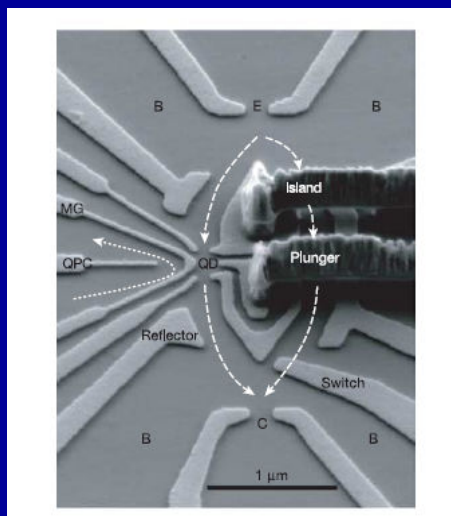


Exact-diagonalization treatment of the non-universal transport regime in few-electron quantum dots

Leslie O. Baksmaty, Constantine Yannouleas, Uzi Landman
School of Physics, Georgia Institute of Technology

Phys. Rev. Lett. 101, 136803 (2008)



Experiment:
M. Avinun-Kalish et al., Nature 436, 529 (2005)

APS March 2008

Non-universal regime:

Current and transmission phase depend strongly on the details and electronic structure (many-body problem) of the quantum dot .

They vary slowly with the tunneling coupling in a given experimental setup.

Transport approach

Weak tunneling coupling: Lowest order in coupling – Golden rule

- John Bardeen's seminal paper: "Tunneling from a many-body point of view" PRL **6**, 57 (1961) -- Current
- J.M. Kinaret et al., PRB **46**, 4681 (1992) -- Current
- For transmission phase: S.A. Gurvitz, arXiv: 0704:1260

$$H = H_L + H_R + H_D + H_T$$

$$H_T = \left(\sum_{l,k} \Omega_l^{(k)} d_k^\dagger a_l + l \leftrightarrow r \right) + H.c.$$

$$\Omega_{l(r)}^{(k)} = -\frac{\hbar^2}{2m} \int_{\mathbf{x} \in \Sigma_{l(r)}} \phi_k(\mathbf{x}) \overleftrightarrow{\nabla} \mathbf{n} \chi_{l(r)}(\mathbf{x}) d\sigma$$

Tails under a tall barrier

Quasiparticle in QD

Leads: non-interacting

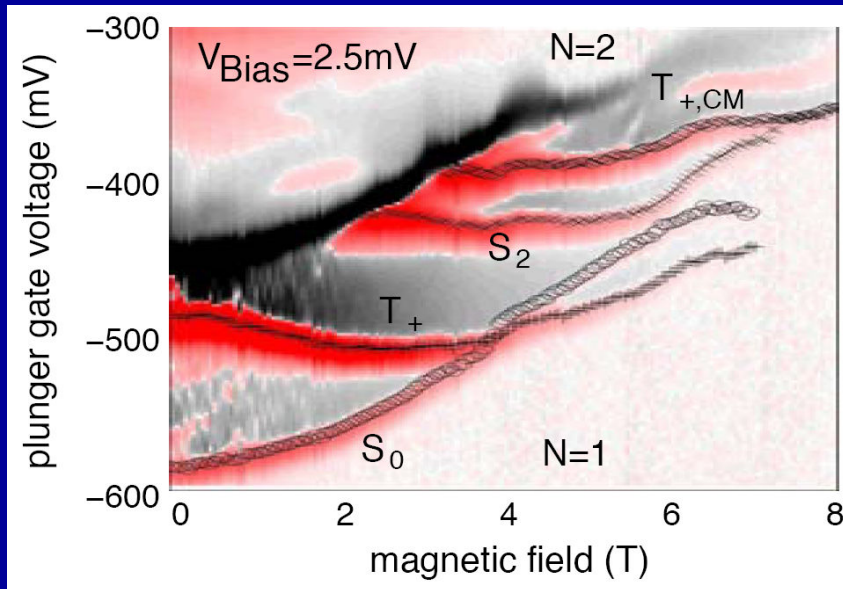
Previous calculations:

QD described with independent-particle model
(Hackenbroich et al, PRL 76, 110 (1996))

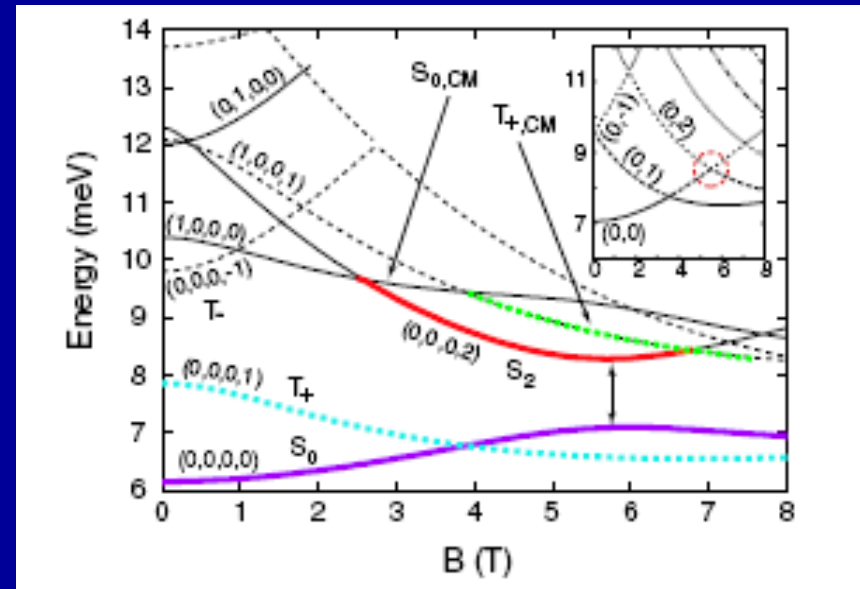
This study: Electronic structure of QD described through exact diagonalization (EXD; includes e-e correlations)

IMPORTANCE OF EXD: N=2 electron anisotropic QD

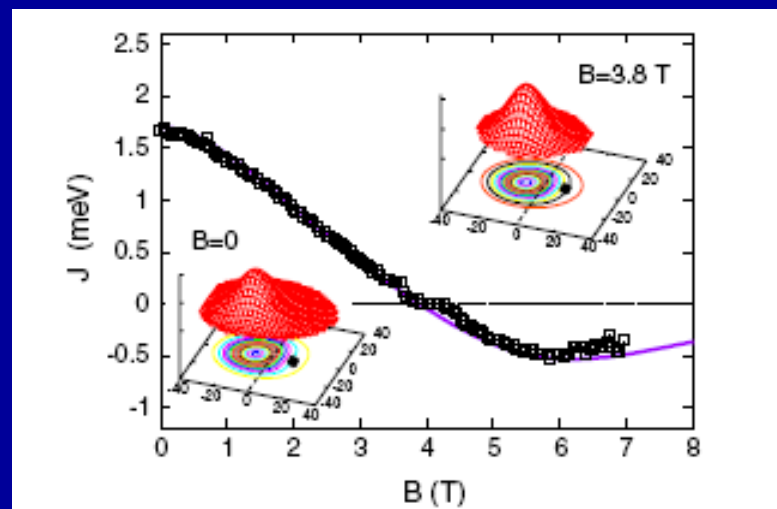
Experiment



Theory (EXD)

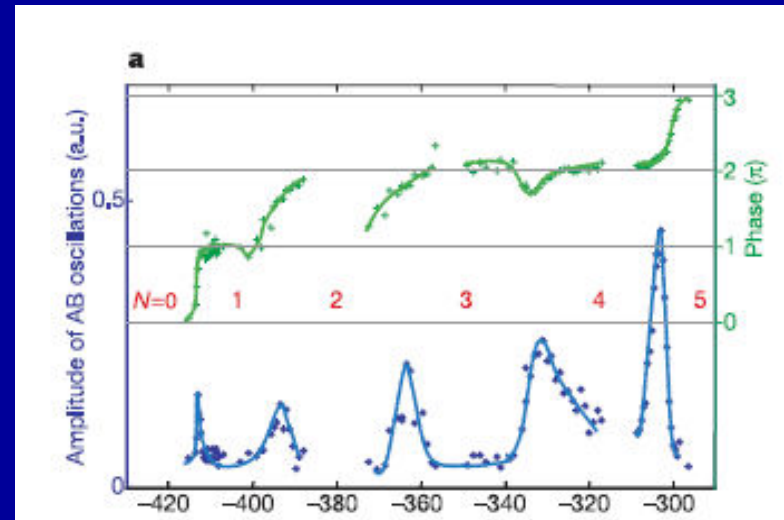
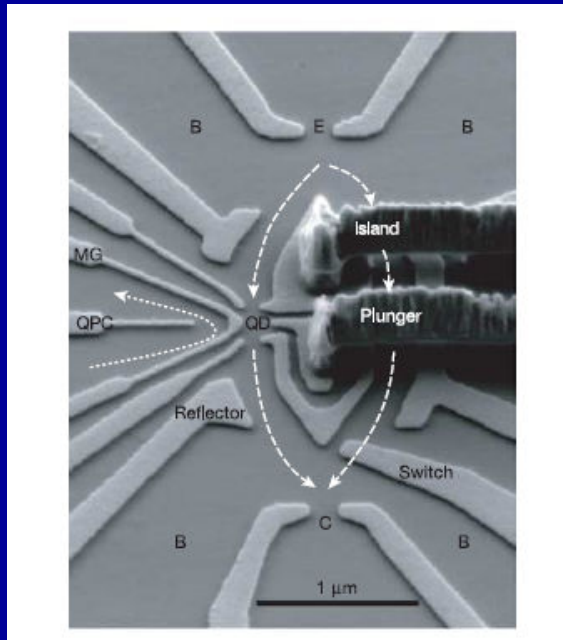


[Ellenberger et al, PRL 96, 126806 \(2006\)](#)



Strong correlations:
Wigner molecules

Experiment: Aharonov-Bohm interferometry:
M. Avinun-Kalish et al., Nature **436**, 529 (2005)



$N < 15$: Non-universal (mesoscopic) regime

It is essential to have the best description for the QD electronic structure

EXD \rightarrow Full CI (superposition of single-particle configurations $\sim 100,000$),
see e.g. Yannouleas and Landman, Rep. Prog. Phys. **70**, 2067 (2007)

For the latest developments of EXD concerning $3e$ anisotropic QDs:
Yuesong Li et al, PRB **76**, 245310 (2007)

EXD quasiparticle wave function

$$\Phi_N^{\text{EXD}}(S, S_z; k) = \sum_I C_I^N(S, S_z; k) D^N(I; S_z)$$

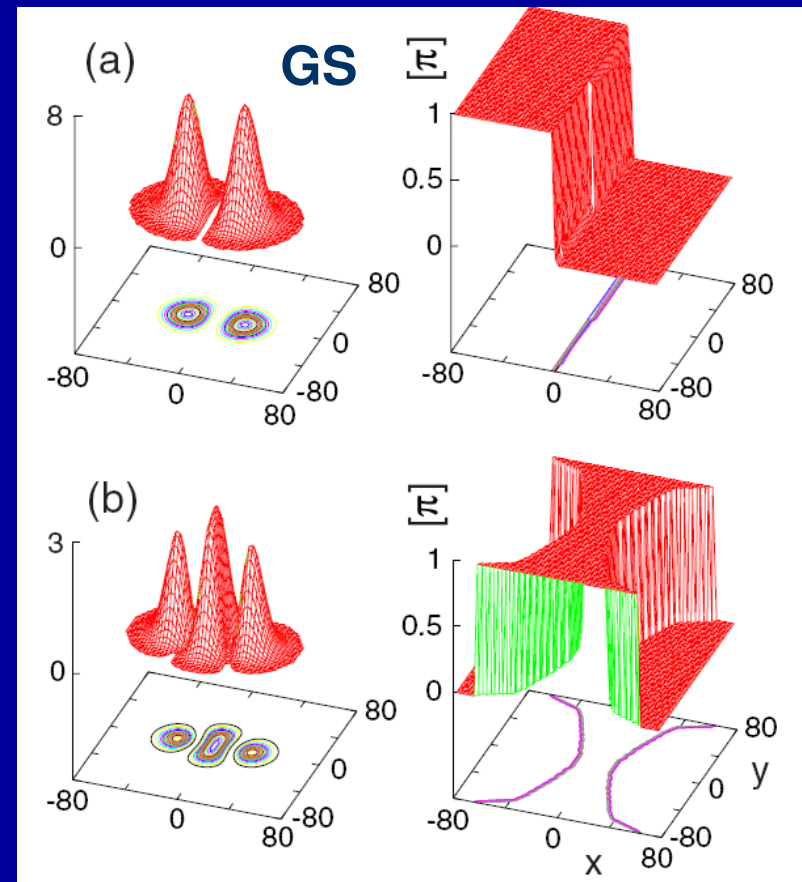
$$\mathcal{H} = \sum_{i=1}^N [\mathbf{p}_i^2 / (2m^*) + V(x_i, y_i)] + \sum_{i < j} e^2 / (kr_{ij})$$

$$V(x, y) = m^* (\omega_x^2 x^2 + \omega_y^2 y^2) / 2$$

$$\varphi_{\text{QP}}(\mathbf{r})$$

amplitude
(modulus square)

transmission
phase



$$\varphi_{\text{QP}}(\mathbf{r}) = \langle \Phi_{N-1}^{\text{EXD}} | \psi(\mathbf{r}; \sigma) | \Phi_N^{\text{EXD}} \rangle$$

$$\psi(\mathbf{r}; \sigma) = \sum_{i=1}^K \phi_i(\mathbf{r}) a_i(\sigma)$$

(0,0) N=2 \rightarrow N=3 (1/2,1/2)

Bar-chart: N = 1 → N = 2

$$W = \int |\varphi_{\text{QIP}}(\mathbf{r})|^2 d\mathbf{r}$$

$$\theta_{\text{QIP}}$$

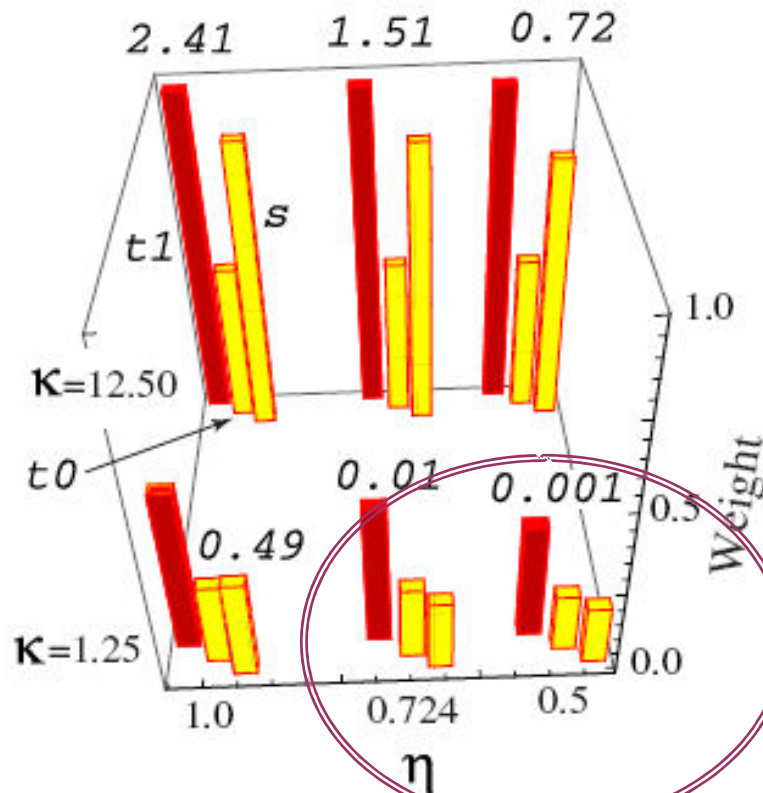
$$\theta = \theta_{\text{QIP}} - \pi$$



Red → π

(No PL) ✓

Yellow → 0 (Yes PL)



Strength of e-e interaction:
Dielectric constant \mathbf{K}

Anisotropy: $\eta = \omega_y / \omega_x$

$$\omega_0 = \sqrt{(\omega_x^2 + \omega_y^2) / 2}$$

$$\hbar\omega_0 = 5 \text{ meV}$$

Doorway excited states

Spin configurations for a 3e QD treated with EXD

Yuesong Li et al, PRB **76**, 245310 (2007)

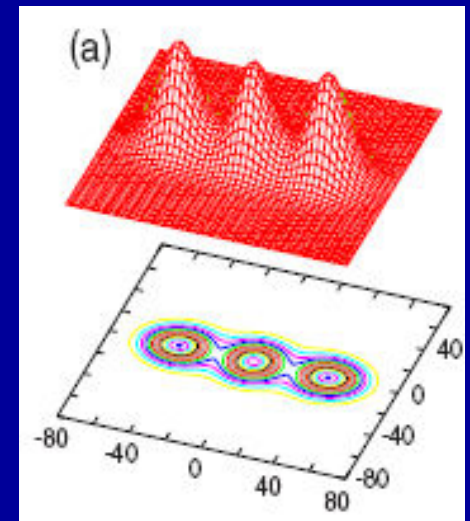
(S, S_z)

$$\Phi\left(\frac{3}{2}, \frac{3}{2}\right) = |\uparrow\uparrow\uparrow\rangle$$

$$\sqrt{3}\Phi\left(\frac{3}{2}, \frac{1}{2}\right) = |\uparrow\downarrow\uparrow\rangle + |\uparrow\uparrow\downarrow\rangle + |\downarrow\uparrow\uparrow\rangle$$

$$\sqrt{6}\Phi\left(\frac{1}{2}, \frac{1}{2}; 1\right) = 2|\uparrow\downarrow\uparrow\rangle - |\uparrow\uparrow\downarrow\rangle - |\downarrow\uparrow\uparrow\rangle$$

$$\sqrt{2}\Phi\left(\frac{1}{2}, \frac{1}{2}; 2\right) = |\uparrow\uparrow\downarrow\rangle - |\downarrow\uparrow\uparrow\rangle$$

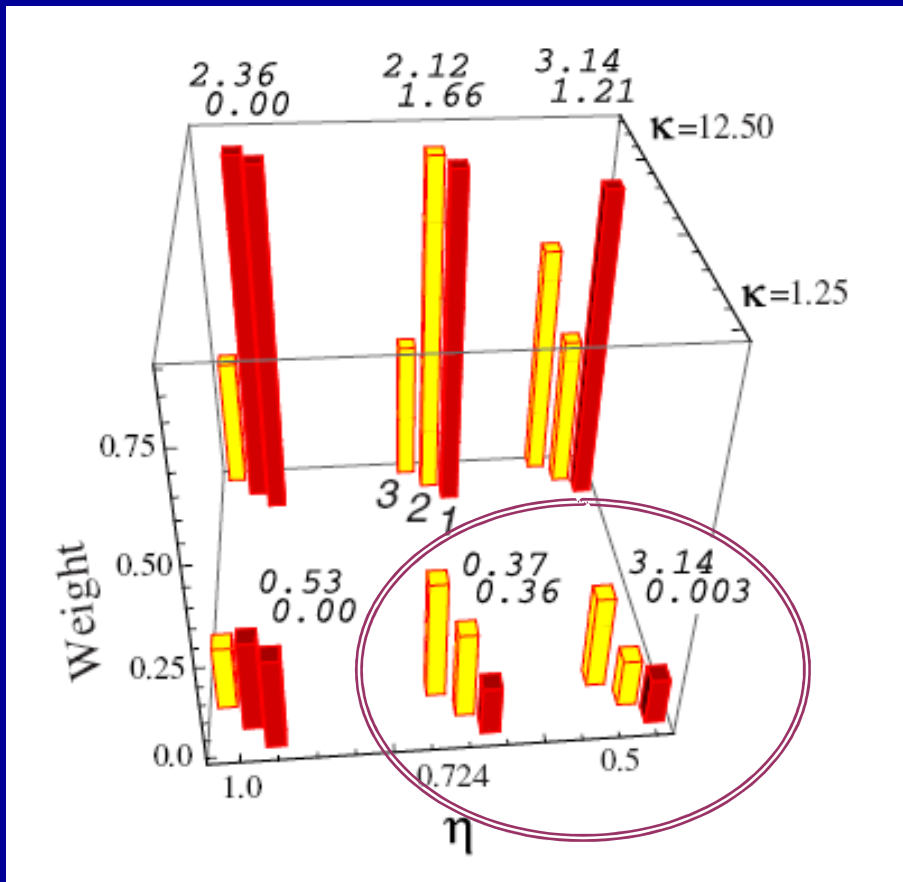


Bar-chart: $N=2 \rightarrow N=3$ $[(0,0) \rightarrow (1/2,1/2)]$

$$W = \int |\varphi_{QP}(\mathbf{r})|^2 d\mathbf{r}$$

$$\theta_{QP}$$

$$\theta = \theta_{QP} - \pi$$



Red $\rightarrow \pi$ (No PL)
 Yellow $\rightarrow 0$ (Yes PL) ✓

Strength of e-e interaction:
 Dielectric constant κ

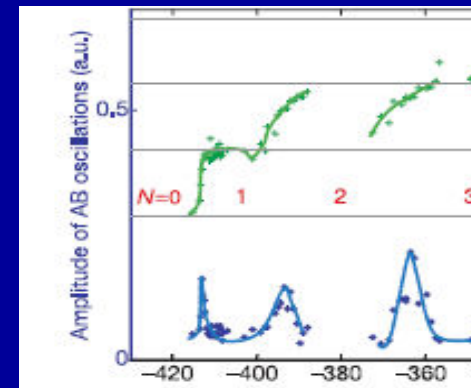
Anisotropy: $\eta = \omega_y / \omega_x$

Doorway excited states

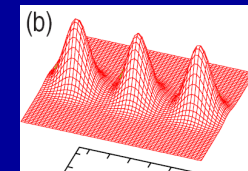
Conclusions

- Non-universal regime of electron interferometry can be described using Bardeen's weak-coupling theory and exact diagonalization for QD

- We find (in agreement with experiment):
 - a) for $N=1 \rightarrow N=2$: no phase lapse
 - b) for $N=2 \rightarrow N=3$: phase lapse of π



- Agreement for QDs with anisotropy and strong e-e repulsion, favoring regime of Wigner molecule formation



- Importance of doorway excited states and many-body spin configurations