

## **CURRICULUM VITAE of ALASTAIR SMITH**

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### **EMPLOYMENT**

Bernhardt Denmark Professor of International Relations, Sept. 2015  
Professor, Department of Politics, New York University, 05- present  
Deputy Director, Alexander Hamilton Center in Political Economy, New York University  
07-08

Associate Chair, Department of Politics, New York University, 04-05  
Associate Professor, Department of Politics, New York University, 02-05  
Associate Professor, Department of Political Science, Yale University, 01-02  
Assistant Professor, Department of Political Science, Yale University, 98-01  
Assistant Professor, Dept. of Political Science, Washington University, 96-98  
National Fellow, Hoover Institution On War Revolution and Peace, 1997-98.  
Lecturer in Political Science and Social Thought and Analysis, Washington University,  
95-96.

Postdoctoral Fellow at the Center in Political Economy, Washington University 94-95

### **EDUCATION**

**1990-94 UNIVERSITY of ROCHESTER**, PhD. January 1995.

Dissertation: "A Theory of Alliances" Committee: Bruce Bueno de Mesquita, Chair;  
David Austen Smith; Jeffrey Banks. Field exams in Statistical Methodology,  
International Relations and Positive Political Theory.

**1986-90 OXFORD UNIVERSITY**, Worcester College, England.

BA Hons Chemistry, with supplementary Molecular Biophysics. Research: High  
oxidation state manganese complexes with reference to photosystem II.

### **PUBLICATIONS**

#### **Books**

- 1) *The Spoils of War: Greed, Power, and the Conflicts That Made Our Greatest Presidents*. Co-authored with Bruce Bueno de Mesquita. Public Affairs Press 2016
- 2) *The Dictator's Handbook*. Public Affairs Press 2011. Co-authored with Bruce Bueno de Mesquita.
- 3) *Punishing the Prince*. Princeton University Press, 2008. Co-authored with Fiona McGillivray
- 4) *Election Timing* 2004 Cambridge University Press
- 5) *The Logic of Political Survival* 2003 Co-authored with Bruce Bueno de Mesquita, Randolph Siverson and James Morrow. MIT Press

#### **Journals**

1. The Punisher's Dilemma. Bruce Bueno de Mesquita, David Myatt, Alastair Smith and Scott Tyson. *Journal of Politics*. Forthcoming.
2. "UN Security Council Membership: Increased Security and Reduced Conflict" with James Vreeland. *Forthcoming International Interactions*.
3. International Negotiations in the Shadow of Elections. Justin Melnick and Alastair Smith. *Forthcoming Journal of Conflict Resolution*.
4. Institutional Change as a Response to Unrealized Threats: An Empirical Analysis. Bruce Bueno de Mesquita and Alastair Smith. *Journal of Conflict Resolution*. Forthcoming
5. A New Indicator of Coalition Size: Tests Against Standard Regime-Type Indicators. Bruce Bueno de Mesquita and Alastair Smith. *Social Science Quarterly*. 2022 103(2): 365–379.
6. State Visits and Leader Survival. Matt Malis and Alastair Smith. 2021 *American Journal of Political Science*. 65(1): 241–256.
7. A Tournament Theory of Pork Barrel Politics: The Case of Japan. Catalinac, Amy; Bruce Bueno de Mesquita, Bruce and Smith, Alastair. 2020. *Comparative Political Studies* 53(10-11): 1619-1655.
8. A Global Game of Diplomacy. Matt Malis and Alastair Smith. 2019, *Journal of Theoretical Politics*. 31(4): 480–506
9. Alastair Smith and Bruce Bueno de Mesquita. 2019. Motivating political support with group-based rewards. *Journal of Theoretical Politics*. 31(2): 156–182
10. Bruce Bueno de Mesquita and Alastair Smith (2018), "Political Loyalty and Leader Health", *Quarterly Journal of Political Science*: Vol. 13: No. 4, pp 333-361
11. Tenure, promotion and performance: The career path of US ambassadors. Eric Arias and Alastair Smith. 2018. *The Review of International Organizations*. 13(1):77-103.
12. Dual-Layered Coordination and Political Instability: Repression, Co-optation, and the Role of Information. Scott Tyson and Alastair Smith. *Journal of Politics* 2018. 8(1): 44-58.
13. Group incentives and rational voting. With Bruce Bueno de Mesquita and Tom LaGatta. *Journal of Theoretical Politics*, 29.2 (2017): 299-326.
14. Competition and Collaboration in Aid-for-Policy Deals. Bruce Bueno de Mesquita; Alastair Smith *International Studies Quarterly* 2016 60 (3): 413-426
15. Political Succession: A Model of Coups, Revolution, Purges and Everyday Politics. With Bruce Bueno de Mesquita, *Journal of Conflict Resolution*, 2015.
16. A Political Economy of Income Tax Policies. With George Downs and Bruce Bueno de Mesquita. *Political Science Research and Methods*. November 2015, pp 1 - 29
17. Leader Turnover, Institutions, and Voting at the UN General Assembly. 2016. *Journal of Conflict Resolution*. 60(1): 143-163
18. The Impact of Leader Turnover on the Onset and the Resolution of WTO Disputes. Talya Bobick and Alastair Smith *Review of International Organizations*. 2013. 8:423–445
19. Leader Survival and Natural Disasters, with Alejandro Quiroz Flores. *British Journal of Political Science* October 2013, 43(4): 821 – 843

20. Aid: Blame It All on “Easy Money” with Bruce Bueno de Mesquita. *Journal of Conflict Resolution* June 2013 57: 524-537
21. Domestic Explanations of International Relations. Bruce Bueno de Mesquita and Alastair Smith. 2012. *Annual Review of Political Science* 2012. 15:161–81
22. Contingent Prize Allocation and Pivotal Voting, with Bruce Bueno de Mesquita. 2012 *British Journal of Political Science*. 42(2): 371-392.
23. Leader Survival and Cabinet Change, with Alejandro Quiroz Flores. *Economics and Politics*. November 2011, 23(3): 345-366.
24. The Pernicious Consequences of UN Security Council Membership, with Bruce Bueno de Mesquita. *Journal of Conflict Resolution* 2010. 54(5): 667-686
25. Leader Survival, Revolutions, and the Nature of Government Finance, with Bruce Bueno de Mesquita *American Journal of Political Science*, October 2010 54(4): 936–950.
26. Political Groups, Leader Change, and the Pattern of International Cooperation. *Journal of Conflict Resolution*, Dec 2009; vol. 53: pp. 853 - 877.
27. A Political Economy of Aid, with Bruce Bueno de Mesquita. *International Organization* 63, Spring 2009, pp. 309–40
28. Political Survival and Endogenous Institutional Change. (2009) *Comparative Political Studies*, 42(2): 167-197 (Coauthored with Bruce Bueno de Mesquita). Winner of the Political Economy Section of the American Political Science Association for best paper at the annual meeting and the Franklin L. Burdette/ Pi Sigma Alpha Award for best paper at the 2007 annual meeting of the APSA
29. The Perils of Unearned Income 2008. *Journal of Politics*. 70(3): 780-793.
30. Retesting Selectorate Theory: Separating the Effects of W from Other Elements of Democracy *American Political Science Review*, 102(2008):393-400; coauthored with Bruce Bueno de Mesquita, Randolph M. Siverson, and James D. Morrow.
31. Foreign Aid and Policy Concessions, 2007, with Bruce Bueno de Mesquita. *Journal of Conflict Resolution*. 51(2): 251-284
32. Selection Institutions and War Aims. *Economics of Governance*; 2006, 7(1): 31-52, with James Morrow, Bruce Bueno de Mesquita and Randolph Siverson.
33. Divergent Beliefs in “Bargaining and the Nature of War” with Allan C. Stam. *Journal of Conflict Resolution* August 2006 50(4): 614-618.
34. Credibility in Compliance and Punishment: Leader Specific Punishments and Credibility. With Fiona McGillivray, *Journal of Politics*, May 2006 68(2): 248-258.
35. “Why International Organizations Will Continue to Fail Their Development Goals.” 2005 *Perspective on Politics* 3(3): 565-567.
36. Qualitative Leverage and the Epistemology of Expert Opinion. With Sanford Gordon. *Political Analysis*, Summer 2005, 13(3): 280-291.
37. The Impact of Leadership Turnover and Domestic Institutions on International Cooperation in a Noisy World. With Fiona McGillivray. *Journal of Conflict Resolution*, Oct 2005; 49: 639 – 660.
38. Thinking Inside the Box: A Closer Look at Democracy and Human Rights. With Bruce Bueno de Mesquita, Feryal Cherif and George Downs. Forthcoming, *International Studies Quarterly*.

39. Bargaining and the Nature of War. Coauthored with Allan Stam. *Journal of Conflict Resolution*. 2004, 48(6, December): 783-813.
40. Testing Novel Implications from the Selectorate Theory of War. Coauthored with Bruce Bueno de Mesquita, James D. Morrow and Randolph M. Siverson. *World Politics* April 2004 56: 363-388.
41. Quantitative Leverage Through Qualitative Knowledge: Augmenting the Statistical Analysis of Complex Causes, 2004 with Sanford C. Gordon. *Political Analysis* 12: 233-255.
42. Mediation and Peacekeeping in a Random Walk Model of Civil and Interstate War. Coauthored with Allan Stam\_ *International Studies Review* Volume 5, No. 4 557-578. Also to appear in *Dissolving Boundaries*, edited by Suzanne Werner, David Davis, and Bruce Bueno de Mesquita. Blackwell Publishers.
43. The Impact of Leadership Turnover on Relations Between States. Coauthored with Fiona McGillivray. *International Organization*. 58 Summer 2004: 567-600.
44. Election Timing in Majoritarian Parliamentary Systems 2003. *British Journal of Political Science*, 33, 379-418.
45. Political Institutions, Policy Choice and the Survival of Leaders with Bruce Bueno de Mesquita, James Morrow and Randolph Siverson. *British Journal of Political Science*. Volume 32 \_Issue 04\_ October 2002 p.559-590
46. Honest Threats: The Interaction of Reputation and Political Institutions in International Crises. With Alexandra Guisinger. *Journal of Conflict Resolution*. April 2002 Vol 46. No. 2 pp. 175-200.
47. "Payment, Protection and Punishment: The Role of Information and Reputation in the Mafia." With Federico Varese. *Rationality and Society* Vol 13. No. 3 August 2001.
48. Political Institutions and Incentives to Govern Effectively written with Bruce Bueno de Mesquita, James D. Morrow, and Randolph M. Siverson. *Journal of Democracy*. January 2001 12(1): 58-72.
49. Trust and Cooperation through Agent Specific Punishments. Written with Fiona McGillivray. *International Organization*. 54,4 Autumn 2000, p. 809-824.
50. An Institutional Explanation of the Democratic Peace written with Bruce Bueno de Mesquita, James D. Morrow, and Randolph M. Siverson *American Political Science Review*. December 1999. December 93(4):791-808.
51. Testing Theories of Strategic Choice: The Example of Crisis Escalation. *American Journal of Political Science* Vol. 43 No. 4 p. 1254-1283..
52. So You Say You Want a Revolution: A Game Theoretic Explanation of Revolution in Repressive Regimes. *Journal of Conflict Resolution* June 1999 with John Peter Ginkel
53. "Policy Failure and Political Survival: the Contribution of Political Institutions" *Journal of Conflict Resolution* 43 (April 1999) with Bruce Bueno de Mesquita, James D. Morrow, and Randolph M. Siverson.
54. "International Crises and Domestic Politics" *American Political Science Review*, September 1998. Vol. 92. No.3. p. 623-638.
55. "Fighting Battles, Winning Wars." *Journal of Conflict Resolution* June 1998 Vol. 42, No. 3, pp.301-320.

56. "A Summary of Political Selection: The Effect of Strategic Choice on the Escalation of International Crises." *American Journal of Political Science*. Vol. 42, No. 2, p.698-701.
57. "Deterring Enemies and Reassuring Friends: Extended Deterrence and Alliance Formation" *International Interaction*. Vol.24, No. 4, pp. 315-343.
58. "Institutional Determinants of Trade Policy" 1997. Written with Fiona E. S. McGillivray. *International Interactions* Vol. 23 No. 2. p. 119-143.
59. "The Shadow of the Polls: Electoral Effects On International Agreements" Written with David Hayes. *International Interactions* 1997. Vol. 23 No. 1. p.79-108.
60. "Endogenous Election Timing in Majoritarian Parliamentary Systems" 1996. *Economics and Politics* Vol.8 No. 2 p. 85-110.
61. "The Success and Use of Sanctions" 1996. *International Interactions* Vol. 21, No. 3 p. 229-245.
62. "To Intervene Or Not To Intervene: A Biased Decision" 1996. *Journal of Conflict Resolution* Vol. 40, No. 1, p. 16-40.
63. "Diversionary Foreign Policy in Democratic Systems" 1996. *International Studies Quarterly*, Vol. 40, No. 1, p. 133-153.
64. "Alliance Formation and War," 1995. *International Studies Quarterly* Vol. 39, No. 4, p.405-425.

### **Book Chapters**

1. Predicting Revolutions and Regime Instability in the Middle East: The Uncertain Future of Arab-Israeli Relations. 2015. With Bruce Bueno de Mesquita. The Israeli Conflict System: Analytic Approaches. Edited by Harvey Starr and Stanley Dubinsky. Routledge. P.227-244.
2. Tanzania's Economic and Political Performance: A District-Level Test of Selectorate Theory. 2015. With Bruce Bueno de Mesquita. In *Politics in South Asia: Culture, Rationality and Conceptual Flow*. Editors (Siegfried O. Wolf, Jivanta Schottli, Dominik Frommherz, Kai Furstenberg, Marian Gallenkamp, Lion Konig, and Markus Pauli.) Heidelberg, Germany: Springer p.31-48.
3. When Likely Losers Choose War. With Allan Stam. In *New Directions for International Relations: Confronting the Methods of Analysis Problem* Edited by Alex Mintz and Bruce Russett. Lexington Books; February 2005 p.179-198
4. The Survival of Political Leaders and IMF Programs. With James Vreeland. (2006)\_ In Gustav Ranis, James Vreeland, and Stephen Kosack (eds.) *Globalization and the Nation State: The Impact of the IMF and the World Bank*. New York: Routledge, pp. 263-289.
5. The Selectorate Model: A Theory of Political Institutions September 2002. Bruce Bueno de Mesquita, James D. Morrow, Randolph M. Siverson and Alastair Smith, in Joseph Berger and Morris Zelditch (ed.) *New Directions in Sociological Theory: The Growth of Contemporary Theory*. Lanham, Maryland: Rowan and Littlefield
6. "Political Survival and International Conflict" Bruce Bueno de Mesquita, James D. Morrow, Randolph M. Siverson and Alastair Smith, in Zeev Maoz and Azar Gat (Editors), *War in the Changing World*, University of Michigan Press, 2001. p.183-206.

7. Political Institutions, Political Survival and Policy Success. Bruce Bueno de Mesquita, James D. Morrow, Randolph M. Siverson and Alastair Smith. in *Governing for Prosperity* (ed.) Bruce Bueno de Mesquita and Hilton Root. Forthcoming 1999 New Haven, Ct.: Yale University Press.
8. "The Effect of Foreign Policy Statements on Foreign Nations and Domestic Electorates." 1998, in *Strategic Politicians, Institutions and Foreign Policy*, edited by Randy Siverson, Ann Arbor MI: University of Michigan Press. pp. 221-254.
9. "Political Survival and International Conflict" Bruce Bueno de Mesquita, James D. Morrow, Randolph M. Siverson and Alastair Smith, in Zeev Maoz and Azar Gat (Editors), *War in the Changing World*, University of Michigan Press, 1999

### **Other Publications**

1. Bueno de Mesquita, Bruce and Smith, Alastair, A New Indicator of Coalition Size: Tests Against Standard Regime-Type Indicators (September 17, 2021). Available at SSRN: <https://ssrn.com/abstract=3925591>
2. Ukraine's Last Best Hope: How Political Reform Can Defend Against Russian Intervention. May 7, 2014. *Foreign Affairs*.
3. In Sickness and in Health: Why leaders keep their illnesses secret. With Bruce Bueno de Mesquita *Foreign Policy*. 18<sup>th</sup> Sept. 2012
4. .... yet going negative almost always works. *New York Post*. Feb 18<sup>th</sup> 2012.
5. Assessing Assad. With Bruce Bueno de Mesquita. *Foreign Policy* December 20<sup>th</sup> 2011.
6. A Dictator's Handbook for the President, with Bruce Bueno de Mesquita. *Foreign Policy* Sept 14<sup>th</sup> 2011.
7. How Tyrants Endure, with Bruce Bueno de Mesquita. *New York Times* June 9<sup>th</sup> 2011
8. Pakistan's Flood of Cash, with Alejandro Quiroz Flores. *Foreign Affairs* November 28, 2010.
9. Disaster Politics, with Alejandro Flores Quiroz *Foreign Affairs* July 15, 2010.
10. Making and Breaking Democracies Societal Conditions Conducive to Democracy. <http://www.thepolitic.org/>: Yale Center for the Study of Globalization. March, 2003
11. Personalizing Crises. *Essays in Public Policy* No. 106. Hoover Institution on War, Revolution and Peace, Stanford University, 2000.

### **AWARDS**

Member of the American Academy of Arts and Science.

The Franklin L. Burdette/ Pi Sigma Alpha Award for best paper at the 2007 annual meeting of the APSA.

Winner of the Political Economy Section of the American Political Science Association Prize for the best paper presented at the annual meeting 2007, "Political Survival and Endogenous Institutional Change."

Karl Deutsch Award, 2005 from the International Studies Association, Distinguished Young Scholar

Conflict Processes Section of the American Political Science Association Book Prize, 2002-2005, for *The Logic of Political Survival*.

Principal Investigator on NSF Grant SES-0226926 *The Impact of Leadership Turnover and Regime Type on the Evolution and Maintenance of International Cooperation* \$75,000.

Principal Investigator on NSF Grant SES-99-75352. *Election Timing in Parliamentary Democracies*.

Editorial Board Award for Excellence in Research, International International 1998 (Vol. 23) for "Institutional Determinants of Trade Policy" (coauthored with Fiona McGillivray)

Principal Investigator on NSF Grant SBR-9631990. *Domestic Politics and International Relations*

National Fellow, Hoover Institution on War, Revolution and Peace, Stanford. 1997-98.

Midwest Political Science Association poster competition winner 1997: "Political Selection: The Effect of Strategic Choice on the Escalation of International Crises."

Worshipful Company of Coopers Award for Academic Excellence 1986.

Worcester College Graduate Fellowship to the University of Rochester 1990.

Rush-Rhees Fellowship, University of Rochester, 1991.

Half blues 1988 and 1989 for Oxford vs. Cambridge Lightweight Varsity Boat Race.