



# Receivers for the Black Hole Explorer

Edward Tong

BHEX Workshop, NAOJ, Mitaka, June 2024

CENTER FOR **ASTROPHYSICS**  
HARVARD & SMITHSONIAN



## Receiver Configuration at a Glance

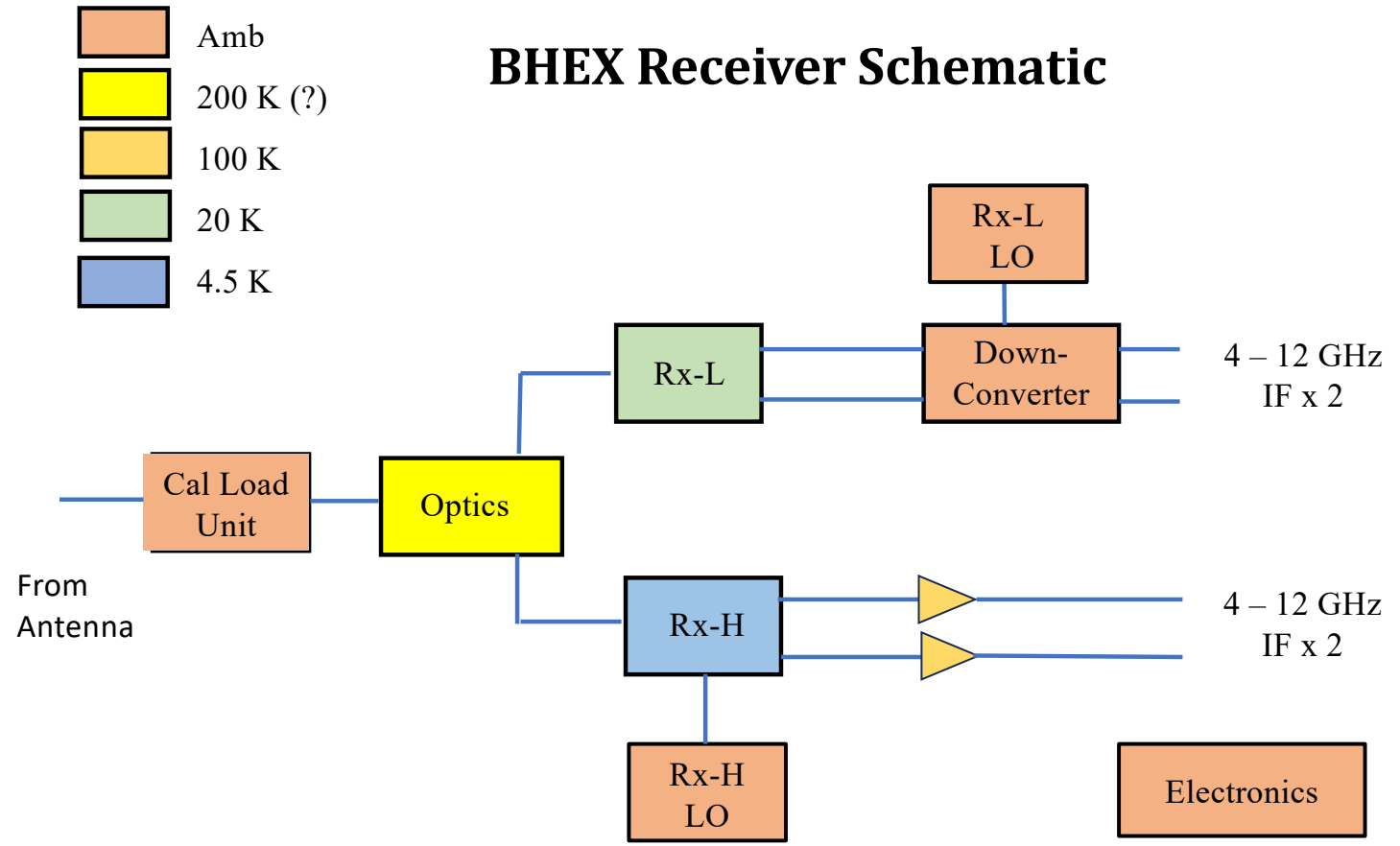
	Rx-H	Rx-L
Type	SIS	HEMT
Sky Frequency	240 <sup>†</sup> - 320 GHz	80 - 106.6 GHz
Physical Temp	4.5 K	20 K
IF	4 - 12 GHz	4 - 12 GHz
LO Frequency	252 - 308 GHz	38 - 48 GHz*
Output	DSB	USB
Target Noise Temp.	25 - 30 K ( $2h\nu/k$ )	40 - 50 K

- Rx-L is used to locate fringes and for FPT
- Second harmonics mixer used for Rx-L.
- † Possibility to be go down to 220 GHz



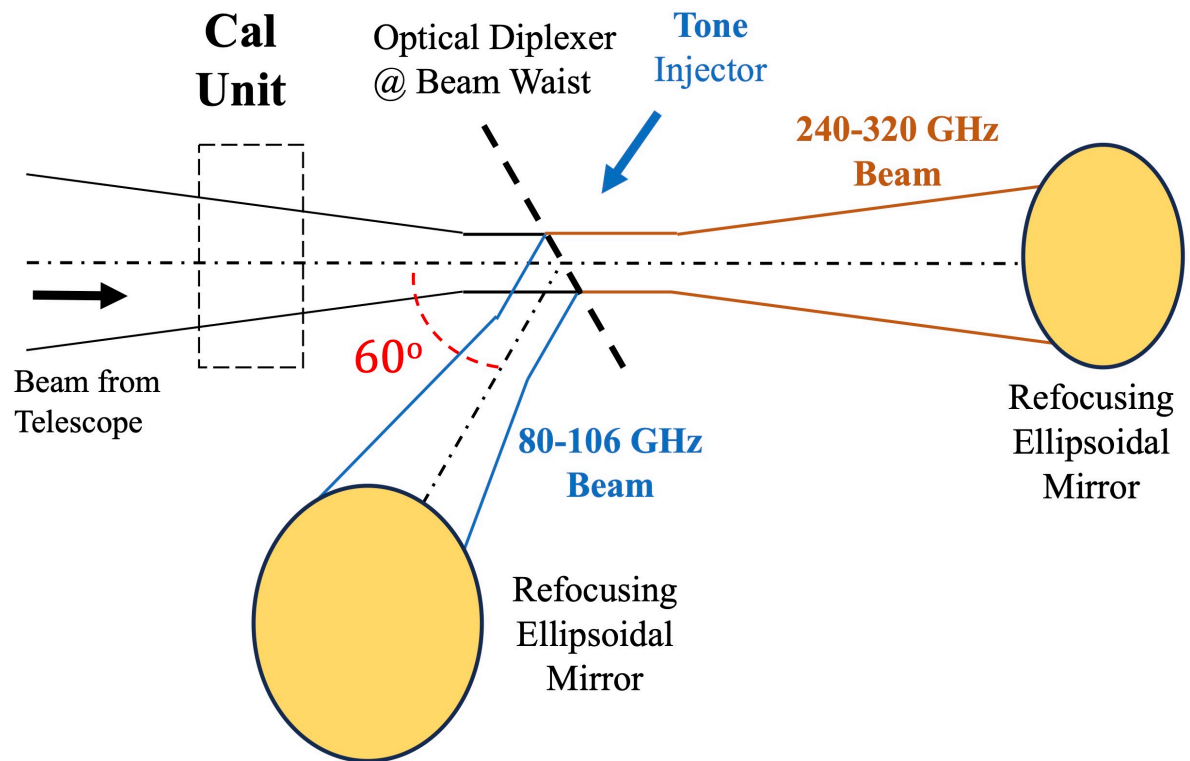
# BHEX Receiver Schematic

- Amb
- 200 K (?)
- 100 K
- 20 K
- 4.5 K



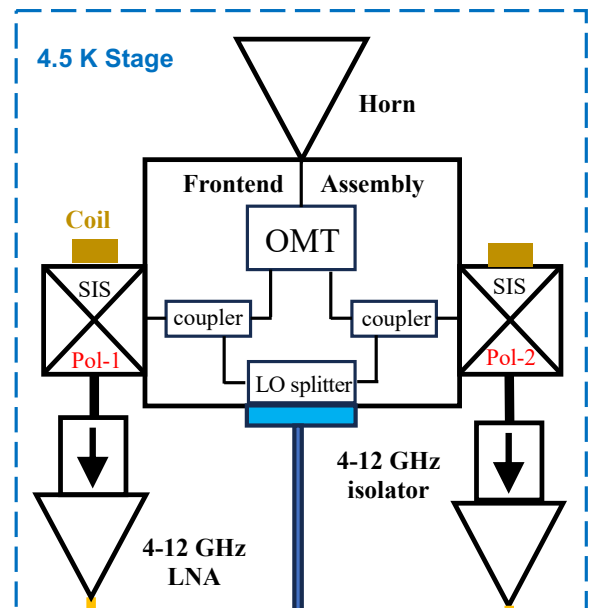


# Optics Layout at a Glance

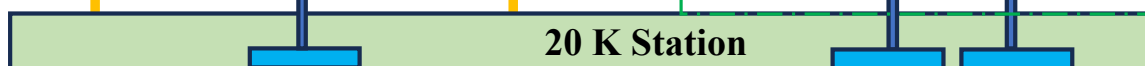
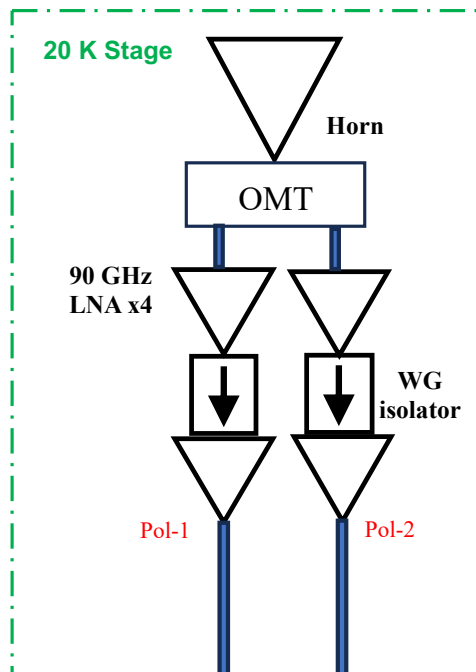




**Receiver H**  
240-320 GHz SIS Receiver



**Receiver L**  
80-106 GHz HEMT Receiver



To 100 K Stage

To 100 K Stage

## Receiver Layout Inside the Cryostat

- The 4-12 GHz LNAs are to operate with 1 mW of power dissipation each to limit thermal load.
- A 2<sup>nd</sup> stage amplification is needed on the 100 K stage.



## Why Single Band (240-320 GHz) SIS Receiver?

### Pro

- Reduced Thermal Budget for Cryostat.
- Reduced complexity and cost.
- Only a single LO source is required – Standard commercial WR-3.4 LO covers 220– 330 GHz.
- Can take advantage of wideband SIS technology to expand sky frequency coverage to 220 GHz beyond 240 GHz baseline.

### Con

- Non-standard SIS Band: ALMA B6 210-275 GHz; ALMA B7 275-375 GHz.
- No 345 GHz coverage.
- Standard EHT tuning is LO 228 GHz.
- No redundancy.

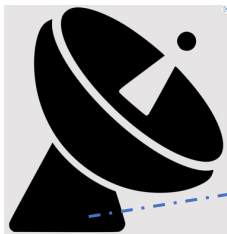


# DSB Vs 2SB Mixer

Complex Visibility over a baseline

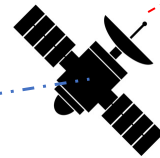
$$|V| \propto |U_{ground}| \cdot |U_{satellite}|$$

2SB Rx



$U_{ground}$

DSB Rx



$U_{satellite}$

Baseline

- DSB Mixer requires half the amount of hardware but the signal at correlator output is reduced by a factor of  $\sqrt{2}$ .
- DSB Mixer configuration employs 2 LNAs Vs 4 LNAs for 2SB receiver.

$$\frac{U_{DSB}}{U_{2SB}} = \frac{1}{\sqrt{2}}$$

DSB receiver power output has signal content of both sidebands.



## Noise Considerations

$$\mathcal{R} = \sqrt{\frac{T_{Ag}T_{As}}{T_{Sg}T_{Ss}}} \cdot \sqrt{\frac{\Delta\nu_{IF}}{\Delta\nu_{LF}}}$$

Write  $\alpha = \frac{T_{DSB}}{T_{2SB}}$

$T_{Ag}$  = Ant. Temp. of ground-based Rx

$T_{As}$  = Ant. Temp. of space-borne Rx

$T_{Sg}$  = System Temp. of ground-based Rx

$T_{Ss}$  = System Temp. of space-borne Rx

$\Delta\nu_{IF}$  = Processed IF bandwidth

$\Delta\nu_{LF}$  = Correlator output bandwidth

$\mathcal{R}$  = Signal-to-noise @ correlator output

For a given  $\Delta\nu_{IF}$ , ratio of SNR @ correlator output between 2SB & DSB receivers

$$\mathcal{R}_{2SB-DSB} = \frac{\mathcal{R}_{2SB}}{\mathcal{R}_{DSB}} = 2\sqrt{\alpha}$$

$\alpha$		$\mathcal{R}_{2SB-DSB}$
0.40	Typical 2SB Rx	1.26
0.45	Good 2SB Rx	1.34
0.50	Ideal 2SB Rx	1.41

Equation adapted from (6.43) Thompson, Moran & Swenson Jr., *Interferometry and Synthesis in Radio Astronomy*, 3rd Ed., Springer, p. 226, 2017.





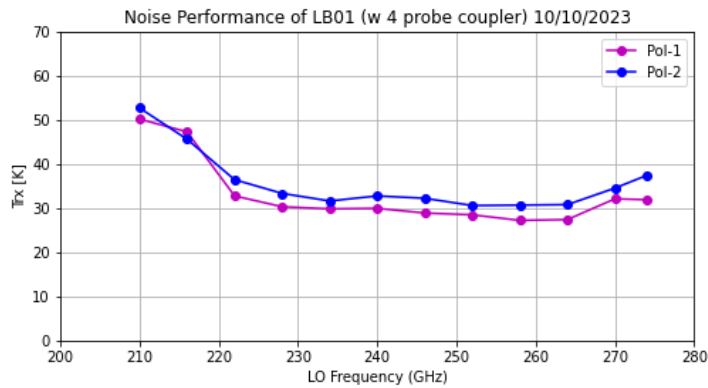
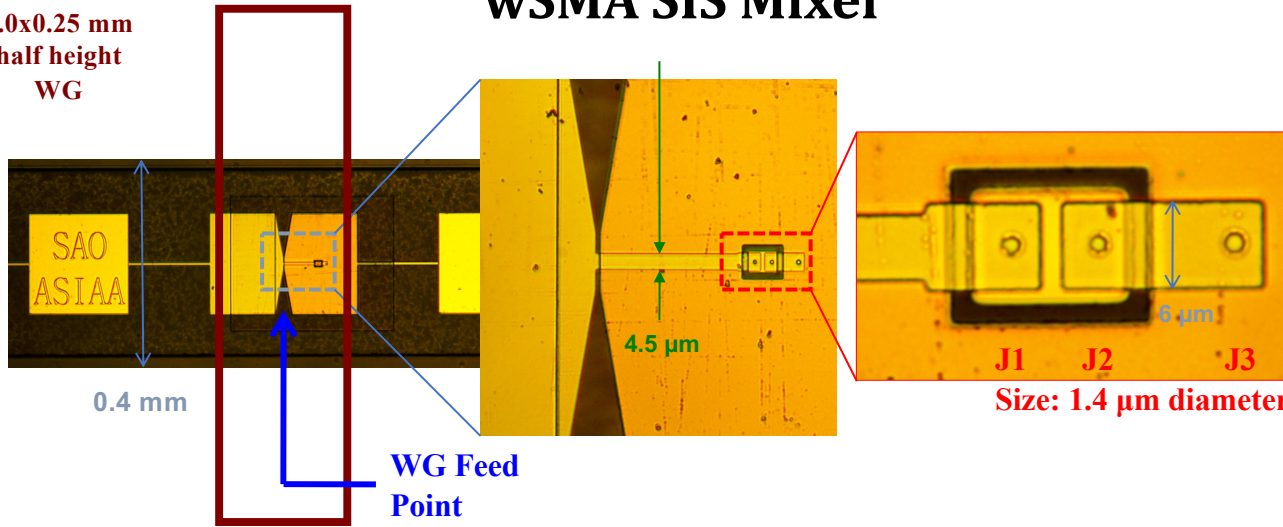
## DSB Vs 2SB: Comparing Different Correlation Schemes

	Relative Data Rate	Relative SNR	
		$\alpha = 0.50$	$\alpha = 0.45$
2SB (space) – 2SB (ground) 2 bit x 2 bit correlation	1.0	1.0	1.0
DSB (space) – 2SB (ground) 2 bit x 2 bit correlation	0.5	0.707	0.745
2SB (space) – 2SB (ground) 1 bit x 2 bit correlation	0.5	0.85	0.85
DSB (space) – 2SB (ground) 1 bit x 2 bit correlation	0.25	0.602	0.634

Dual Pol DSB Receiver with 4-12 GHz IF, sampled at 1 bit at Nyquist rate = 32 Gbit/sec.

# wSMA SIS Mixer

1.0x0.25 mm  
half height  
WG

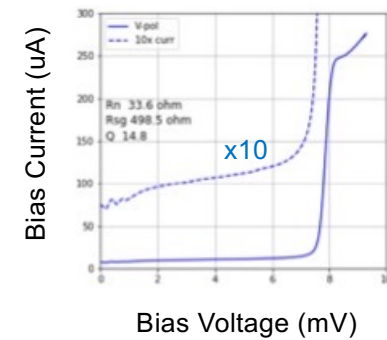


	Rx-A (Low Band)	Rx-B (High Band)
Junction Diameter	1.4 $\mu\text{m}$	1.3 $\mu\text{m}$
Target $R_n$ -A	22 $\Omega - \mu\text{m}^2$	16 $\Omega - \mu\text{m}^2$
Critical Current Density	8 – 9 kA/cm <sup>2</sup>	12 – 13 kA/cm <sup>2</sup>
Expected $R_n$	30 - 40 $\Omega$	~ 35 $\Omega$
Chip dimensions	0.11 x 0.44 x 4 mm	0.082 x 0.33 x 3.6 mm

## Wish List for BHEX SIS Receiver

- Higher Critical Current Density  $15 \text{ kA/cm}^2$  ( $R_{NA} \sim 15 \text{ } \Omega\text{-}\mu\text{m}^2$ ) – will open up possibility of wider band design.
- Low Leakage at 4.5 K ( $Q > 15$ ) --- Ensures high conversion efficiency.
- SMA uses 3 junction series array Vs NAOJ's twin parallel junction design
- No vacuum window – wSMA vacuum window incurs  $\sim 2\%$  loss, adds  $\sim 6$  K of noise.
- Low loss optical diplexer – commercial dichroic can be lossy. Targets 2 - 3% insertion loss at 270 GHz. Optics cooled to 200 K or less (?)

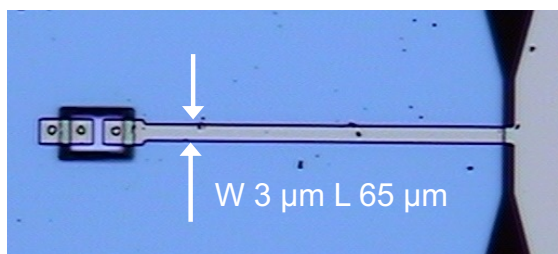
SIS I-V Curve



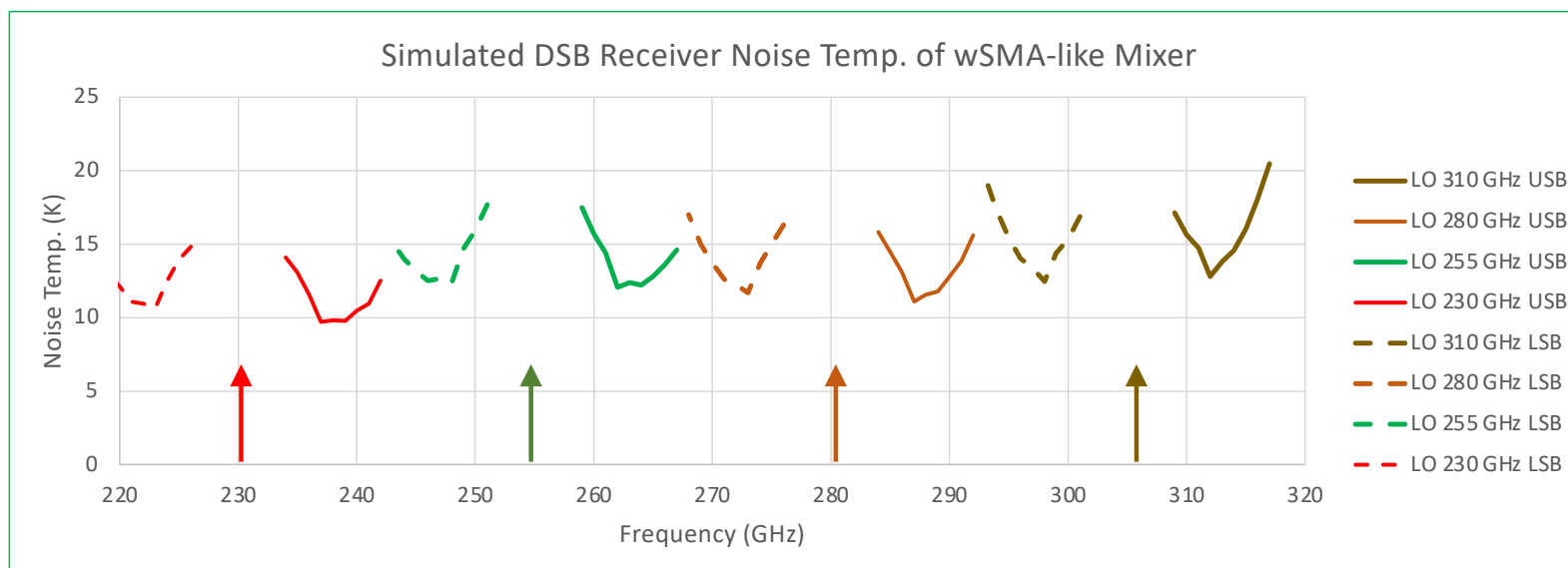
## What if we scale a wSMA Mixer to BHEX Frequency Range?



$R_{NA} = 15 \Omega - \mu\text{m}^2$   
 3 x Dia  $1.4 \mu\text{m}$  Device  
 $C_J = 90 \text{ fF}/\mu\text{m}$   
 $Q = 15$



$\omega C_J R_N \sim 2.4$   
 40 % BW is possible  
 wSMA is tuned for higher IF



C.-Y. E. Tong, P.K. Grimes, and L. Zeng, "Noise wave modeling of an SIS mixer and its IF circuit using Tucker's Quantum Theory of mixing," *IEEE Trans. Appl. Supercond.*, vol. 29, no. 5, 1501105, Aug. 2019. Doi: 10.1109/TSAC.2019.2899844

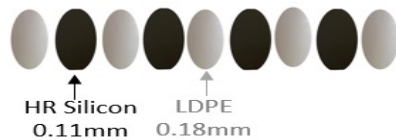


# Optical Diplexer

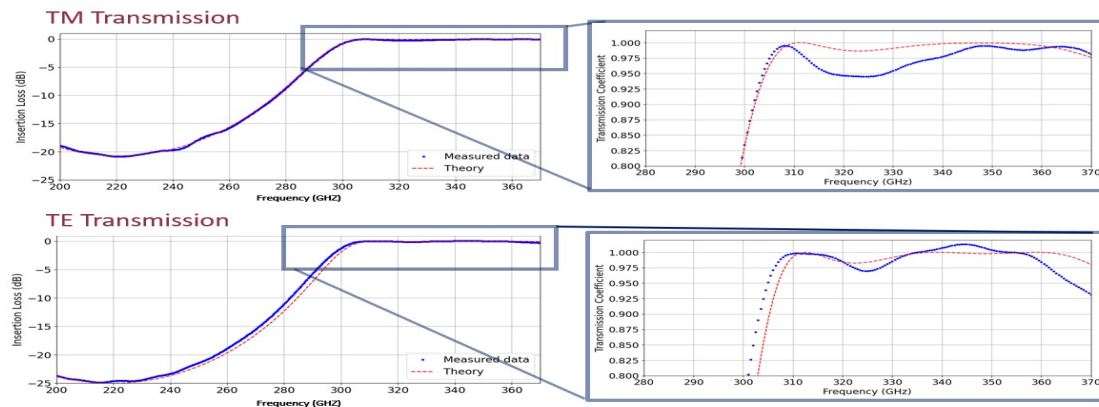
Commercial “dichroic”: multi-layer printed periodic structures

- Max incident angle  $22.5^\circ$
- Losses: 5 – 8 %
- 5% loss @ 300 K = 15 K added noise:

- We are developing a new type of optical diplexer based on an optical stack built around HR silicon disks.
- A 230/345 GHz diplexer has been designed and tested.
- Incident angle  $30^\circ$ . Measured loss 3% @ 350 GHz.
- 100/300 GHz diplexer has designed.
- Can we cool this diplexer to lower temperature (?)



- Transmission Band:**
- centered at 350 GHz
- Reflection Band:**
- centered at 220 GHz



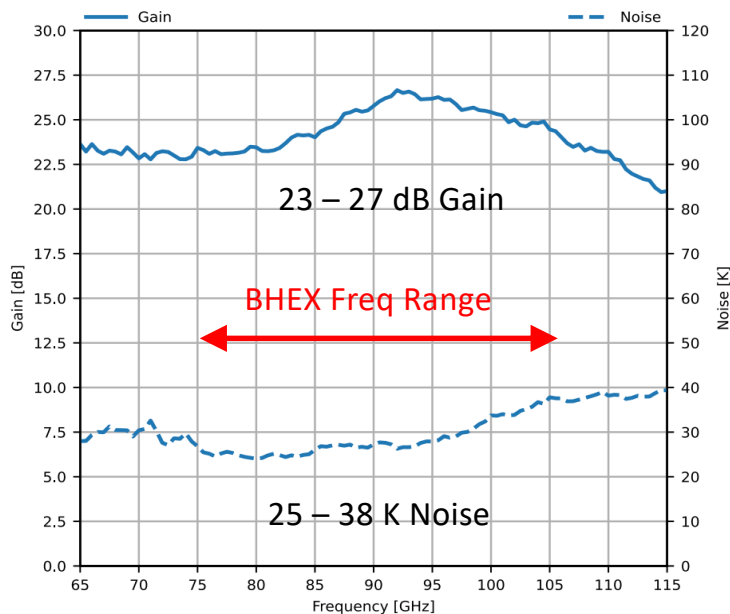
Carter, Tong, Zeng, Grimes & Kimberk, “A low-loss optical diplexer for millimeter and submillimeter radio astronomy,” presented at SPIE Yokohama, June 2024.

# Rx-L: 90 GHz HEMT Receiver

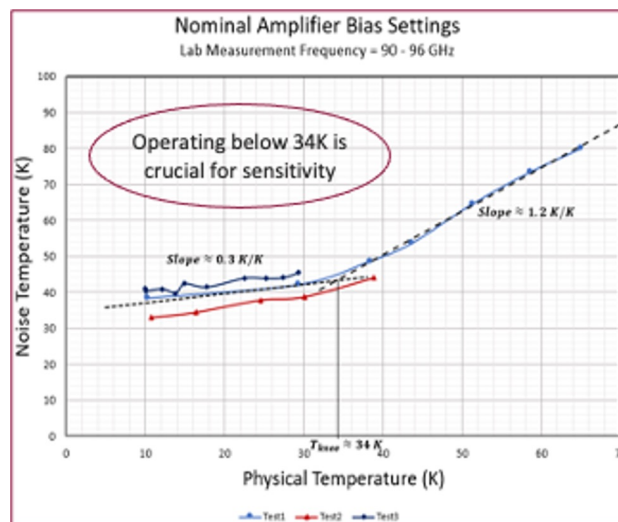


Measured data,  $T_{amb}=4\text{ K}$

s/n 310Z 2024



- Model LNF-LNC65\_115WB made by Low Noise Factory (Sweden).
- Used as 2<sup>nd</sup> stage in ALMA2+3
- Max-Planck’s LNA has slightly lower noise.
- 2 Stages will be used with isolator in between
- Nominal DC power: 10 mW per stage



Noise penalty of  
~5 K when  
operated at 20 K

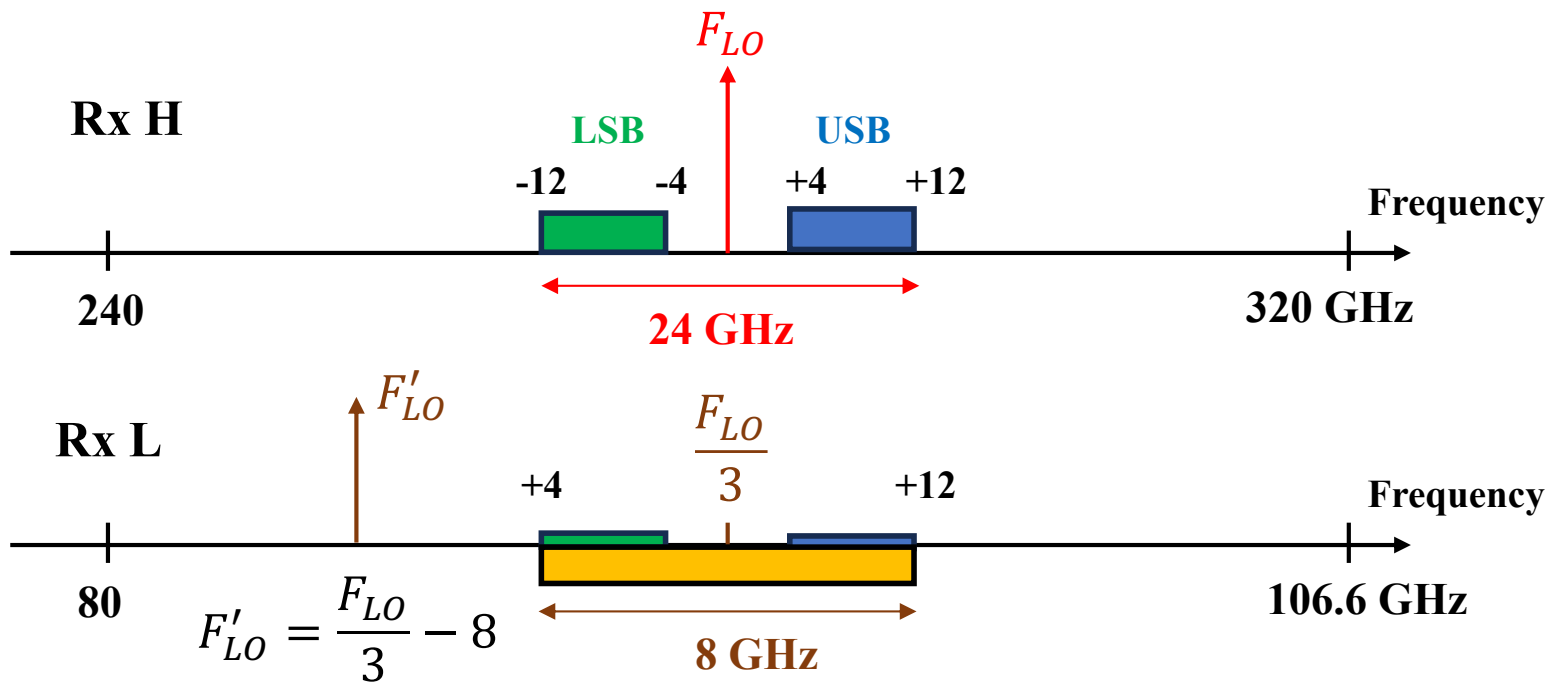
Tong, Carter & Zeng, “An 86/115 GHz sidecar receiver addition to the ngEHT receiver for OVRO & LMT,” presented at !SSTT2024, Charlottesville VA, April 2024.



# Operation Frequency Scheme of BHEX Receiver

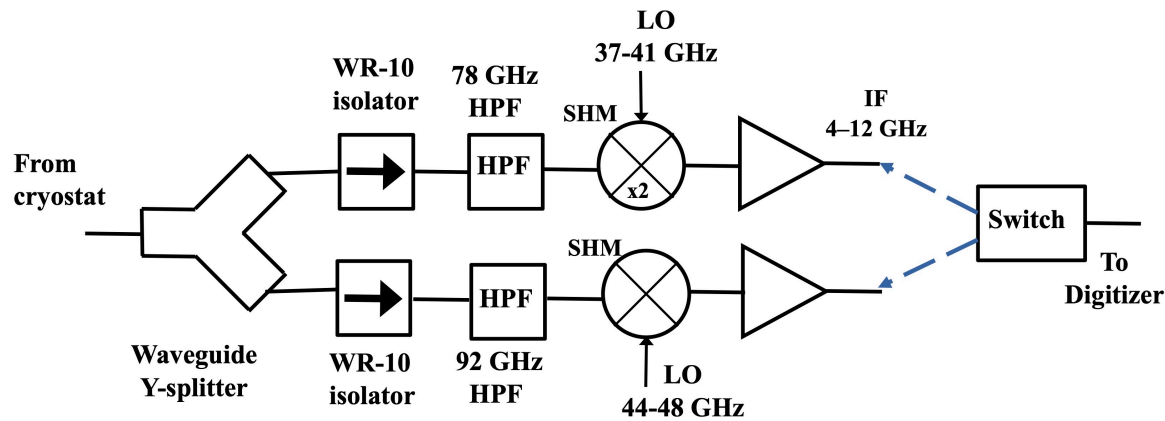


**Rx-L will be operated at 1/3 the frequency of Rx-H** to allow easy implementation of Frequency Phase Transfer Scheme.



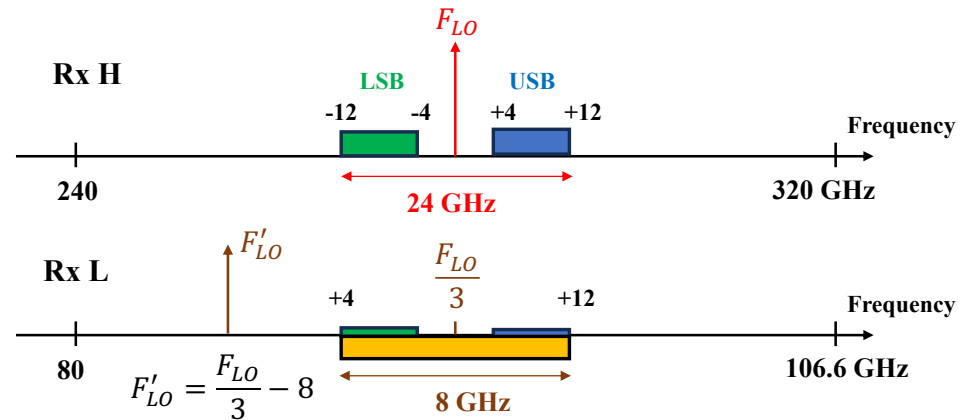


## Down-Converter for Rx-L



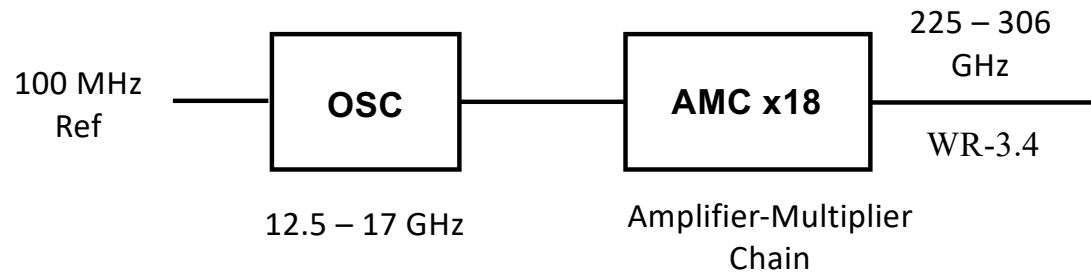
- Operates at Ambient Temp of space-craft.
- ~40 dB of gain in cryostat. Noise contribution is small.

- Second Harmonic Mixer used relaxing LO complexity.
- A waveguide HPF is used to cut off LSB of mixer
- Signal is split into 2 frequency streams: 78 – 92 GHz and 92 – 106 GHz.





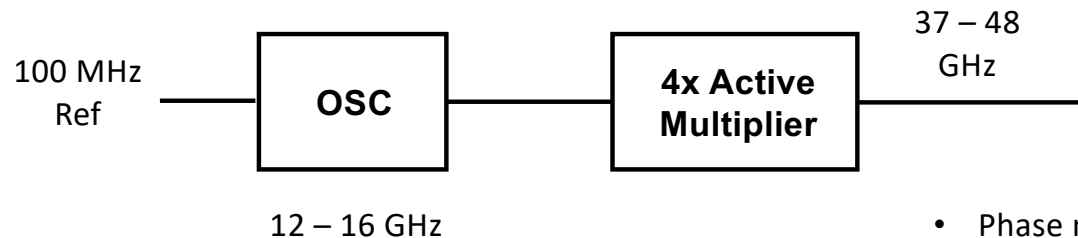
## Rx-H Local Oscillator



Send into cryostat via over-moded SS WR-10 waveguide (~10 dB loss)

Required LO drive for 3-junction SIS mixer: 0.5  $\mu$ W  
2-pol + 20 dB coupler + WG loss  $\rightarrow$  1 mW

## Rx-L Local Oscillator



Required LO power +15 dBm (30 mW)

- Phase noise spec of microwave oscillator (?)
- OSC can be a tunable synthesizer or an array of DROs.



# Status & Challenges for BHEX Receiver Development



- A receiver team has been formed: SAO + U-Arizona.
- Talking with NAOJ on mixer fabrication.
- Talked with Virginia Diodes for LO and potentially 90 GHz down-converter.
  
- Need to define Antenna interface – establish simple analytical model to constrain optics.
- Cal unit – an orphan?
- To work out a Receiver layout, subjected to geometry of cryocooler.
- Tone injector – only preliminary concepts so far.
- Can we tap on the space-craft cooling system to cool a radiation shield and optics. (200 K?)
- We will need a lab test cryostat for testing purpose. What level of similarities and capabilities?
- Optics diplexer – current SAO design works at ambient temperature only. Cryogenic cooled diplexer is under development.
- Phase noise specifications of base synthesizer.

