

Tuning In, Tuning Out: The Strange Disappearance of Social Capital in America

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It is a daunting honor to deliver the inaugural Pool Lecture. Ithiel de Sola Pool was a brilliant, broad-gauged scholar whose interests ranged from the Nazi elite to direct satellite broadcasting, from the first rigorous computer simulation of electoral behavior to the development of network theory, from which he invented "small world" research. He helped found the field of political communications. A graduate of the University of Chicago's political science department during its classic golden age, and first chair of the MIT political science department, Pool must also have been a remarkable teacher, for his students continue to contribute to our understanding of technology, communications, and political behavior. When I accepted this honor, I did not guess how close my own inquiry would lead me to Pool's own professional turf. I shall return to the contemporary relevance of Pool's insights at the conclusion of this talk.

For the last year or so, I have been wrestling with a difficult mystery. It is, if I am right, a puzzle of some importance to the future of American democracy. It is a classic brain-teaser, with a corpus delicti, a crime scene strewn with clues, and many potential suspects. As in all good detective stories, however, some plausible miscreants turn out to have impeccable alibis, and some important clues hint at portentous developments that occurred long before the curtain rose. Moreover, like Agatha Christie's *Murder on the Orient Express*, this crime may have had more than one perpetrator, so that we shall need to sort out ringleaders from accomplices. Finally, I need to make clear at the outset that I am not yet sure that I have solved the mystery. In that sense, this lecture represents work-in-progress. I have a prime suspect that I am prepared to indict, but the evidence is not yet strong enough to convict, so I invite your help in sifting clues.

Theories and Measures of Social Capital

Allow me to set the scene by saying a word or two about my own recent work. Several years ago I conducted research on the arcane topic of local government in Italy (Putnam 1993). That study concluded that the performance of government and other social institutions is powerfully influenced by citizen engagement in community affairs, or what (following Coleman 1990) I termed *social capital*. I am now seeking to apply that set of ideas and insights to the urgent problems of contemporary American public life.

By "social capital," I mean features of social life-networks, norms, and trust-that enable participants to act together more effectively to pursue shared objectives. Whether or not their shared goals are praiseworthy is, of course, entirely another matter. To the extent that the norms, networks, and trust link substantial sectors of the community and span underlying social cleavages-to the extent that the social capital is of a "bridging" sort-then the enhanced cooperation is likely to serve broader interests and to be widely welcomed. On the other hand, groups like the Michigan militia or youth gangs also embody a kind of social capital, for these networks and norms, too, enable members to cooperate more effectively, albeit to the detriment of the wider community.

Social capital, in short, refers to social connections and the attendant norms and trust. Who benefits from these connections, norms, and trust-the individual, the wider community, or some faction within the community-must be determined empirically, not definitionally. Sorting out the multiple effects of different forms of social capital is clearly a crucial task, although it is not one that I can address here. For present purposes, I am concerned with forms of social capital that, generally speaking, serve civic ends.

Social capital in this sense is closely related to political participation in the conventional sense, but these terms are not synonymous. Political participation refers to our relations with political institutions. Social capital refers to our relations with one another. Sending a check to a PAC is an act of political participation, but it does not embody or create social capital. Bowling in a league or having coffee with a friend embodies and creates social capital, though these are not acts of political participation. (A grassroots political movement or a traditional urban machine is a social capital intensive form of political participation.) I use the term "civic engagement" to refer to people's connections with the life of their communities, not merely with politics. Civic engagement is correlated with political participation in a narrower sense, but whether they move in lock-step is an empirical question, not a logical certitude. Some forms of individualized political participation, such as checkwriting, for example, might be rising at the same time that social connectedness was on the wane. Similarly, although social trust-trust in other people-and political trust-trust in political authorities-might be empirically related, they are logically quite distinct. I might well trust my neighbors without trusting city hall, or vice versa.

The theory of social capital presumes that, generally speaking, the more we connect with other people, the more we trust them, and vice versa. At least in the contexts I have so far explored, this presumption generally turns out to be true: social trust and civic engagement are strongly correlated. That is, with or without controls for education, age, income, race, gender, and so on, people who join are people who trust. Moreover, this is true across different countries, and across different states in the United States, as well as across individuals, and it is true of all sorts of groups. Sorting out which way causation flows-whether joining causes trusting or trusting causes joining-is complicated both theoretically and

methodologically, although John Brehm and Wendy Rahn (1995) report evidence that the causation flows mainly from joining to trusting. Be that as it may, civic connections and social trust move together. Which way are they moving?

Bowling Alone: Trends in Civic Engagement

Evidence from a number of independent sources strongly suggests that America's stock of social capital has been shrinking for more than a quarter century.

- Membership records of such diverse organizations as the PTA, the Elks club, the League of Women Voters, the Red Cross, labor unions, and even bowling leagues show that participation in many conventional voluntary associations has declined by roughly 25% to 50% over the last two to three decades (Putnam 1995, 1996).
- Surveys of the time budgets of average Americans in 1965, 1975, and 1985, in which national samples of men and women recorded every single activity undertaken during the course of a day, imply that the time we spend on informal socializing and visiting is down (perhaps by one quarter) since 1965, and that the time we devote to clubs and organizations is down even more sharply (probably by roughly half) over this period.
- While Americans' interest in politics has been stable or even growing over the last three decades, and some forms of participation that require moving a pen, such as signing petitions and writing checks, have increased significantly, many measures of collective participation have fallen sharply (Rosenstone and Hansen 1993; Putnam 1996), including attending a rally or speech (off 36% between 1973 and 1993), attending a meeting on town or school affairs (off 39%), or working for a political party (off 56%).
- Evidence from the General Social Survey demonstrates, at all levels of education and among both men and women, a drop of roughly one-quarter in group membership since 1974 and a drop of roughly one-third in social trust since 1972. Moreover, as Figure I illustrates, slumping membership has afflicted all sorts of groups, from sports clubs and professional associations to literary discussion groups and labor unions. Only nationality groups, hobby and garden clubs, and the catch-all category of "other" seem to have resisted the ebbing tide. Furthermore, Gallup polls report that church attendance fell by roughly 15% during the 1960s and has remained at that lower level ever since, while data from the National Opinion Research Center suggest that the decline continued during the 1970s and 1980s and by now amounts to roughly 30% (Putnam 1996).

Each of these approaches to the problem of measuring trends in civic engagement has advantages and drawbacks. Membership records offer long-term coverage and reasonable precision, but they may underrepresent newer, more vibrant organizations. Time budgets capture real investments of time and energy in both formal and informal settings, not merely nominal membership, but the available

data are episodic and drawn from relatively small samples that are not entirely comparable across time. Surveys are more comprehensive in their coverage of various types of groups, but (apart from church attendance) comparable trend data are available only since the mid-1970s, a decade or more after the putative downturn began, so they may understate the full decline. No single source is perfect for testing the hypothesized decline in social connectedness, although the consistency across different measuring rods is striking.

A fuller audit of American social capital would need to account for apparent counter-trends. Some observers believe, for example, that support groups and neighborhood watch groups are proliferating, and few deny that the last several decades have witnessed explosive growth in interest groups represented in Washington. The growth of "mailing list" organizations, like the American Association of Retired People or the Sierra Club, although highly significant in political (and commercial) terms, is not really a counter-example to the supposed decline in social connectedness, however, since these are not really associations in which members meet one another. Their members' ties are to common symbols and ideologies, but not to each other. These organizations are sufficiently different from classical "secondary" associations as to deserve a new rubric—perhaps "tertiary" associations. Similarly, although most secondary associations are not-for-profit, most prominent nonprofits (from Harvard University to the Metropolitan Opera) are bureaucracies, not secondary associations, so the growth of the "Third Sector" is not tantamount to a growth in social connectedness. With due regard to various kinds of counter-evidence, I believe that the weight of the available evidence confirms that Americans today are significantly less engaged with their communities than was true a generation ago.

Of course, lots of civic activity is still visible in our communities. American civil society is not moribund. Indeed, evidence suggests that America still outranks many other countries in the degree of our community involvement and social trust (Putnam 1996). But if we compare ourselves, not with other countries but with our parents, the best available evidence suggests that we are less connected with one another. This prologue poses a number of important questions that merit further debate:

- Is it true that America's stock of social capital has diminished?
- Does it matter?
- What can we do about it?

The answer to the first two questions is, I believe, "yes," but I cannot address them further in this setting. Answering the third question—which ultimately concerns me most—depends, at least in part, on first understanding the causes of the strange malady afflicting American civic life. This is the mystery I seek to unravel here: Why, beginning in the 1960s and accelerating in the 1970s and 1980s, did the fabric of American community life begin to fray? Why are more Americans bowling alone?

Explaining the Erosion of Social Capital

Many possible answers have been suggested for this puzzle:

- Business and time pressure
- Economic hard times (or, according to alternative theories, material affluence)
- Residential mobility
- Suburbanization
- The movement of women into the paid labor force and the stresses of two-career families
- Disruption of marriage and family ties
- Changes in the structure of the American economy, such as the rise of chain stores, branch firms, and the service sector
- The Sixties (most of which actually happened in the Seventies), including -Vietnam, Watergate, and disillusion with public life -The cultural revolt against authority (sex, drugs, and so on)
- Growth of the welfare state
- The civil rights revolution
- Television, the electronic revolution, and other technological changes

Most respectable mystery writers would hesitate to tally up this many plausible suspects, no matter how energetic the fictional detective. I am not yet in a position to address all these theories-certainly not in any definitive form-but we must begin to winnow the list. To be sure, a social trend as pervasive as the one we are investigating probably has multiple causes, so our task is to assess the relative importance of such factors as these.

A solution, even a partial one, to our mystery must pass several tests.

Is the proposed explanatory factor correlated with trust and civic engagement? If not, it is difficult to see why that factor should even be placed in the lineup. For example, many women have entered the paid labor force during the period in question, but if working women turned out to be more engaged in community life than housewives, it would be harder to attribute the downturn in community organizations to the rise of two-career families.

Is the correlation spurious? If parents, for example, were more likely to be joiners than childless people, that might be an important clue. However, if the correlation between parental status and civic engagement turned out to be entirely spurious, due to the effects of (say) age, we would have to remove the declining birth rate from our list of suspects.

Is the proposed explanatory factor changing in the relevant way? Suppose, for instance, that people who often move have shallower community roots. That could be an important part of the answer to our mystery only if residential mobility itself had risen during this period.

Is the proposed explanatory factor vulnerable to the claim that it might be the result of civic disengagement, not the cause? For example, even if newspaper readership were closely correlated with civic engagement across individuals and across time, we would need to weigh the possibility that reduced newspaper circulation is the result (not the cause) of disengagement. Against that set of benchmarks, let us consider various potential influences on social capital formation.

Education

Human capital and social capital are closely related, for education has a very powerful effect on trust and associational membership, as well as many other forms of social and political participation. Education is by far the strongest correlate that I have discovered of civic engagement in all its forms, including social trust and membership in many different types of groups. In fact, as Figure 2 illustrates, the relationship between education and civic engagement is a curvilinear one of increasing returns. The last two years of college make twice as much difference to trust and group membership as the first two years of high school. The four years of education between 14 and 18 total years have *ten times more impact* on trust and membership than the first four years of formal education. The same basic pattern applies to both men and women, and to all races and generations. Education, in short, is an extremely powerful predictor of civic engagement.

Sorting out just why education has such a massive effect on social connectedness would require a book, not a mere lecture. Education is in part a proxy for social class and economic differences, but when income, social status, and education are used together to predict trust and group membership, education continues to be the primary influence. (Income and satisfaction with one's personal financial situation both have a significant independent effect.) In short, highly educated people are much more likely to be joiners and trusters, partly because they are better off economically, but mostly because of the skills, resources, and inclinations that were imparted to them at home and in school.

It is widely recognized that Americans today are better educated than our parents and grandparents. It is less often appreciated how massively and rapidly this trend has transformed the educational composition of the adult population during just the last two decades. Since 1972, the proportion of all adults with fewer than 12 years of education has been cut in half, falling from 40% to 18%, while the proportion with more than 12 years has nearly doubled, rising from 28% to 50%, as the generation of Americans educated around the turn of this century (most of whom did not finish high school) passed from the scene and were replaced by the baby boomers and their successors (most of whom attended college).

Thus, education boosts civic engagement sharply, and educational levels have risen massively. Unfortunately, these two undeniable facts only deepen our central mystery. By itself, the rise in educational levels should have *increased* social capital during the last 20 years by 15-20%, even assuming that the effects of education were merely linear. (Taking account of the curvilinear effect in Figure 1, the rise in trusting and joining should have been even greater, as Americans moved up the accelerating curve.) By contrast, however, the actual GSS figures show a net *decline* since the early 1970s of roughly the same magnitude (trust by about 20-25%, memberships by about 15-20%). The relative declines in social capital are similar *within* each educational category—roughly 25% in group memberships and roughly 30% in social trust since the early 1970s, and probably even more since the early 1960s. Thus, this first investigative foray leaves us more mystified than before. We may nevertheless draw two useful conclusions from these findings, one methodological and one substantive:

1. Since education has such a powerful effect on civic engagement and social trust, we need to take account of educational differences in our exploration of other possible factors, in order to be sure that we do not confuse the consequences of education with the possible effects of other variables.
2. Whatever forces lie behind the slump in civic engagement and social trust, those forces have affected all levels in American society. Social capital has eroded among the one in every twelve Americans who have enjoyed the advantages (material and intellectual) of graduate study; it has eroded among the one in every eight Americans who did not even make it into high school; and it has eroded among all the strata in between. The mysterious disengagement of the last quarter century seems to have afflicted all echelons of our society.

Pressures of Time and Money

Americans certainly feel busier now than a generation ago: the proportion of us who report feeling "always rushed" jumped by half between the mid-1960s and the mid-1990s (Robinson and Godbey 1995). Probably the most obvious suspect behind our tendency to drop out of community affairs is pervasive busyness. And lurking nearby in the shadows are those endemic economic pressures so much discussed nowadays—job insecurity and declining real wages, especially among the lower two-thirds of the income distribution.

Yet, however culpable busyness and economic insecurity may appear at first glance, it is hard to find any incriminating evidence. In fact, the balance of the evidence argues that pressures of time and money are apparently not important contributors to the puzzle we seek to solve.

In the first place, time budget studies do *not* confirm the thesis that Americans are, on average, working longer than a generation ago. On the contrary, Robinson and Godbey (1995) report a five-hour per week *gain* in free time for the average American between 1965 and 1985, due partly to reduced time spent on housework

and partly to earlier retirement. Their claim that Americans have more leisure time now than several decades ago is, to be sure, contested by other observers. Schor (1991), for example, reports evidence that our work hours are lengthening, especially for women. Whatever the resolution of that controversy, however, the thesis that attributes civic disengagement to longer workdays is rendered much less plausible by looking at the correlation between work hours, on the one hand, and social trust and group membership, on the other.

The available evidence strongly suggests that, in fact, long hours on the job are not associated with lessened involvement in civic life or reduced social trust. Quite the reverse: results from the General Social Survey show that employed people belong to somewhat more groups than those outside the paid labor force. Even more striking is the fact that among workers, longer hours are linked to *more* civic engagement, not less. This surprising discovery is fully consistent with evidence from the time budget studies. Robinson (1990a) reports that, unsurprisingly, people who spend more time at work do feel more rushed, and these harried souls do spend less time eating, sleeping, reading books, engaging in hobbies, and just doing nothing. Compared to the rest of the population, they also spend a lot less time watching television-almost 30% less. However, they do *not* spend less time on organizational activity. In short, those who work longer forego "Nightline," but not the Kiwanis club, "ER," but not the Red Cross.

I do not conclude from the positive correlation between group membership and work hours that working longer actually *causes* greater civic involvement-there are too many uncontrolled variables here for that-but merely that hard work does not *prevent* civic engagement. Moreover, the nationwide falloff in joining and trusting is perfectly mirrored among fulltime workers, among part-time workers, and among those outside the paid labor force. So if people are dropping out of community life, long hours do not seem to be the reason.

If time pressure is not the culprit we seek, how about financial pressures? It is true that people with lower incomes and those who feel financially strapped are less engaged in community life and less trusting than those who are better off, even holding education constant. On the other hand, the downtrends in social trust and civic engagement are entirely visible at all levels in the income hierarchy, with no sign whatever that they are concentrated among those who have borne the brunt of the economic distress of the last two decades. Quite the contrary, the declines in engagement and trust are actually somewhat greater among the more affluent segments of the American public than among the poor and middle-income wage-earners. Furthermore, controlling for both real income and financial satisfaction does little to attenuate the fall in civic engagement and social trust. In short, neither objective nor subjective economic well-being has inoculated Americans against the virus of civic disengagement; if anything, affluence has slightly exacerbated the problem.

I cannot absolutely rule out the possibility that some part of the erosion of social capital in recent years might be linked to a more generalized sense of economic insecurity that may have affected all Americans, nor do I argue that economic distress never causes disengagement. Studies of the unemployed during and after the Great Depression (Jahoda, Lazarsfeld, and Zeisel 1933; Ginzberg 1943; Wilcock and Franke 1963) have described a tendency for them to disengage from community life. However, the basic patterns in the contemporary evidence are inconsistent with any simple economic explanation for our central puzzle. Pressures of time and money may be a part of the backdrop, but neither can be a principal culprit.

Mobility and Suburbanization

Many studies have found that residential stability and such related phenomena as homeownership are associated with greater civic engagement. At an earlier stage in this investigation (Putnam 1995, 30), I observed that "mobility, like frequent repotting of plants, tends to disrupt root systems, and it takes time for an uprooted individual to put down new roots." I must now report, however, that further inquiry fully exonerates residential mobility from any responsibility for our fading civic engagement. Data from the U.S. Bureau of the Census 1995 (and earlier years) show that rates of residential mobility have been remarkably constant over the last half century. In fact, to the extent that there has been any change at all, both long-distance and short-distance mobility have *declined* over the last five decades. During the 1950s, 20% of Americans changed residence each year and 6.9% annually moved across county borders; during the 1990s, the comparable figures are 17% and 6.6%. Americans, in short, are today slightly *more* rooted residentially than a generation ago. If the verdict on the economic distress interpretation had to be nuanced, the verdict on mobility is unequivocal. This theory is simply wrong.

But if moving itself has not eroded our social capital, what about the possibility that we have moved to places-especially the suburbs-that are less congenial to social connectedness? To test this theory, we must first examine the correlation between place of residence and social capital. In fact, social connectedness does differ by community type, but the differences turn out to be modest and in directions that are inconsistent with the theory.

Controlling for such demographic characteristics as education, age, income, work status, and race, citizens of the nation's 12 largest metropolitan areas (particularly their central cities, but also their suburbs) are roughly 10% less trusting and report 10-20% fewer group memberships than residents of other cities and towns (and their suburbs). Meanwhile, residents of very small towns and rural areas are (in accord with some hoary stereotypes) slightly more trusting and civically engaged than other Americans. Unsurprisingly, the prominence of different *types* of groups does vary significantly by location: major cities have more political and nationality clubs; smaller cities more fraternal, service, hobby, veterans, and

church groups; and rural areas more agricultural organizations. But overall rates of associational memberships are not very different.

Moreover, this pallid pattern cannot account for our central puzzle. In the first place, there is virtually no correlation between gains in population and losses in social capital, either across states or across localities of different sizes. Even taking into account the educational and social backgrounds of those who have moved there, the suburbs have faintly higher levels of trust and civic engagement than their respective central cities, a fact that *ceteris paribus* should have produced growth, not decay, in social capital over the last generation. The central point, however, is that the downtrends in trusting and joining are virtually identically everywhere—in cities, big and small, in suburbs, in small towns, and in the countryside.

There are, of course, suburbs and suburbs. Evanston is not Levittown is not Sun City. The evidence available does not allow us to determine whether different types of suburban living have different effects on civic connections and social trust. However, these data do rule out the thesis that suburbanization per se has caused the erosion of America's social capital. In this respect, size of place is like mobility—a cross-sectional correlate that cannot explain our trend. Both where we live and how long we've lived there matter for social capital, but neither explains why it is eroding everywhere.

The Changing Role of Women

Most of our mothers were housewives, and most of them invested heavily in social capital formation—a jargony way of referring to untold, unpaid hours in church suppers, PTA meetings, neighborhood coffee klatches, and visits to friends and relatives. The movement of women out of the home and into the paid labor force is probably the most portentous social change of the last half-century. However welcome and overdue the feminist revolution may be, it is hard to believe that it has had no impact on social connectedness. Could this be the primary reason for the decline of social capital over the last generation?

Some patterns in the available survey evidence seem to support this claim. All things considered, women belong to somewhat fewer voluntary associations than men (Edwards, Edwards, and Watts 1984 and the sources cited there; more recent GSS data confirm this finding). On the other hand, time budget studies suggest that women spend more time on those groups and more time in informal social connecting than men (Robinson and Godbey 1995). Although the absolute declines in joining and trusting are approximately equivalent among men and women, the relative declines are somewhat greater among women. Controlling for education, memberships among men have declined at a rate of about 10-15% a decade, compared to about 20-25% a decade for women. The time budget data, too, strongly suggest that the decline in organizational involvement in recent years is concentrated among women. These sorts of facts, coupled with the obvious

transformation in the professional role of women over this same period, led me in previous work to suppose that the emergence of two-career families might be the most important single factor in the erosion of social capital.

As we saw earlier, however, work status itself seems to have little net impact on group membership or on trust. Housewives belong to different types of groups than do working women (more PTAs, for example, and fewer professional associations), but in the aggregate working women are actually members of slightly more voluntary associations. Moreover, the overall declines in civic engagement are somewhat greater among housewives than among employed women. Comparison of time budget data between 1965 and 1985 (Robinson and Godbey 1995) seems to show that employed women as a group are actually spending more time on organizations than before, while nonemployed women are spending less. This same study suggests that the major decline in informal socializing since 1965 has also been concentrated among nonemployed women. The central fact, of course, is that the overall trends are down for all categories of women (and for men, too—even bachelors), but the figures suggest that women who work full-time actually may have been more resistant to the slump than those who do not.

Thus, although women appear to have borne a disproportionate share of the decline in civic engagement over the last two decades, it is not easy to find any micro-level data that tie that fact directly to their entry into the labor force. It is hard to control for selection bias in these data, of course, because women who have chosen to enter the workforce doubtless differ in many respects from women who have chosen to stay home. Perhaps one reason that community involvement appears to be rising among working women and declining among housewives is that precisely the sort of women who, in an earlier era, were most involved with their communities have been disproportionately likely to enter the workforce, thus simultaneously lowering the average level of civic engagement among the remaining homemakers and raising the average among women in the workplace. Obviously, we have not been running a great national controlled experiment on the effects of work on women's civic engagement, and in any event the patterns in the data are not entirely clear. Contrary to my own earlier speculations, however, I can find little evidence to support the hypothesis that the movement of women into the workplace over the last generation has played a major role in the reduction of social connectedness and civic engagement. On the other hand, I have no clear alternative explanation for the fact that the relative declines are greater among women than among men. Since this evidence is at best circumstantial, perhaps the best interim judgment here is the famous Scots verdict: not proven.

Marriage and Family

Another widely discussed social trend that more or less coincides with the downturn in civic engagement is the breakdown of the traditional family unit-

mom, dad, and the kids. Since the family itself is, by some accounts, a key form of social capital, perhaps its eclipse is part of the explanation for the reduction in joining and trusting in the wider community. What does the evidence show?

First of all, evidence of the loosening of family bonds is unequivocal. In addition to the century-long increase in divorce rates (which accelerated in the mid-1960s to the mid-1970s and then leveled off), and the more recent increase in single-parent families, the incidence of one-person households has more than doubled since 1950, in part because of the rising number of widows living alone (Caplow, Bahr, Modell, and Chadwick 1991, 47, 106, 113). The net effect of all these changes, as reflected in the General Social Survey, is that the proportion of all American adults who are currently unmarried climbed from 28% in 1974 to 48% in 1994.

Second, married men and women do rank somewhat higher on both our measures of social capital. That is, controlling for education, age, race, and so on, single people—both men and women, divorced, separated, and never-married—are significantly less trusting and less engaged civically than married people. Roughly speaking, married men and women are about a third more trusting and belong to about 15-25% more groups than comparable single men and women. (Widows and widowers are more like married people than single people in this comparison.)

In short, successful marriage (especially if the family unit includes children) is statistically associated with greater social trust and civic engagement. Thus, some part of the decline in both trust and membership is tied to the decline in marriage. To be sure, the direction of causality behind this correlation may be complicated, since it is conceivable that loners and paranoids are harder to live with. If so, divorce may in some degree be the consequence, not the cause, of lower social capital. Probably the most reasonable summary of these arrays of data, however, is that the decline in successful marriage is a significant, though modest part of the reason for declining trust and lower group membership. On the other hand, changes in family structure cannot be a major part of our story, since the overall declines in joining and trusting are substantial even among the happily married. My own verdict (based in part on additional evidence to be introduced later) is that the disintegration of marriage is probably an accessory to the crime, but not the major villain of the piece.

The Rise of the Welfare State

Circumstantial evidence, particularly the timing of the downturn in social connectedness, has suggested to some observers (for example, Fukuyama 1995, 313-314) that an important cause—perhaps even *the* cause—of civic disengagement is big government and the growth of the welfare state. By "crowding out" private initiative, it is argued, state intervention has subverted civil society. This is a

much larger topic than I can address in detail here, but a word or two may be appropriate.

On the one hand, some government policies have almost certainly had the effect of destroying social capital. For example, the so-called "slum clearance" policies of the 1950s and 1960s replaced physical capital, but destroyed social capital, by disrupting existing community ties. It is also conceivable that certain social expenditures and tax policies may have created disincentives for civic-minded philanthropy. On the other hand, it is much harder to see which government policies might be responsible for the decline in bowling leagues and literary clubs.

One empirical approach to this issue is to examine differences in civic engagement and public policy across different political jurisdictions to see whether swollen government leads to shriveled social capital. Among the U.S. states, however, differences in social capital appear essentially uncorrelated with various measures of welfare spending or government size.

Citizens in free-spending states are no less trusting or engaged than citizens in frugal ones. Cross-national comparison can also shed light on this question. Among 19 OECD countries for which data on social trust and group membership are available from the 1990-1991 World Values Survey, these indicators of social capital are, if anything, *positively* correlated with the size of the state. This simple bivariate analysis, of course, cannot tell us whether social connectedness encourages welfare spending, whether the welfare state fosters civic engagement, or whether both are the result of some other unmeasured factor(s). Sorting out the underlying causal connections would require much more thorough analysis. However, even this simple finding is not easily reconciled with the notion that big government undermines social capital.

Race and the Civil Rights Revolution

Race is such an absolutely fundamental feature of American social history that nearly every other feature of our society is connected to it in some way. Thus, it seems intuitively plausible that race might somehow have played a role in the erosion of social capital over the last generation. In fact, some observers (both black and white) have noted that the decline in social connectedness and social trust began just after the greatest successes of the civil rights revolution of the 1960s. To some, that coincidence has suggested the possibility of a kind of sociological "white flight," as legal desegregation of civic life led whites to withdraw from community associations.

Like the theory about the welfare state, this racial interpretation of the destruction of social capital is highly controversial and can hardly be settled within the compass of these brief remarks. Nevertheless, the basic facts are these.

First, racial differences in associational membership are not large. At least until the 1980s, controlling for educational and income differences, blacks actually

belonged to more associations on average than whites, essentially because they were more likely than comparably situated whites to belong to religious and ethnic organizations and no less likely to belong to any other type of group. On the other hand, racial differences in social trust are very large indeed, even taking into account differences in education, income, and so on. On average, during the 1972-94 period, controlling for educational differences, about 17% of blacks endorsed the view that "most people can be trusted," as compared to about 45% of whites, and about 27% of respondents of other races. These racial differences in social trust, of course, reflect not collective paranoia, but real experiences over many generations.

Second, the erosion of social capital has affected all races. In fact, during the 1980s the downturns in both joining and trusting were even greater among blacks (and other racial minorities) than among the white majority. This fact is inconsistent with the thesis that "white flight" is a significant cause of civic disengagement, since black Americans have been dropping out of religious and civic organizations at least as rapidly as white Americans. Even more important, the pace of disengagement among whites has been uncorrelated with racial intolerance or support for segregation. Avowedly racist or segregationist whites have been no quicker to drop out of community organizations during this period than more tolerant whites. Figure 3 presents illustrative evidence, its three parallel slopes showing that the decline in group membership is essentially identical among whites who favor segregation, whites who oppose it, and blacks.

This evidence is far from conclusive, of course, but it does shift the burden of proof onto those who believe that racism is a primary explanation for growing civic disengagement over the last quarter century, however virulent racism continues to be in American society. This evidence also suggests that reversing the civil rights gains of the last 30 years would do nothing to reverse the social capital losses.

Generational Effects

Our efforts thus far to localize the sources of civic disengagement have been singularly unfruitful. The downtrends are uniform across the major categories of American society-among men and among women; in central cities, in suburbs, and in small towns; among the wealthy, the poor, and the middle class; among blacks, whites, and other ethnic groups; in the North, in the South, on both coasts and in the heartland. One notable exception to this uniformity, however, involves age. In all our statistical analyses, age is second only to education as a predictor of all forms of civic engagement and trust. Older people belong to more organizations than young people, and they are less misanthropic. Older Americans also vote more often and read newspapers more frequently, two other forms of civic engagement closely correlated with joining and trusting.

Figure 4 shows the basic pattern—civic involvement appears to rise more or less steadily from early adulthood toward a plateau in middle age, from which it declines only late in life. This humpback pattern, familiar from many analyses of social participation, including time-budget studies (Robinson and Godbey 1995), seems naturally to represent the arc of life's engagements. Most observers have interpreted this pattern as a life cycle phenomenon, and so, at first, did I.

Evidence from the General Social Survey (GSS) enables us to follow individual cohorts as they age. If the rising lines in Figure 4 represent deepening civic engagement with age, then we should be able to track this same deepening engagement as we follow, for example, the first of the baby boomers—born in 1947—as they aged from 25 in 1972 (the first year of the GSS) to 47 in 1994 (the latest year available). Startlingly, however, such an analysis, repeated for successive birth cohorts, produces virtually no evidence of such life cycle changes in civic engagement. In fact, as various generations moved through the period between 1972 and 1994, their levels of trust and membership more often fell than rose, reflecting a more or less simultaneous decline in civic engagement among young and old alike, particularly during the second half of the 1980s. But that downtrend obviously cannot explain why, throughout the period, older Americans were always more trusting and engaged. In fact, the only reliable life cycle effect visible in these data is a withdrawal from civic engagement very late in life, as we move through our 80s.

The central paradox posed by these patterns is this: Older people are consistently more engaged and trusting than younger people, yet we do not become more engaged and trusting as we age. What's going on here?

Time and age are notoriously ambiguous in their effects on social behavior. Social scientists have learned to distinguish three contrasting phenomena:

1. *Life-cycle effects* represent differences attributable to stage of life. In this case individuals change as they age, but since the effects of aging are, in the aggregate, neatly balanced by the "demographic metabolism" of births and deaths, life cycle effects produce no aggregate change. Everyone's close-focus eyesight worsens as we age, but the aggregate demand for reading glasses changes little.
2. *Period effects* affect all people who live through a given era, regardless of their age. Period effects can produce both individual and aggregate change, often quickly and enduringly, without any age-related differences. The sharp drop in trust in government between 1965 and 1975, for example, was almost entirely this sort of period effect, as Americans of all ages changed their minds about their leaders' trustworthiness. Similarly, as just noted, a modest portion of the decline in social capital during the 1980s appears to be a period effect.
3. *Generational effects*, as described in Karl Mannheim's classic essay on "The Problem of Generations," represent the fact that "[i]ndividuals who belong to the same generation, who share the same year of birth, are endowed, to that extent, with a common location in the historical dimension of the social process" (Mannheim

1952, 290). Like life cycle effects (and unlike typical period effects), generational effects show up as disparities among age groups at a single point in time, but like period effects (and unlike life cycle effects) generational effects produce real social change, as successive generations, enduringly "imprinted" with divergent outlooks, enter and leave the population. In pure generational effects, no individual ever changes, but society does.

At least since the landmark essay by Converse (1976), social scientists have recognized that to sort out life-cycle, period, and generational effects requires sensitivity to a priori plausibility, "side knowledge," and parsimony, not merely good data and sophisticated math. In effect, cohort analysis inevitably involves more unknowns than equations. With some common sense, some knowledge of history, and some use of Ockham's razor, however, it is possible to exclude some alternatives and focus on more plausible interpretations.

Returning to our conundrum, how could older people today be more engaged and trusting, if they did not become more engaged and trusting as they aged? The key to this paradox, as David Butler and Donald Stokes (1974) observed in another context, is to ask, not how *old people are, but when they were young*. Figure 5 addresses this reformulated question, displaying various measures of civic engagement according to the respondents' year of birth.

The Long Civic Generation

In effect, Figure 5 lines up Americans from left to right according to their date of birth, beginning with those born in the last third of the nineteenth century and continuing across to the generation of their great-grandchildren, born in the last third of the twentieth century. As we begin moving along this queue from left to right—from those raised around the turn of the century to those raised during the Roaring Twenties, and so on—we find relatively high and unevenly rising levels of civic engagement and social trust. Then rather abruptly, however, we encounter signs of reduced community involvement, starting with men and women born in the early 1930s. Remarkably, this downward trend in joining, trusting, voting, and newspaper reading continues almost uninterruptedly for nearly 40 years. The trajectories for the various different indicators of civic engagement are strikingly parallel: each shows a high, sometimes rising plateau for people born and raised during the first third of the century; each shows a turning point in the cohorts born around 1930; and each then shows a more or less constant decline down to the cohorts born during the 1960s.

By any standard, these intergenerational differences are extraordinary. Compare, for example, the generation born in the early 1920s with the generation of their grandchildren born in the late 1960s. Controlling for educational disparities, members of the generation born in the 1920s belong to almost twice as many civic associations as those born in the late 1960s (roughly 1.9 memberships per capita, compared to roughly 1.1 memberships per capita). The grandparents are more

than twice as likely to trust other people (50-60% compared with 25% for the grandchildren). They vote at nearly double the rate of the most recent cohorts (roughly 75% compared with 40-45%), and they read newspapers almost three times as often (70-80% read a paper daily compared with 25-30%). And bear in mind that we have found no evidence that the youngest generation will come to match their grandparent's higher levels of civic engagement as they grow older.

Thus, read not as life cycle effects, but rather as generational effects, the age-related patterns in our data suggest a radically different interpretation of our basic puzzle. Deciphered with this key, Figure 5 depicts a long "civic" generation, born roughly between 1910 and 1940, a broad group of people substantially more engaged in community affairs and substantially more trusting than those younger than they. The culminating point of this civic generation is the cohort born in 1925-1930, who attended grade school during the Great Depression, spent World War II in high school (or on the battle field), first voted in 1948 or 1952, set up housekeeping in the 1950s, and watched their first television when they were in the late twenties. Since national surveying began, this cohort has been exceptionally civic: voting more, joining more, reading newspapers more, trusting more. As the distinguished sociologist Charles Tilly (born in 1928) said in commenting on an early version of this essay, "we are the last suckers."

To help in interpreting the historical contexts within which these successive generations of Americans matured, Figure 5 also indicates the decade within which each cohort came of age. Thus, we can see that each generation who reached adulthood since the 1940s has been less engaged in community affairs than its immediate predecessor.

Further confirmation of this *generational* interpretation comes from a comparison of the two parallel lines that chart responses to an identical question about social trust, posed first in the National Election Studies (mainly between 1964 and 1976) and then in the General Social Survey between 1972 and 1994. If the greater trust expressed by Americans born earlier in the century represented a *life-cycle* effect, then the graph from the GSS surveys (conducted when these cohorts were, on average, 10 years older) should have been some distance above the NES line. In fact, the GSS line lies about 5-10% *below* the NES line. That downward shift almost surely represents a *period* effect that depressed social trust among all cohorts during the 1980s. That downward period effect, however, is substantially more modest than the large generational differences already noted.

In short, the most parsimonious interpretation of the age-related differences in civic engagement is that they represent a powerful reduction in civic engagement among Americans who came of age in the decades after World War II, as well as some modest additional disengagement that affected all cohorts during the 1980s. These patterns hint that being raised after World War II was a quite different experience from being raised before that watershed. It is as though the postwar generations were exposed to some mysterious X-ray that permanently and

increasingly rendered them less likely to connect with the community. Whatever that force might have been, it-rather than anything that happened during the 1970s and 1980s-accounts for most of the civic disengagement that lies at the core of our mystery.

But if this reinterpretation of our puzzle is correct, why did it take so long for the effects of that mysterious X-ray to become manifest? If the underlying causes of civic disengagement can be traced to the 1940s and 1950s, why did the effects become conspicuous in PTA meetings and Masonic lodges, in the volunteer lists of the Red Cross and the Boy Scouts, and in polling stations and church pews and bowling alleys across the land only during the 1960s, 1970s, and 1980s?

The visible effects of this generational disengagement were delayed for several decades by two important factors:

1. The postwar boom in college enrollments boosted massive numbers of Americans up the sloping curve of civic engagement traced in Figure 2. Miller and Shanks (1995) observe that the postwar expansion of educational opportunities "forestalled a cataclysmic drop" in voting turnout, and it had a similar delaying effect on civic disengagement more generally.
2. The full effects of generational developments generally appear several decades after their onset, because it takes that long for a given generation to become numerically dominant in the adult population. Only after the mid-1960s did significant numbers of the "post-civic generation" reach adulthood, supplanting older, more civic cohorts. Figure 6 illustrates this generational accounting. The long civic generation (born between 1910 and 1940) reached its zenith in 1960, when it comprised 62% of those who chose between John Kennedy and Richard Nixon. By the time that Bill Clinton was elected president in 1992, that cohort's share in the electorate had been cut precisely in half. Conversely, over the last two decades (from 1974 to 1994) boomers and X-ers (that is, Americans born after 1946) have grown as a fraction of the adult population from 24% to 60%.

In short, the very decades that have seen a national deterioration in social capital are the same decades during which the numerical dominance of a trusting and civic generation has been replaced by the dominion of "post-civic" cohorts. Moreover, although the long civic generation has enjoyed unprecedented life expectancy, allowing its members to contribute more than their share to American social capital in recent decades, they are now passing from the scene. Even the youngest members of that generation will reach retirement age within the next few years. Thus, a generational analysis leads almost inevitably to the conclusion that the national slump in trust and engagement is likely to continue, regardless of whether the more modest "period effect" depression of the 1980s continues.

More than two decades ago, just as the first signs of disengagement were beginning to appear in American politics, Ithiel de Sola Pool (1973, 818-21) observed that the central issue would be-it then too soon to judge, as he rightly

noted-whether the development represented a temporary change in the weather or a more enduring change in the climate. It now appears that much of the change whose initial signs he spotted did in fact reflect a climatic shift. Moreover, just as the erosion of the ozone layer was detected only many years after the proliferation of the chlorofluorocarbons that caused it, so too the erosion of America's social capital became visible only several decades after the underlying process had begun. Like Minerva's owl that flies at dusk, we come to appreciate how important the long civic generation has been to American community life just as its members are retiring. Unless America experiences a dramatic upward boost in civic engagement (a favorable "period effect") in the next few years, Americans in 2010 will join, trust, and vote even less than we do today.

The Puzzle Reformulated

To say that civic disengagement in contemporary America is in large measure generational merely reformulates our central puzzle. We now know that much of the cause of our lonely bowling probably dates to the 1940s and 1950s, rather than to the 1960s and 1970s. What could have been the mysterious anti-civic "X-ray" that affected Americans who came of age after World War II and whose effects progressively deepened at least into the 1970s?

A number of superficially plausible candidates fail to fit the timing required by this new formulation of our mystery.

- Family instability seems to have an ironclad alibi for what we have now identified as the critical period, for the generational decline in civic engagement began with the children of the maritally stable 1940s and 1950s. The divorce rate in America actually fell after 1945, and the sharpest jump in the divorce rate did not occur until the 1970s, long after the cohorts who show the sharpest declines in civic engagement and social trust had left home. Similarly, working mothers are exonerated by this re-specification of our problem, for the plunge in civicness among children of the 1940s, 1950s, and 1960s happened while mom was still at home.
- Our new formulation of the puzzle opens the possibility that the Zeitgeist of national unity and patriotism that culminated in 1945 might have reinforced civic-mindedness. On the other hand, it is hard to assign any consistent role to the Cold War and the Bomb, since the anti-civic trend appears to have deepened steadily from the 1940s to the 1970s, in no obvious harmony with the rhythms of world affairs. Nor is it easy to construct an interpretation of Figure 5 in which the cultural vicissitudes of "the Sixties" could play a significant role.
- Neither economic adversity nor affluence can easily be tied to the generational decline in civic engagement, since the slump seems to have affected in equal measure those who came of age in the placid Fifties, the booming Sixties, and the busted Seventies.

I have discovered only one prominent suspect against whom circumstantial evidence can be mounted, and in this case, it turns out, some directly incriminating evidence has also turned up. This is not the occasion to lay out the full case for the prosecution, nor to review rebuttal evidence for the defense. However, I want to illustrate the sort of evidence that justifies indictment. The culprit is television.

First, the timing fits. The long civic generation was the last cohort of Americans to grow up without television, for television flashed into American society like lightning in the 1950s. In 1950 barely 10% of American homes had television sets, but by 1959 90% did, probably the fastest diffusion of a technological innovation ever recorded. The reverberations from this lightning bolt continued for decades, as viewing hours per capita grew by 17-20% during the 1960s and by an additional 7-8% during the 1970s. In the early years, TV watching was concentrated among the less educated sectors of the population, but during the 1970s the viewing time of the more educated sectors of the population began to converge upward. Television viewing increases with age, particularly upon retirement, but each generation since the introduction of television has begun its life cycle at a higher starting point. By 1995, viewing per TV household was more than 50% higher than it had been in the 1950s.

Most studies estimate that the average American now watches roughly four hours per day. Robinson (1990b), using the more conservative time-budget technique for determining how people allocate their time, offers an estimate closer to three hours per day, but concludes that as a primary activity, television absorbs 40% of the average American's free time, an increase of about one-third since 1965. Moreover, multiple sets have proliferated: by the late 1980s, three quarters of all U.S. homes had more than one set (Comstock 1989), and these numbers too are rising steadily, allowing ever more private viewing. In short, as Robinson and Godbey 1995 conclude, "television is the 800-pound gorilla of leisure time." This massive change in the way Americans spend our days and nights occurred precisely during the years of generational civic disengagement.

Evidence of a link between the arrival of television and the erosion of social connections is, however, not merely circumstantial. The links between civic engagement and television viewing can instructively be compared with the links between civic engagement and newspaper reading. The basic contrast is straightforward: newspaper reading is associated with high social capital, TV viewing with low social capital.

Controlling for education, income, age, race, place of residence, work status, and gender, TV viewing is strongly and negatively related to social trust and group membership, whereas the same correlations with newspaper reading are positive. Figure 7 shows that within every educational category, heavy readers are avid joiners, whereas Figure 8 shows that heavy viewers are more likely to be loners.

Viewing and reading are themselves uncorrelated-some people do lots of both, some do little of either-but Figure 9 shows that (controlling for education, as always) "pure readers" (that is, people who watch less TV than average and read more newspapers than average) belong to 76% more civic organizations than "pure viewers." Precisely the same pattern applies to other indicators of civic engagement, including social trust and voting turnout. "Pure readers," for example, are 55% more trusting than "pure viewers." In other words, each hour spent viewing television is associated with less social trust and less group membership, while each hour reading a newspaper is associated with more. An increase in television viewing of the magnitude that the United States has experienced in the last four decades might directly account for as much as one-quarter to one-half of the total drop in social capital, even without taking into account, for example, the indirect effects of television viewing on newspaper readership or the cumulative effects of "life-time" viewing hours.

How might television destroy social capital?

- *Time displacement.* Even though there are only 24 hours in everyone's day, most forms of social and media participation are positively correlated. People who listen to lots of classical music are more likely, not less likely, than others to attend Cubs games. Television is the principal exception to this generalization-the only leisure activity that seems to inhibit participation outside the home. TV watching comes at expense of nearly every social activity outside the home, especially social gatherings and informal conversations (Comstock et al 1978; Comstock 1989; Bower 1985; and Robinson and Godbey 1995). TV viewers are homebodies. Most studies that report a negative correlation between television watching and community involvement (including my Figure 7) are ambiguous with respect to causality, because they merely compare different individuals at a single time. However, one important quasi-experimental study of the introduction of television in three Canadian towns (Williams 1986) found the same pattern at the aggregate level across time: a major effect of television's arrival was the reduction in participation in social, recreational, and community activities among people of all ages. In short, television is privatizing our leisure time.
- *Effects on the outlooks of viewers.* An impressive body of literature, gathered under the rubric of the "mean world effect," suggests that heavy watchers of TV are unusually skeptical about the benevolence of other people-overestimating crime rates, for example. This body of literature has generated much debate about the underlying causal patterns, with skeptics suggesting that misanthropy may foster couch-potato behavior rather than the reverse. While awaiting better experimental evidence, however, a reasonable interim judgment is that heavy television watching may well increase pessimism about human nature (Gerbner et al 1980; Dobb and MacDonald 1979; Hirsch 1980; Hughes 1980; and Comstock 1989, 265-69). Perhaps, too, as social critics have long argued, both the medium and

the message have more basic effects on our ways of interacting with the world and with one another. Television may induce passivity, as Postman (1985) has claimed, and it may even change our fundamental physical and social perceptions, as Meyrowitz (1985) has suggested.

- *Effects on children.* TV occupies an extraordinary part of children's lives—consuming about 40 hours per week on average. Viewing is especially high among pre-adolescents, but it remains high among younger adolescents: time-budget studies (Carnegie Council on Adolescent Development 1993, 5, citing Timmer et al. 1985) suggest that among youngsters aged 9-14 television consumes as much time as all other discretionary *activities combined*, including playing, hobbies, clubs, outdoor activities, informal visiting, and just hanging out. The effects of television on childhood socialization have, of course, been hotly debated for more than three decades. The most reasonable conclusion from a welter of sometimes conflicting results appears to be that heavy television watching probably increases aggressiveness (although perhaps not actual violence), that it probably reduces school achievement, and that it is statistically associated with "psychosocial malfunctioning," although how much of this effect is self-selection and how much causal remains much debated (Condry 1993). The evidence is, as I have said, not yet enough to convict, but the defense has a lot of explaining to do.

Conclusion

Ithiel de Sola Pool's posthumous *book, Technologies Without Borders (1990)*, is a prescient work, astonishingly relevant to our current national debates about the complicated links among technology, public policy, and culture. Pool defended what he called "soft technological determinism." Revolutions in communications technologies have profoundly affected social life and culture, as the printing press helped bring on the Reformation. Pool concluded that the electronic revolution in communications technology, whose outlines he traced well before most of us were even aware of the impending changes, was the first major technological advance in centuries that would have a profoundly decentralizing and fragmenting effect on society and culture.

Pool hoped that the result might be "community without contiguity." As a classic liberal, he welcomed the benefits of technological change for individual freedom, and, in part, I share that enthusiasm. Those of us who bemoan the decline of community in contemporary America need to be sensitive to the liberating gains achieved during the same decades. We need to avoid an uncritical nostalgia for the Fifties. On the other hand, some of the same freedom-friendly technologies whose rise Pool predicted may indeed be undermining our connections with one another and with our communities. I suspect that Pool would have been open to that argument, too, for one of Pool's most talented protégés, Samuel Popkin (1991, 226-31) has argued that the rise of television and the correlative decline of social interaction have impaired American political discourse. The last line in

Pool's last book (1990, 262) is this: "We may suspect that [the technological trends that we can anticipate] will promote individualism and will make it harder, not easier, to govern and organize a coherent society."

Pool's technological determinism was "soft" precisely because he recognized that social values can condition the effects of technology. In the end this perspective invites us not merely to consider how technology is privatizing our lives-if, as it seems to me, it is-but to ask whether we entirely like the result, and if not, what we might do about it. But that is a topic for another day.

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