

# GENUS-2 LEFSCHETZ FIBRATIONS

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AMS Special Session on Invariants in Geometric  
Topology: Low Dimensions and Beyond

# OUTLINE:

Remark:

feel free to interrupt  
with questions!

## A. BACKGROUND

- i. Lefschetz fibrations
- ii. relationship to mapping class group

## B. CURRENT PROGRESS

- i.  $\pi_1$  of a Lefschetz fibration
- ii. results on new  $b_1$  bounds

## C. FUTURE DIRECTIONS

- i. future questions
- ii. future techniques

# A. BACKGROUND: Lefschetz fibrations

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symplectic manifolds  
have the structure of a  
Lefschetz pencil



Lefschetz fibrations in symplectic geometry, 1998

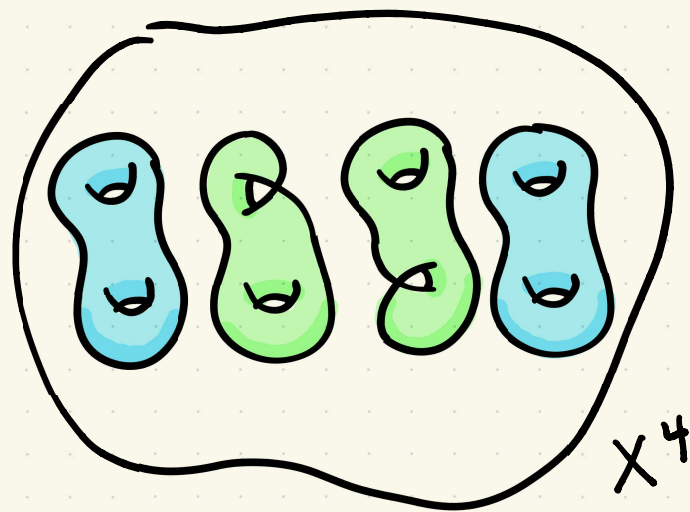


Lefschetz pencils  
have symplectic structures

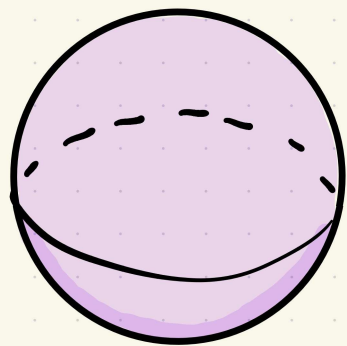
The topology of symplectic manifolds, 2001

# A. BACKGROUND: Lefschetz fibrations

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↓  $f$



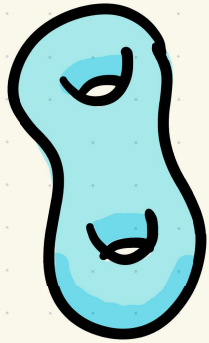
1.  $f: X^4 \rightarrow S^2$  is a smooth surjection
2. finitely many critical values  $q_1, \dots, q_n$
3. each  $f^{-1}(q_i) \in X$  has local coord. chart in which  $f(z, w) = zw$
4.  $f^{-1}(b) =$  regular fiber (is a genus- $g$  surface)



# A. BACKGROUND: Lefschetz fibrations

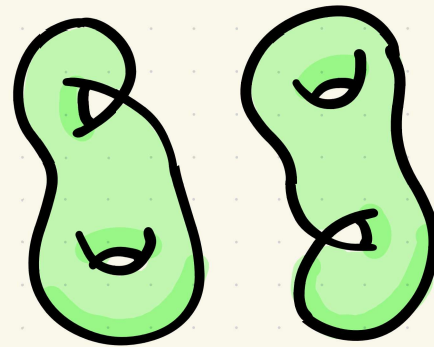
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Regular fiber

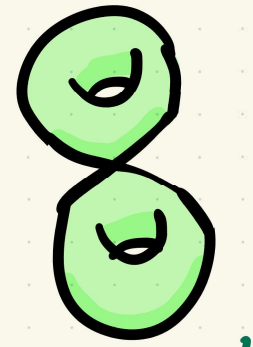


genus 2  
 $\Sigma_2$

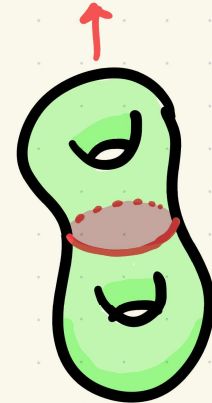
Singular fiber



nonseparating



separating



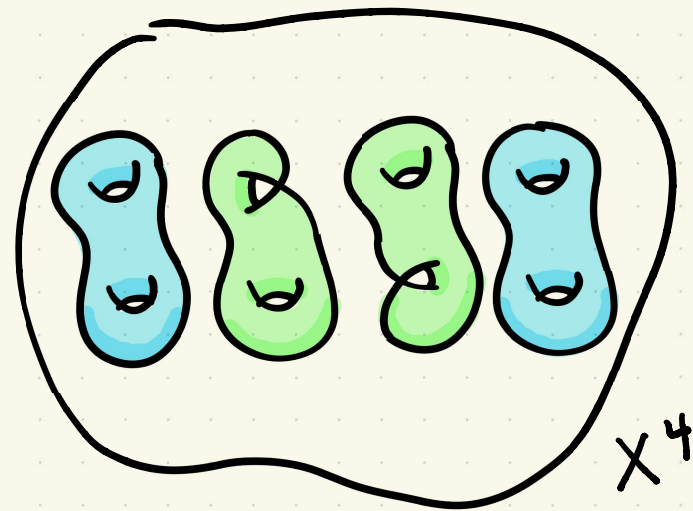
 = vanishing cycle :

# A. BACKGROUND: mapping class group

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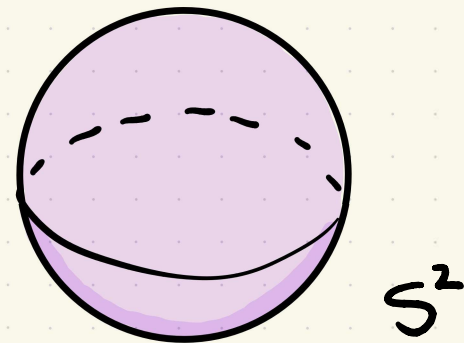
## Remark 1:

genus of regular fiber  
||  
genus of the L.F.



## Remark 2:

the monodromy  
determines the LF



# A. BACKGROUND: mapping class group

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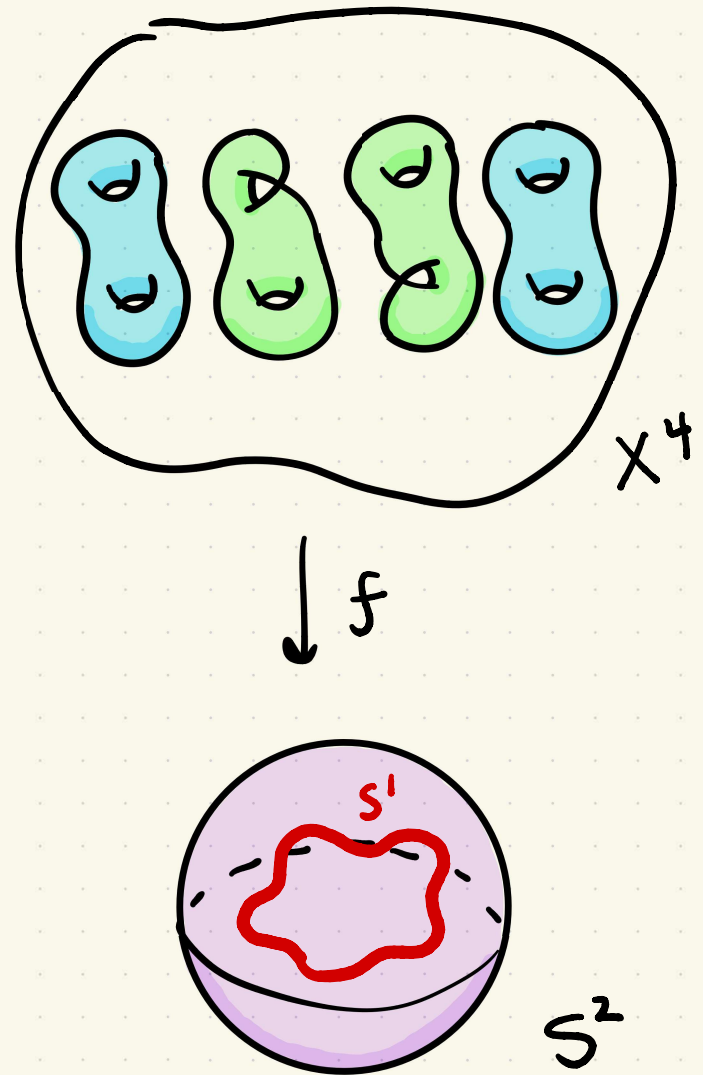
## monodromy of a LF:

1. embedded  $S^1$  in  
base space  $S^2$

2. pre-image

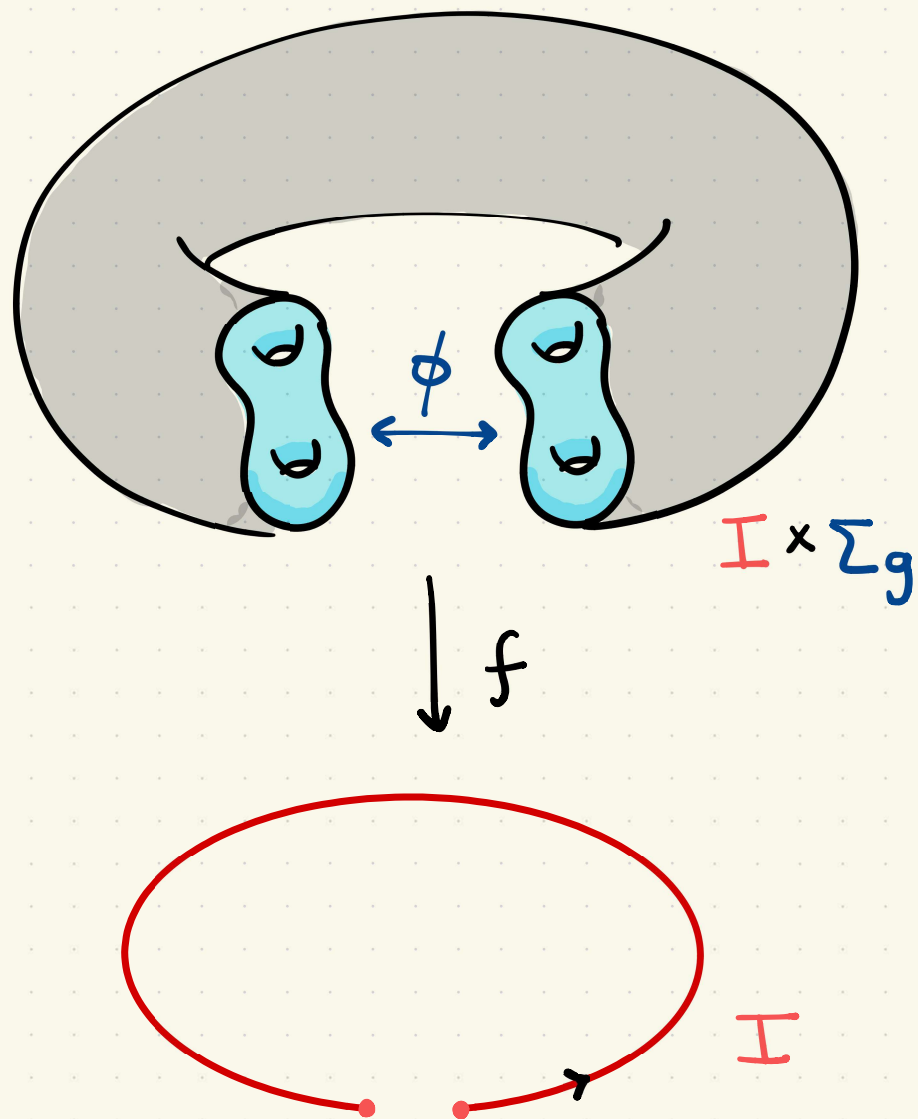
$$= I \times \Sigma_g / \left( (\{0\} \times \Sigma_g) \sim (\{1\} \times \Sigma_g) \right)$$

3. monodromy  $\phi$  = the  
self-diffeo of a regular  
fiber  $\Sigma_g$  to itself



# A. BACKGROUND: mapping class group

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## Remarks:

- $\phi$  is self-diffeo of  $\Sigma_g$  to itself
- $\phi \in \text{Mod}(\Sigma_g)$

$$\phi = \tau_{\gamma_n} \circ \dots \circ \tau_{\gamma_2} \circ \tau_{\gamma_1}$$

where  $\tau$  are **positive** Dehn twists of order 1

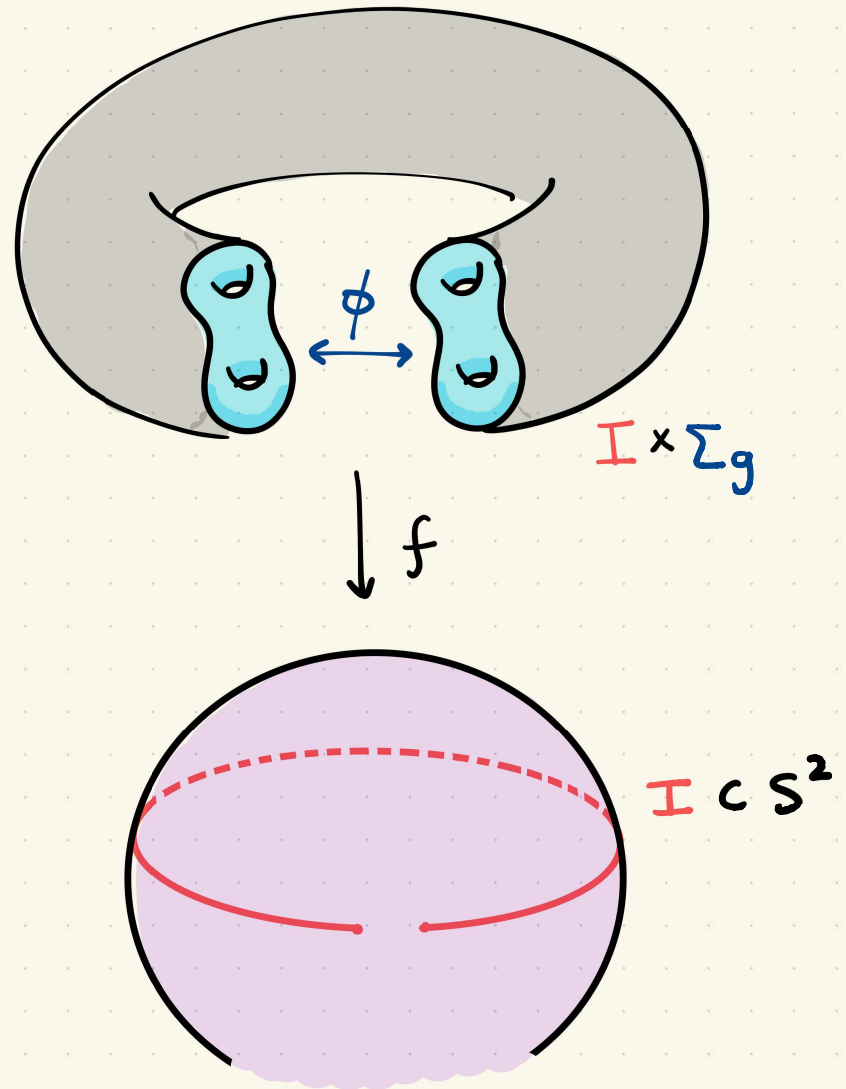
# A. BACKGROUND: mapping class group

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motivation for  $\phi = \text{id mod } (\Sigma_g)$

1.  $S^1$  loop around only regular fibers, then

$$\phi = \text{Id mod } (\Sigma_g)$$



# A. BACKGROUND: mapping class group

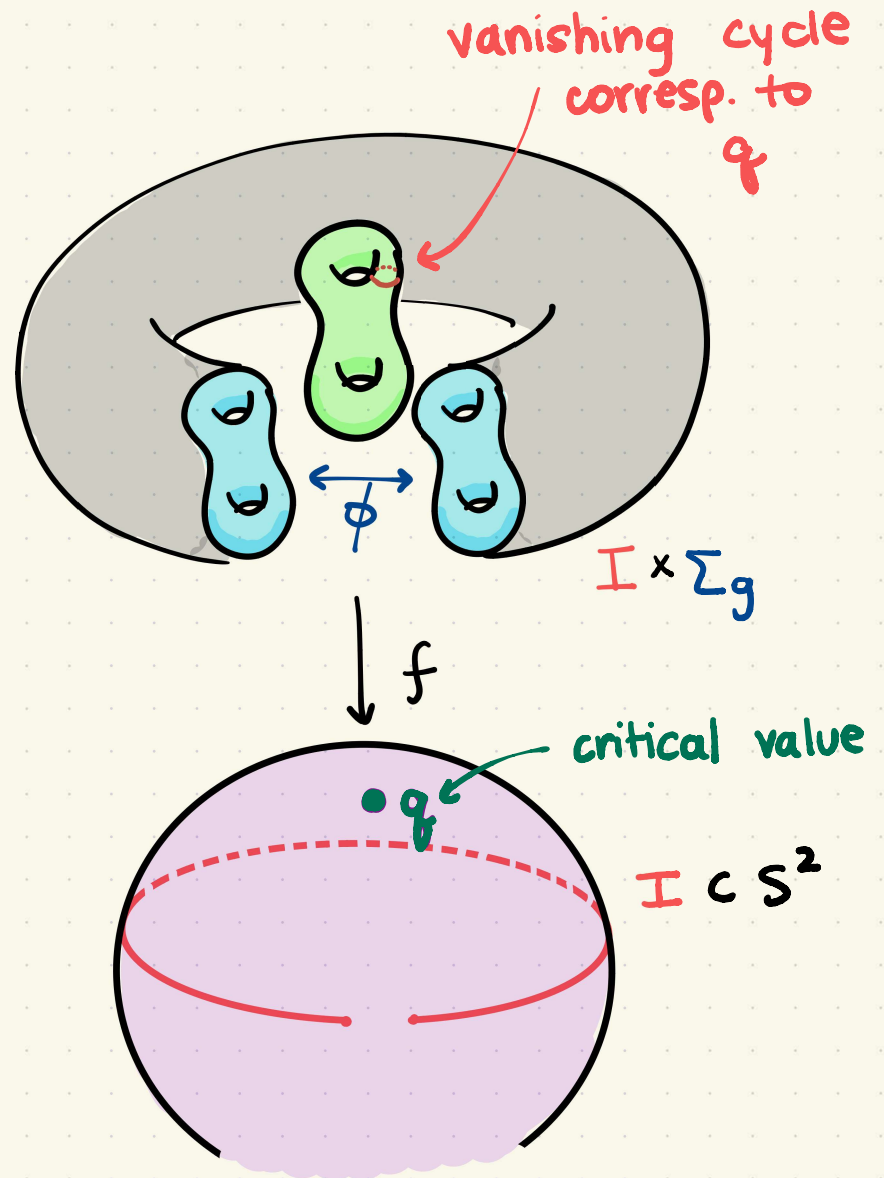
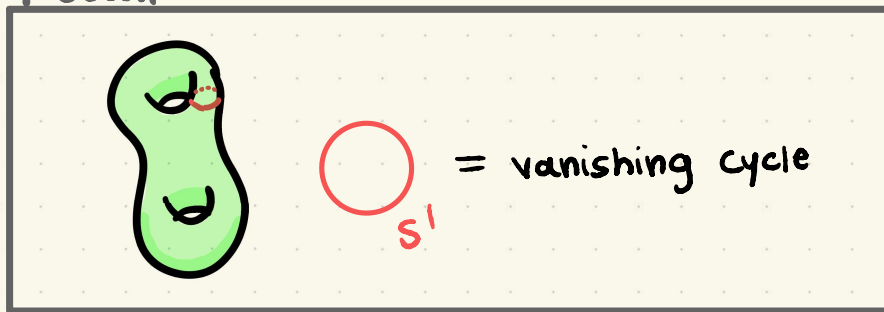
motivation for  $\phi = \text{id mod } (\Sigma_g)$

2.  $S^1$  loop around a critical value  $q$ , then

$$\phi = \tau_\gamma$$

where  $\gamma =$  vanishing cycle corresponding to singular fiber  $f^{-1}(q)$

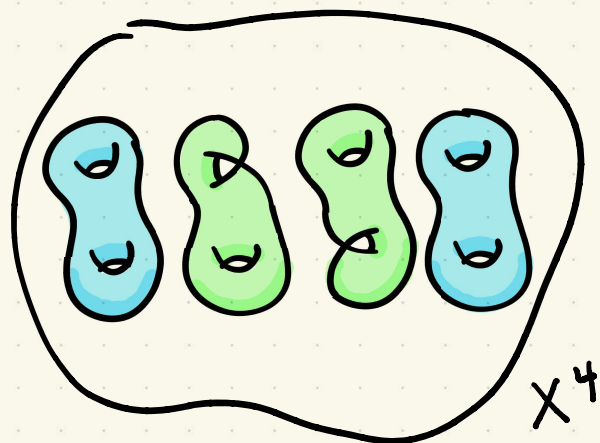
Recall:



# A. BACKGROUND: mapping class group

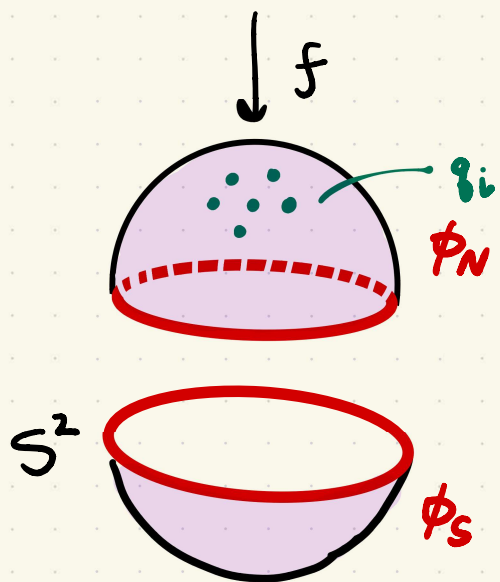
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## Lefschetz fibrations over $S^2$ :



if  $\Sigma_g \longrightarrow X^4$  is a Lefschetz  
 $\downarrow f$   
 $S^2$

fibration, then  $\phi_N = \phi_S$ .



$$\phi_N = \tau_{\gamma_n} \circ \dots \circ \tau_{\gamma_2} \circ \tau_{\gamma_1}$$

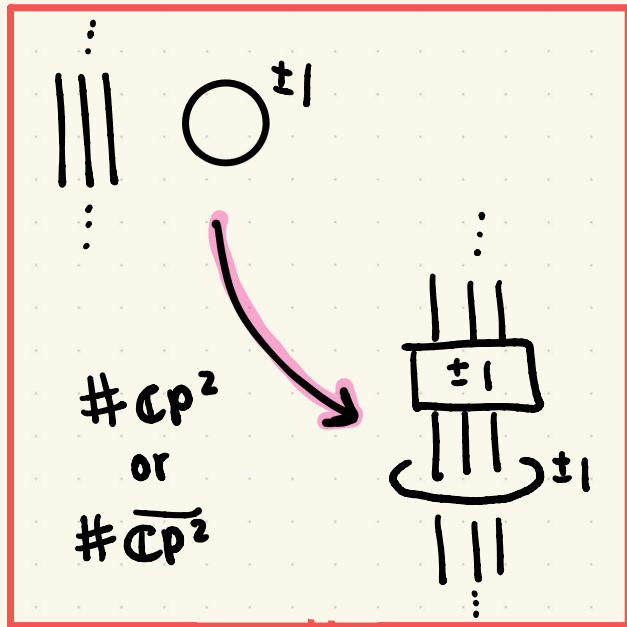
$$\phi_S = \text{id}_{\text{mod}(\Sigma_g)}$$

$$\Rightarrow \tau_{\gamma_n} \circ \dots \circ \tau_{\gamma_1} = \text{id}_{\text{mod}(\Sigma_g)}$$



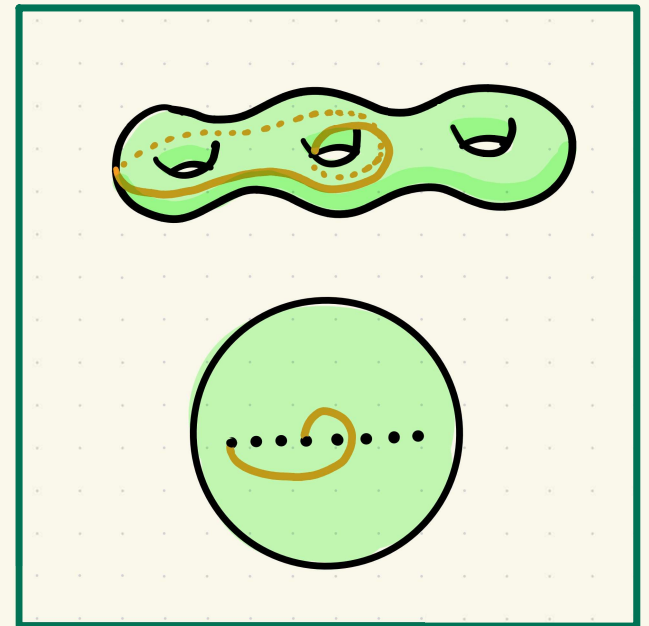
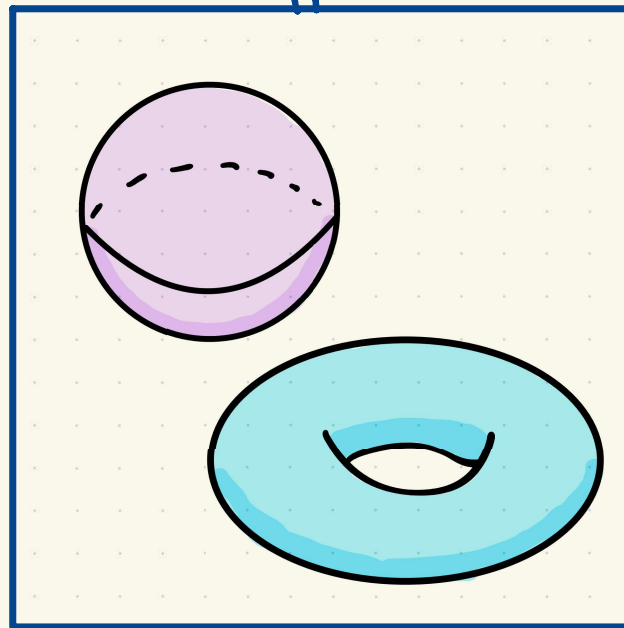
# B. CURRENT PROGRESS

Goal: understand all possible groups that can be  $\pi_1$  of a nontrivial genus-2 Lefschetz fib.



Blow-ups do not change  $\pi_1$  of the 4 manifold

genera 0 and 1 already classified



Q's about curves on surfaces (or braids on  $n$ -strands)



## B. CURRENT PROGRESS

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[Gompf] every finitely presented group is  $\pi_1$  of  
some closed symplectic 4-manifold

A new construction of symplectic manifolds, 1995

### Question:

What are all possible fundamental groups  
of a nontrivial genus 2 Lefschetz fibration?

Current known fundamental groups:

[Ozbagci-Stipsicz '98, Korkmaz '07, Cai-Chafee-Lytle-Vorontsova '24]:

$0, \mathbb{Z}, \mathbb{Z}/n\mathbb{Z}, \mathbb{Z} \oplus \mathbb{Z}, \mathbb{Z}/n \oplus \mathbb{Z}/m, \mathbb{Z} \oplus \mathbb{Z}/n$

## B. CURRENT PROGRESS

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[Gompf] every finitely presented group is  $\pi_1$  of  
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A new construction of symplectic manifolds, 1995

### Question:

What are all possible fundamental groups  
of a nontrivial genus 2 Lefschetz fibration?

Conjecture: Abelian and  $\leq 2$  generators

## B. CURRENT PROGRESS

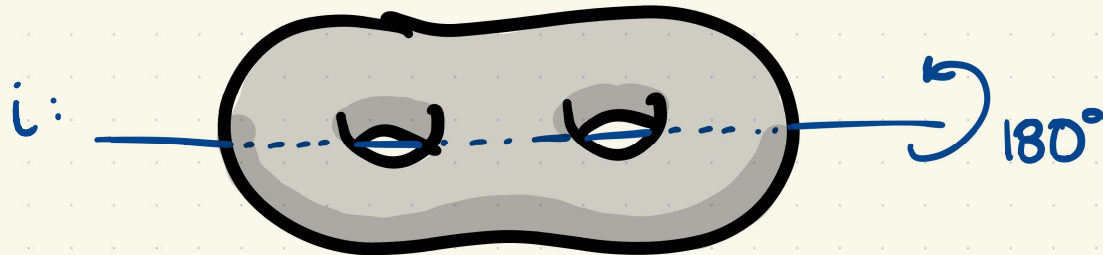
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- rely on hyperellipticity of scc's on  $\Sigma_2$

[Margalit-Winarski]

Every element of  $\text{Mod}(\Sigma_2)$  has a representative that commutes with  $i$

hyperelliptic  
involution

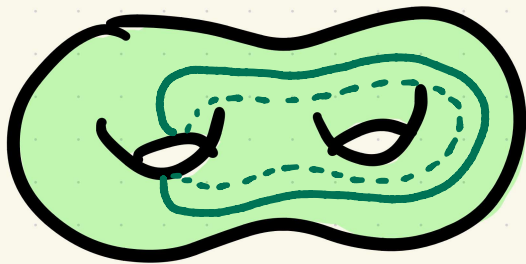
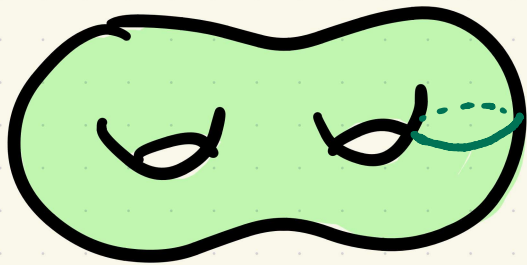


## B. CURRENT PROGRESS

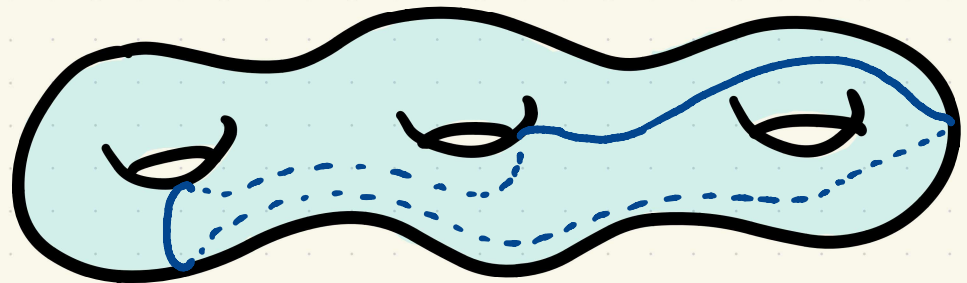
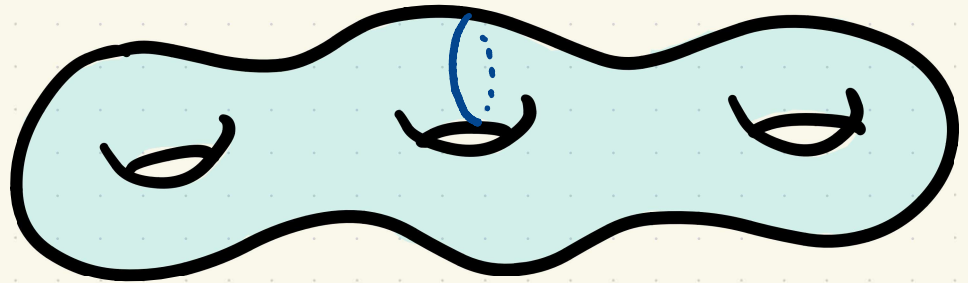
---

- rely on hyperellipticity of scc's on  $\Sigma_2$

hyperelliptic:



not hyperelliptic:



## B. CURRENT PROGRESS

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- rely on hyperellipticity of scc's on  $\Sigma_2$

### CONSEQUENCES OF HYPERELLIPTICITY:

- Can compute  $\chi, \sigma, c_1^2, \chi_h, \dots$

- $n$  = no. of nonseparating vc's  
 $s$  = no. of separating vc's

$$H_1(\text{mod}(\Sigma_2)) = \mathbb{Z}/10\mathbb{Z} \Rightarrow n + 12s \equiv 0 \pmod{10}$$

- we know a lot about a genus-2 L.F. of type  $(n, s)$  if it exists

# B. CURRENT PROGRESS

## KNOWN

$$2n - s \geq 3 \quad \text{and} \\ n + 7s \geq 20$$

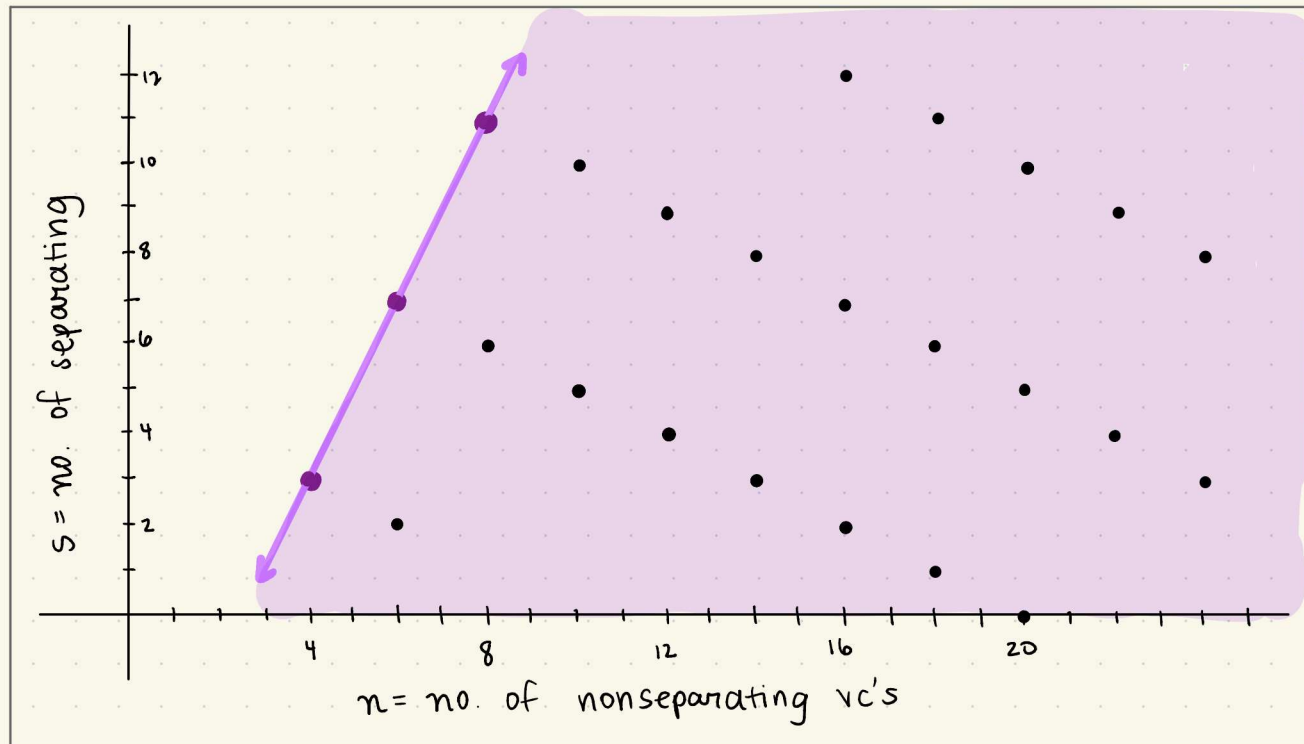
[Baykur - Korkmaz, 2016]



## IMPROVEMENT

$$2n - s \geq 5 \\ \text{(tighter bound)}$$

but more interestingly ...



the LF's on this line are indecomposable if they exist

Proof:

no way to add two vectors in parallelogram and output  $(n, 2n-5)$

## B. CURRENT PROGRESS

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[Seibert-Tian, '99] Nontrivial hyperelliptic genus  $g \geq 2$  Lefschetz fibrations with only nonseparating vanishing cycles are simply connected.

Let  $X$  be a genus-2 LF. of type  $(n, s)$ :

- $(n, 0) \Rightarrow b_1(X) = 0$

- $(n, s) \quad s > 0 \Rightarrow b_1(X) \geq -\frac{4}{5}n + \frac{2}{5}s + 4$

[Baykur-Korkmaz] Small LFs and exotic 4-manifolds, 2017

↳  $\forall n, s$ , this lower bound is either 0 or 2.

## B. CURRENT PROGRESS

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Let  $X$  be a genus-2 LF. of type  $(n, s)$ :

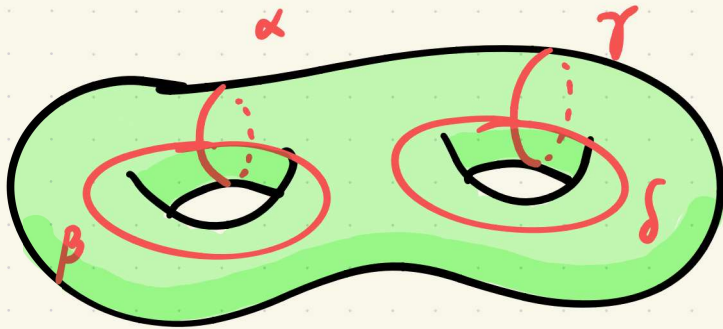
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KNOWN

$$0 \leq b_1(X) \leq 4$$

IMPROVEMENT

$$0 \leq b_1(X) \leq 2$$



$\{\alpha, \beta, \gamma, \delta\}$  a basis for  $H_1(\Sigma_2)$



## B. CURRENT PROGRESS

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Let  $X$  be a genus-2 LF. of type  $(n, s)$ :

---

KNOWN

$$0 \leq b_1(X) \leq 3$$



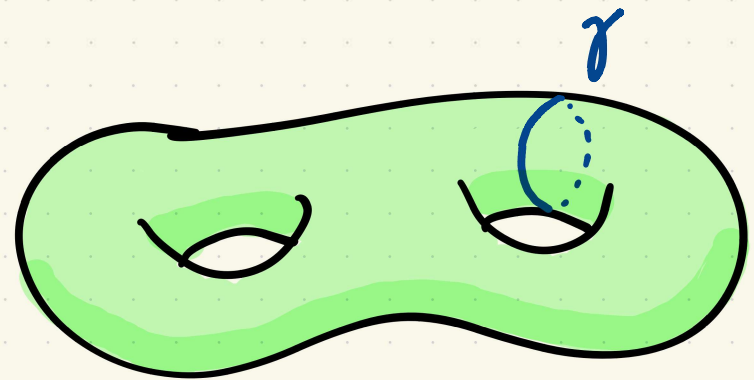
IMPROVEMENT

$$0 \leq b_1(X) \leq 2$$

Improvement due to Smith:

[Smith] A nontrivial genus- $g$  LF over  $S^2$  must have at least 1 nonseparating v.c.

↳ improved to  $\frac{4g+2}{5}$



can always find  $\gamma$  after some homeomorphism

## B. CURRENT PROGRESS

---

Let  $X$  be a genus-2 LF. of type  $(n, s)$ :

---

KNOWN

$$0 \leq b_1(X) \leq 3$$

Idea of Proof that  $b_1(X) \neq 3$ :

**Proposition**

For  $a, b$  isotopy classes of scc's in  $\Sigma_g$ , for any  $k \geq 0$ ,  
$$\Psi(\tau_b^k)[a] = [a] + k \cdot \hat{i}(a, b)[b]$$

$\hat{i}$  = alg. intersection number

IMPROVEMENT

$$0 \leq b_1(X) \leq 2$$

(sharp)

Suppose  $b_1(X) = 3$



all nonsep. vc's are in same homology class



together with Prop,  
 $\phi$  can't be identity

THANKS  
FOR  
LISTENING!