K] \in \mathcal{VC}$ contains a long virtual knot that is not band-pass equivalent to either the trefoil or the unknot.
- (C, 2019) Every virtual concordance class contains a prime hyperbolic representative and a prime satellite representative.

Questions & Today's goals

Question

Is there any non-classical torsion in \mathcal{VC} ?

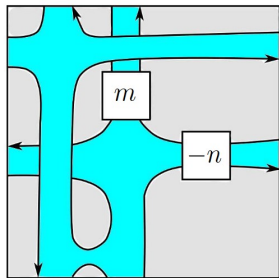
Today's goals

- Generalize Arf invariant, algebraic concordance group to homologically trivial knots in $\Sigma \times I$.
- Classify the uncoupled concordance group.
- Find a geometric realization of these groups.
- Give a potential example of non-classical torsion in \mathcal{VC} .

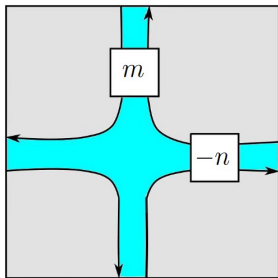
almost classical knots

Definition (Almost classical)

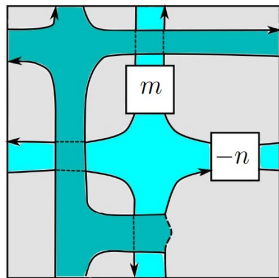
$K \subset \Sigma \times I$ is *almost classical* if $[K] = 0 \in H_1(\Sigma \times I; \mathbb{Z})$. In other words, iff it bounds a Seifert surface in $\Sigma \times I$.



$F_1(m, n)$



$F_0(m, n)$



$F_{-1}(m, n)$



Directed Seifert forms

- $F \subset \Sigma \times I$ a Seifert surface of an AC knot $K \subset \Sigma \times I$.
- Directed Seifert pairing: $\theta_{K,F}^{\pm} : H_1(F) \times H_1(F) \rightarrow \mathbb{Z}$,

$$\theta_{K,F}^{\pm}(x, y) = \text{lk}_{\Sigma}(x^{\pm}, y).$$

- Directed Seifert matrix: $A^{\pm} := (\text{lk}_{\Sigma}(a_i^{\pm}, a_j))$.
- Directed Alexander poly:

$$\Delta_{K,F}^{\pm}(t) = \det(A^{\pm} - t(A^{\pm})^T).$$

- Quadratic form of (K, F) : $q_{K,F} : H_1(F; \mathbb{Z}_2) \rightarrow \mathbb{Z}_2$

$$q_{K,F}(x) \equiv \theta_{K,F}^{\pm}(x, x) \equiv \text{lk}_{\Sigma}(x^{\pm}, x) \pmod{2}$$

NOTE: $A^+ \neq (A^-)^T$ (in general)

Lemma

$$A^+ + (A^+)^T = A^- + (A^-)^T, \text{ or}$$

$$A^- - A^+ = -(A^- - A^+)^T$$

algebraic concordance

Coupled concordance group $(\mathcal{V}\mathcal{G}, \mathcal{V}\mathcal{G})^{\mathbb{F}}$

- An \mathbb{F} -Seifert couple is a pair $\mathbf{A} = (A^+, A^-)$ of $2n \times 2n$ matrices over \mathbb{F} such that:

$$A^- - A^+ \text{ is skew-symmetric \& } \det(A^- - A^+) \neq 0.$$

- $\mathbf{A} = (A^+, A^-)$ is called *metabolic (or null-concordant)* if A^\pm are *simultaneously congruent* over \mathbb{F} to matrices in block form:

$$\left[\begin{array}{c|c} 0 & P^\pm \\ \hline Q^\pm & R^\pm \end{array} \right].$$

- $\mathbf{A} = (A^+, A^-)$ is admissible if $\det(A^+ + (A^+)^T) \neq 0$.
- Concordance classes of admissible Seifert couples form a group $(\mathcal{V}\mathcal{G}, \mathcal{V}\mathcal{G})^{\mathbb{F}}$

Uncoupled concordance group $\mathcal{V}\mathcal{G}^{\mathbb{F}}$

- An \mathbb{F} -directed matrix is a $2n \times 2n$ dimensional matrix A with coefficients in \mathbb{F} such that $\det(A + A^T) \neq 0$.
- A is metabolic if congruent over \mathbb{F} to a matrix having a half dimensional block of zeros.
- Concordances classes of directed Seifert matrices form a group $\mathcal{V}\mathcal{G}^{\mathbb{F}}$, called the uncoupled concordance group.

Relating the algebraic concordance groups

There are surjections:

$$\pi^{\pm} : (\mathcal{VG}, \mathcal{VG})^{\mathbb{F}} \longrightarrow \mathcal{VG}^{\mathbb{F}}, \pi^{\pm}(A^+, A^-) = A^{\pm}.$$

...and an injection:

$$\iota : \mathcal{G}^{\mathbb{F}} \rightarrow (\mathcal{VG}, \mathcal{VG})^{\mathbb{F}}, \iota(A) = (A, A^T)$$

Theorem (C-Mukherjee)

The classical knot concordance group $\mathcal{G}^{\mathbb{Z}}$ embeds as a subgroup of $(\mathcal{VG}, \mathcal{VG})^{\mathbb{Q}}$ into the equalizer of π^+ and π^- .

$$A \in \mathcal{VG}^{\mathbb{F}} \longrightarrow (A + A^{\top}, A^{-1}A^{\top})$$

- $A^{-1}A^{\top}$ is an isometry of $A + A^{\top}$.
- Even though $A^{+} + (A^{+})^{\top} = A^{-} + (A^{-})^{\top}$, isometric structures can be different.

Classification of $\mathcal{V}\mathcal{G}^{\mathbb{F}}$

Theorem (C-Mukherjee)

Let $\mathcal{J}(\mathbb{F})$ denote the fundamental ideal of the Witt ring over \mathbb{F} . Then:

$$\mathcal{V}\mathcal{G}^{\mathbb{F}} \cong \mathcal{J}(\mathbb{F}) \oplus \mathcal{G}^{\mathbb{F}}.$$

Idea of proof:

- For $A \in \mathcal{V}\mathcal{G}^{\mathbb{F}}$, define an isometric structure $(A + A^T, A^{-1}A^T)$.
- Decompose $B = A + A^T$ into the primary components of the irreducible factors of the characteristic polynomial $\lambda(t)$ of $A^{-1}A^T$.
- If $t - 1$ divides $\lambda(t)$, B has a component in $\mathcal{J}(\mathbb{F})$. All other components are in $\mathcal{G}^{\mathbb{F}}$.



Useful facts

1. If 1 is not a root of the directed Alexander polynomial, the concordance class is in $\mathcal{G}^{\mathbb{F}}$.
2. If 1 is a root of the Alexander polynomial, you get additional obstructions coming from the $\mathcal{J}(\mathbb{F})$ summand.

Theorem (C-Mukherjee)

For \mathbb{F} a global field of characteristic 0, the only possible finite order of elements in $\mathcal{V}\mathcal{G}^{\mathbb{F}}$ and $(\mathcal{V}\mathcal{G}, \mathcal{V}\mathcal{G})^{\mathbb{F}}$ are 1, 2, and 4.

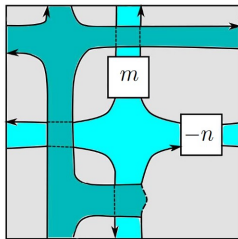
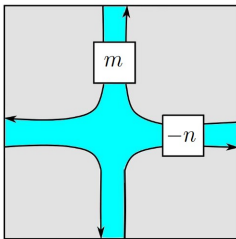
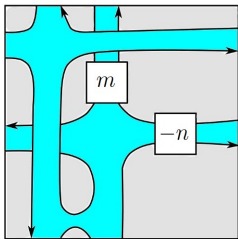
Examples

Theorem (C-Mukherjee)

There are infinitely many knots $K \subset \Sigma \times I$ such that for each $k \in \{1, 2, 4\}$, K bounds a Seifert surface having (uncoupled) algebraic concordance order k .

Proof.

Set $m_k = 3 + 19^2 \cdot 4k$, $n = 11$. Choose k so that m_k is prime. Then $\text{order}(A_0^+) = 4$, $\text{order}(A_{\pm 1}^{\pm}) = 1$, $\text{order}(A_+^{-1}) = 2$. \square



geometric realization

Definition

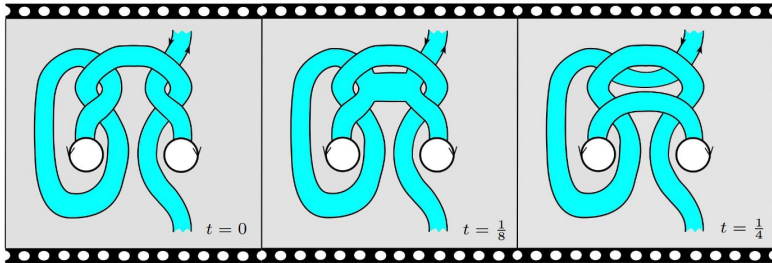
Two Seifert surfaces $F_0 \subset \Sigma_0 \times I, F_1 \subset \Sigma_1 \times I$ of knots K_0, K_1 will be called *virtually concordant* if there is:

1. a compact oriented 3-manifold W ,
2. a properly embedded annulus A in $W \times I$, and
3. a compact, oriented 3-manifold $M \subset W \times I$,

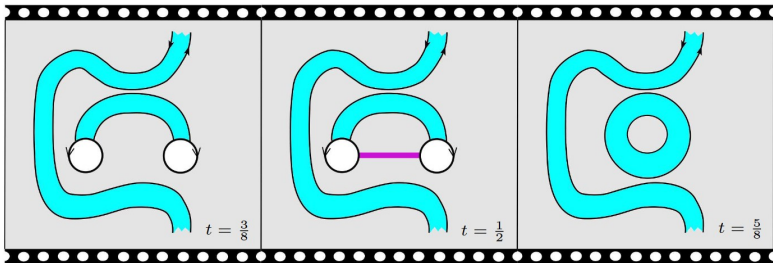
such that the following conditions are satisfied:

1. $\partial W = \Sigma_1 \sqcup -\Sigma_0$,
2. $\partial A = K_1 \sqcup -K_0$,
3. $\partial M = F_1 \cup A \cup -F_0$, and
4. $M \cap (\partial W \times I) = F_1 \sqcup -F_0$.

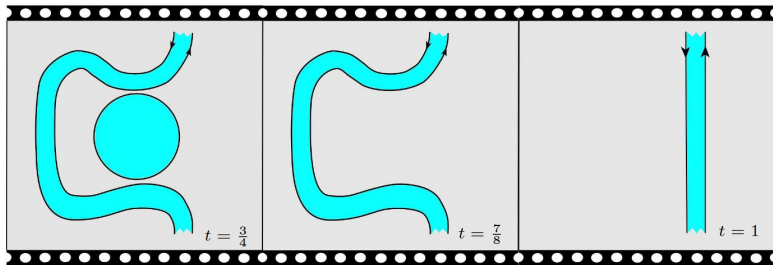
Example



Example



Example



Theorem (C-Mukherjee)

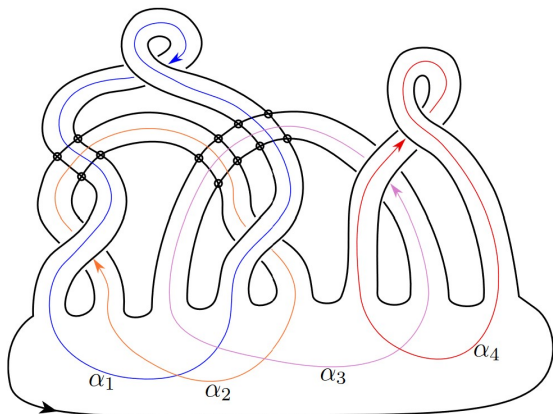
*virtually concordant
seifert surfaces* \implies \mathbb{Z} -Seifert couples
algebraically concordant

Corollary (C-Mukherjee)

*virtually concordant
seifert surfaces* & *quadratic forms
regular* \implies *their Arf invariants
are equal*

Example: 6.85091

A^- has order 1, A^+ has order 2



Does this have order 2 in \mathcal{VC} ?

Here are the isometric structures for A^+ :

$$A^+ + (A^+)^T = \begin{bmatrix} 2 & -2 & 0 & 0 \\ -2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 2 \end{bmatrix}, (A^+)^{-1}(A^+)^T = \begin{bmatrix} -1 & 2 & -1 & -1 \\ 0 & 1 & 0 & 0 \\ -2 & 2 & -2 & -1 \\ 0 & 0 & 1 & 1 \end{bmatrix}$$

Here is the primary decomposition:

$$B' \oplus B'' = \left[\begin{array}{cc|cc} -2 & 0 & 0 & 0 \\ 0 & 10 & 0 & 0 \\ \hline 0 & 0 & 2 & 5 \\ 0 & 0 & 5 & 10 \end{array} \right], S' \oplus S'' = \left[\begin{array}{cc|cc} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ \hline 0 & 0 & -4 & -5 \\ 0 & 0 & 1 & 1 \end{array} \right]$$

Thank you!