How Explicit is the Explicit Formula?

my co-author William Stein and I asked



To play with this, it occurred to us to experiment with the **Oscillatory term** in the *Explicit Formula* of analytic number theory:



The Explicit Formula:

$$LHS = RHS$$

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LHS

LHS is a function of a variable X that is a sum of local (interesting arithmetic) data at each prime p, this being summed for all p < X.

For example, consider the question: how often does the equation

$$E \quad y^2 + y = x^3 - x$$

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have more than p solutions mod p, and how often less?

Build a 'sum of local data' that reflects this question:

Let $N_E(p)$ be the number of solutions mod p, and you could fashion a *raw measure*:

$$\Delta_E(X) :=$$

$$= \frac{\log X}{\sqrt{X}} \big(\# \{ p < X \mid N_E(p) > p \} - \# \{ p < X \mid N_E(p) < p \} \big),$$

Or, you could try to get to the same question via a smoother "sum of local data":

 $D_E(X) :=$

$$= \frac{1}{\log X} \sum_{p \le X} \frac{(N_E(p) - p) \log p}{p}.$$

(Conjecturally: the mean of $D_E(X)$ is the Mordell-Weil rank of E.)

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What are the RHSs of the Explicit Formulas?

Nothing more than a fancy Fourier analysis of these sums of local data, as functions of X.

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The RHS of the Explicit Formula Is a fancy *Fourier analysis* of $D_E(X)$.

It is an infinite sum of functions

$$\sum_{\sigma+i\gamma}f_{\sigma+i\gamma}(X),$$

each summand, $f_{\sigma+i\gamma}(X)$ being attached to a point $\sigma + i\gamma \in \mathbf{C}$ in the "fancy Fourier spectrum" of $D_E(X)$.

Riemann et al: This *fancy Fourier spectrum* is, miraculously discrete (!) and can be expressed as the zeroes of an appropriate *L*-function.

These "zeroes" come in three distinct packages:

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(I) A possible "central zero" at the complex number

$$\sigma + i\gamma = 1 + i \cdot 0 = 1.$$

The associated summand attached to this point of the spectrum,

$$f_{\sigma+i\gamma}(X) = f_1(X)$$

is a constant (as "function" of X) and conjecturally equal to:

 $r_E :=$ the Mordell-Weil rank of E

Call r_E the global signal.

(II) The "trivial zeros" (a discrete orderly set of real zeroes $\sigma_{\nu} + i \cdot 0$ for $\nu = 1, 2, 3, ...$).

They contribute to what we'll call the easy error signal

$$\epsilon_E(X) = O(1/\log X).$$

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Its mean is 0.

(III) The "nontrivial (complex) zeros" (a far less orderly set of zeroes— conjecturally! lying on a vertical line $1 + i \cdot \gamma_{\nu}$ for $\nu = 1, 2, 3, ...$).

Call their contribution the oscillatory signal.

$$\mathcal{S}(X) = rac{1}{\log X} \sum_{
u} rac{X^{i \cdot \gamma_{
u}}}{i \cdot \gamma_{
u}}.$$

Its convergence is slightly problematic—and somewhat tricky to graph numerically. Conjecturally, its *mean* is 0.

An inexplicit view of the Explicit Formula

(as a sum of three different kinds of 'signals')

 $D_E(X) = r_E + \epsilon_E(X) + \text{oscillatory signal}$

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An inexplicit view of the Explicit Formula

(as a sum of three different kinds of 'signals')

 $D_E(X) = r_E + \epsilon_E(X) + \text{oscillatory signal}$

The spike-sorting problem in neuroscience

- Electrodes in the brain v record the combined signal of multiple neurons
- Different neurons have different characteristic waveforms
- Spike-sorting consists of clustering the recorded spikes to retrieve individual neuron signals



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(Neuro slide thanks to Sonia Todorova)

Here's a graph of $D_E(X)$ for E = 389a whose $r_E = 2$.



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Here's a graph of $D_E(X)$ for E = 389a whose $r_E = 2$.



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and here's a typical $\epsilon_E(X)$:



And here again is an example of the oscillatory term

$$S(X)/\log X = \frac{1}{\log X} \lim_{\tau \to \infty} \sum_{|\gamma \le \tau|} \frac{X^{i \cdot \gamma}}{i \cdot \gamma} = \frac{1}{\log X} \sum_{\nu}^{\infty} \frac{X^{i \cdot \gamma_{\nu}}}{i \cdot \gamma_{\nu}}.$$

S(X):



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Suggested conjecture— in a letter by Sarnak

$$\lim_{X\to\infty} S(X)/\log X \stackrel{??}{=} 0$$

This is worth exploring!

- for the pure joy of numerical exploration (since it is tricky to compute),
- to search for a convincing guess of "the" quantitative rate of convergence to 0 (since this would also offer (conjectural) upper bounds for length-of-computation of analytic rank),
- ► to estimate the size of the peaks of S(X) (since they are surprisingly small: < 3.0 as far as our computations go).</p>

A probabilistic—somewhat Bayesian—analysis of error terms:

The oscillatory function S(X) is (provisionally) conjectured to be $o(\log X)$

... but—most of the time as a measured by multiplicative measure $dX/\log X$ —it seems to have quite small values.

So, form the distribution $\mu = \mu_E$ whose integral over any interval *I* gives the probability over all positive arguments *X* that *S*(*X*) achieves a value in *I*.

E = 11a:



E = 37*a*:



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Question: Is μ_E a normal distribution (with mean 0)?

Definition: The **bite**, β_E , of the oscillatory term $S_E(X)$ is the standard deviation of the (conjecturally normal) distribution μ_E of values of $S_E(X)$.

Open-ended Problem: How is the bite function

 $E \rightarrow \beta_E$

related to any of the other (more standard) invariants of E such as its conductor, analytic rank, etc.?

And as you can see, for my co-author and me,



this is work in progress!