

# Asynchronous Broadband Signals Are the Principal Source of the BOLD Response in Human Visual Cortex

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## Summary

**Background:** Activity in the living human brain can be studied using multiple methods, spanning a wide range of spatial and temporal resolutions. We investigated the relationship between electric field potentials measured with electrocorticography (ECoG) and the blood oxygen level-dependent (BOLD) response measured with functional magnetic resonance imaging (fMRI). We set out to explain the full set of measurements by modeling the underlying neural circuits.

**Results:** ECoG responses in visual cortex can be separated into two visually driven components. One component is a specific temporal response that follows each stimulus contrast reversal (“stimulus locked”); the other component is an increase in the response variance (“asynchronous”). For electrodes in visual cortex (V1, V2, V3), the two measures respond to stimuli in the same region of visual space, but they have different spatial summation properties. The stimulus-locked ECoG component sums contrast approximately linearly across space; spatial summation in the asynchronous ECoG component is subadditive. Spatial summation measured using BOLD closely matches the asynchronous component. We created a neural simulation that accurately captures the main features of the ECoG time series; in the simulation, the stimulus-locked and asynchronous components arise from different neural circuits.

**Conclusions:** These observations suggest that the two ECoG components arise from different neural sources within the same cortical region. The spatial summation measurements and simulations suggest that the BOLD response arises primarily from neural sources that generate the asynchronous broadband ECoG component.

## Introduction

Neuroscientists have a variety of measurement modalities available to capture neural signals from the living human brain. These modalities span a wide range of spatial and temporal resolutions, and each provides insights into distinct aspects of neural processing. The multiplicity of modalities also poses a significant challenge for developing an integrated model of brain signaling: we do not know exactly which aspect of neural

signaling is captured by each modality. In this paper, we consider the relationship between two different modalities, electrocorticography (ECoG) and functional magnetic resonance imaging (fMRI).

ECoG and fMRI measure neural activity in different ways and cannot be directly compared: the ECoG signal measures the electric field potential on the cortical surface [1], whereas fMRI measures the hemodynamic blood oxygen level-dependent (BOLD) response associated with neural activity [2]. To compare such different signals, we use a stimulus-referred approach. Specifically, we compare how the ECoG and fMRI responses in visual cortex depend on the stimulus spatial position and size. Spatial position and size are important properties of visual encoding, reflected in the multiplicity of visual field maps tiling the cortex [3, 4]. We interpret the responses in the stimulus domain by calculating a population receptive field (pRF) [5–8]. The pRF defines the visual field positions of stimuli that evoke a response; we compare the pRFs (receptive field center and size) estimated from the ECoG and fMRI responses at each cortical location. Critically, we also analyze how responses to stimuli at different positions within the pRF combine to produce the full response (spatial summation).

We report three main experimental observations. First, the ECoG signal can be usefully divided into two stimulus-driven components. One component is synchronous with the flickering visual stimulus, and its temporal response rises and falls the same way with each contrast reversal (stimulus locked, or steady-state visual evoked potential [9–11]). The other component increases the response variance, but the precise temporal response changes across trials (asynchronous, or spectral perturbation [12]). Second, when analyzed using pRF methods, these two ECoG components have the same receptive field center and size, but they differ strikingly with respect to spatial summation. The stimulus-locked spatial summation is additive, whereas the asynchronous spatial summation is subadditive. Third, fMRI spatial summation is subadditive, similar to the asynchronous signal and unlike the stimulus-locked signal. Hence, the experimental data support a model in which the ECoG signal comprises at least two distinct components, and one of these components (asynchronous) matches the fMRI properties, whereas the other (stimulus locked) does not.

We created neural simulation software [13] to better understand how two neural circuits might combine to give rise to the ECoG and fMRI responses. We simulated the stimulus-locked signal as arising from the input circuitry, and the asynchronous signal as the intracortical response to the input. Modeling the signals in terms of neural circuits is an alternative to the typical approach of identifying temporal frequency bands, which have no specific biological basis, as the fundamental components. The simulations show that the fMRI response, which is mainly driven by circuitry energy consumption, will be dominated by the circuit giving rise to the asynchronous ECoG signal.

Together, the data and simulations support the view that a repetitive stimulus initiates input signals that follow the stimulus (steady-state visual evoked potential). These stimulus-locked signals induce additional, intracortical processing,

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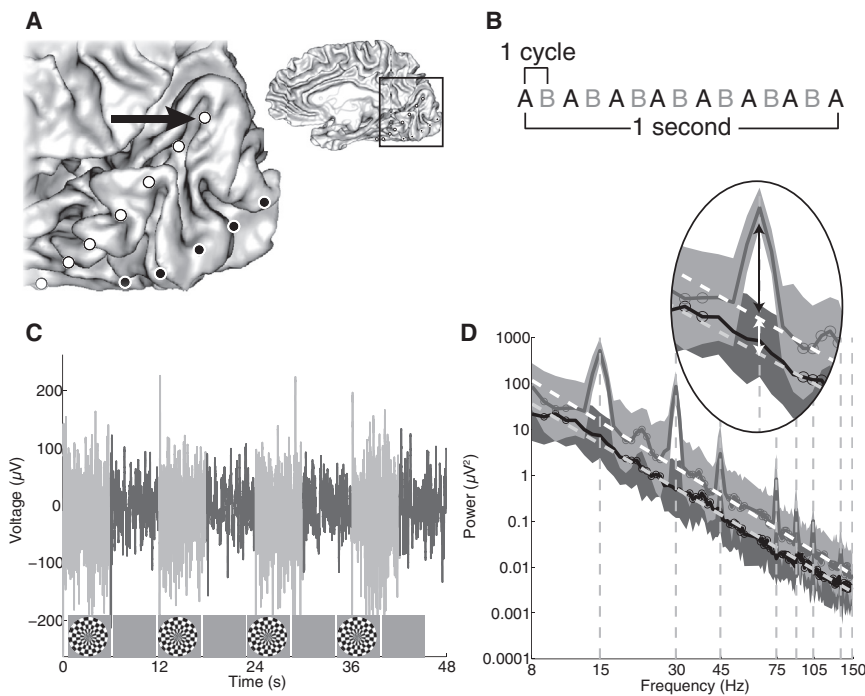


Figure 1. Electrocorticography Signals in Visual Cortex

(A) Medial view of right occipital cortex in subject 1. The location of the magnified view is shown in the inset. The positions of two strips of intracranial electrodes are shown as white and black circles. One of the electrodes, indicated by the black arrow, is the source of the data plotted in (C) and (D).

(B) A schematic of the flicker during “on” periods. The stimulus is a dartboard pattern that reverses in contrast (pattern A to pattern B) at 7.5 Hz, such that there are 15 stimulus events per second.

(C) The ECoG time series is plotted for an “on-off” experiment (see [Experimental Procedures](#)). The “on” periods are plotted in light gray and the “off” periods in dark gray. The signal fluctuations are larger during the “on” than the “off” periods. (D) Spectral representation of the same data plotted in (B). Short-time Fourier analysis was used to calculate the spectral power in 1 s nonoverlapping windows across the 48 s experiment. The mean spectra during the two periods differ from one another in two salient ways. First, during the “on” periods but not the “off” periods, there are spectral peaks at even harmonics of the stimulus frequency (dashed vertical lines). Second, there is a broadband elevation of the signal during “on” periods compared to “off” periods, as seen by the offset in the fitted white

lines. The broadband elevation spans the spectrum from below 10 Hz to above 150 Hz. Data at 60 and 120 Hz are not plotted because signals at these frequencies are corrupted by electrical line noise. Data points with circles indicate the points used to fit a line to the broadband signal (dashed white lines). The inset shows the responses at 15 Hz, with the arrows indicating the stimulus-locked response (black) and the broadband response (white).

See [Figure S1](#) for example plots of the spectrum and the time series in response to a flickering contrast pattern within a bar aperture for the same electrode depicted in this figure.

which is not synchronized to the stimulus. We conclude that fMRI responses measure mainly the rise and fall of the asynchronous activity.

## Results

### ECoG Responses to Large-Field Flicker Reveal Two Types of Visual Signals

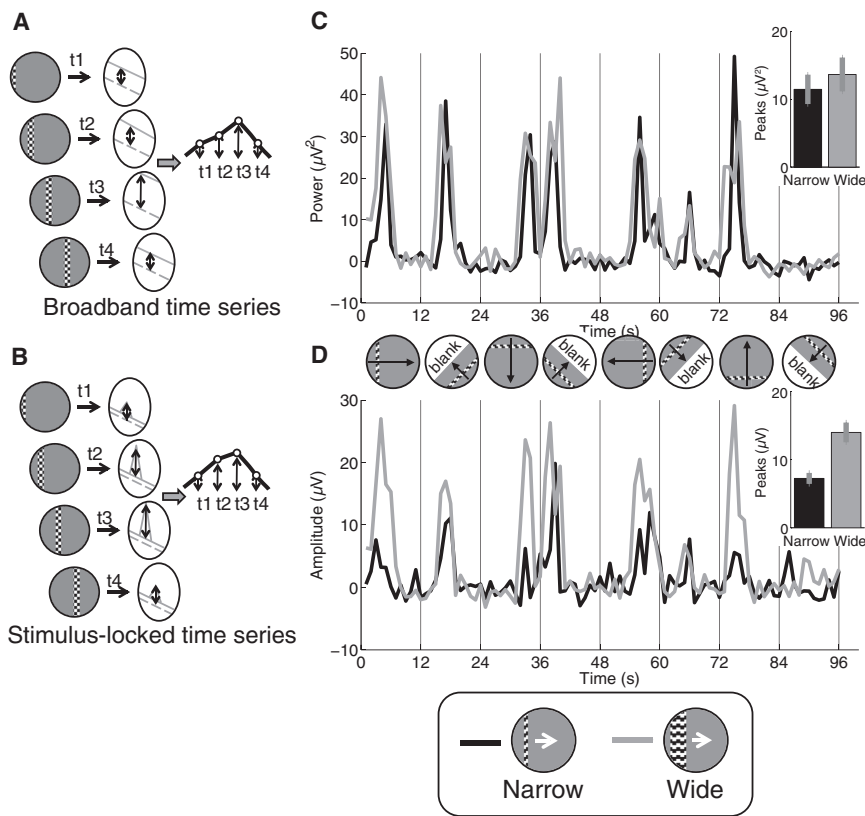
A simple “on-off” stimulation experiment was used to characterize ECoG responses to visual stimuli. The results of these experiments were used to develop measures for subsequent experiments investigating spatial summation. In the on-off experiments, subjects viewed large-field contrast patterns flickering at 7.5 Hz (“on” periods), interspersed with periods of zero contrast, mean luminance (“off” periods). An example of a V1 electrode and its response during an on-off experiment is shown in [Figure 1](#). The voltage swings during the “on” periods are larger than those during the “off” periods, indicating that this channel is visually responsive ([Figure 1C](#)).

A spectral analysis of the ECoG signals ([Figure 1D](#)) reveals several characteristics that are difficult to appreciate from the time series. First, the flickering visual stimulus evokes a stimulus-locked steady-state response. This is seen in the spectral analysis as peaks at multiples of the stimulus frequency during the “on” periods [9, 10]. The large response at twice the stimulus frequency (second harmonic) indicates sensitivity to visual transients: a 7.5 Hz square-wave pattern has 15 contrast reversals per second. Across 15 visually responsive electrodes in V1, V2, and V3 (see the [Supplemental Experimental Procedures](#) section “Channel Selection” and [Table S1](#) available online), the response power at 15 Hz increased by an average of 21.5-fold (SE = 4.7) during “on”

periods compared to “off” periods. Second, there is an increase in the broad spectral response during the “on” periods. This increase is asynchronous with the stimulus and appears as a broadband increase in the response power, spanning frequencies from below 10 Hz to above 100 Hz. The response is asynchronous in that the phases are random, and hence the voltage level is not time locked to the stimulus contrast reversals. In the time domain, this is reflected in an increase in the variance following stimulus onset. Across 15 channels, the mean broadband elevation was 2.9-fold (SE = 0.43) during “on” periods compared to “off” periods. Third, the spectral power declines with increasing frequency according to a power function, as evident by the approximately linear relationship between power and frequency when plotted on log-log axes. The power-law relationship is not caused by visual stimulation; it is observed during both the “on” and the “off” periods and is consistent with power-law spectra observed in ECoG electrodes in many parts of the brain [8, 12, 14–16].

### Spatial Summation Differs between the Two ECoG Signals

In a second set of experiments, we examined spatial tuning of the ECoG signals. Subjects viewed a flickering contrast pattern through a bar aperture that made slow, discrete steps across the visual field. The responses to the moving bar, like the responses to the “on” and “off” stimuli, can be separated into stimulus-locked and asynchronous components ([Figure S1](#)). Stimuli with several bar aperture widths ([Figure S1](#)) and contrast values ([Figure S2](#)) were used to probe properties of spatial summation. For each stimulus, separate time series were constructed from the asynchronous ([Figure 2A](#)) and stimulus-locked responses ([Figure 2B](#)).



**Figure 2. Spatial Summation for Broadband and Stimulus-Locked Responses**

(A and B) For moving-bar experiments, each stimulus position was associated with a short time window (1 s for subjects 1, 2, 3; 0.5 s for subject 4). The Fourier transform was computed within each window, indicated in the schematics as t1, t2, t3, and t4. The power of the broadband response (A) or the amplitude of the stimulus-locked response (B) was then calculated and concatenated into a time series.

(C) Time series are shown for the broadband response to wide bar apertures (gray) and narrow bar apertures (black) for an electrode located on the V1/V2 boundary in subject 2. The bar aperture made eight sweeps across the visual field: two horizontal, two vertical, and four diagonal, indicated by the black arrows in the circular apertures. The diagonal sweeps included blank periods (white background) [6]. The mean response during the blank periods was subtracted to render a meaningful baseline level of 0  $\mu\text{V}^2$ . Each sweep of the bar elicits a time series peak, except the last diagonal because the stimulus is blanked when it would cross the electrode's receptive field (lower left visual quadrant). The inset bar graph shows the mean  $\pm$  SEM of the highest response during each of the eight sweeps for the narrow (black) and wide (gray) bar apertures, averaged across 15 visually responsive electrodes in V1, V2, and V3 in subjects 1, 2, and 3. See the [Supplemental Experimental Procedures](#) section "Channel Selection" and [Table S1](#) for details on criteria used to select these 15 channels.

The peak power is about the same for the two bar aperture widths. The fact that the power does not increase much for the wide bar is not a ceiling effect, as evidenced by the fact that the response increases further when the stimulus contrast increases (Figure S2).

(D) Same as (C), but using the stimulus-locked response instead of the broadband response. The stimulus-locked peaks are higher for the wide bar aperture than the narrow bar aperture, both in the example time series and in the mean across channels (inset).

Both ECoG measures are sensitive to the position of the stimulus in the visual field (Figures 2C and 2D). The position sensitivity is reflected in the time series peaks, which arise in response to particular retinal locations of the bar apertures. The spatial trajectory of the center of the bar apertures was identical across experiments with different bar widths. The timing of the peaks is approximately the same in the four time series (two widths, two ECoG signals).

The spatial summation differs between the two types of ECoG signals. The power of the broadband signal is relatively insensitive to the stimulus width: the time series peaks for the wide and narrow bars are similar in height (Figure 2C). A different pattern is observed in the stimulus-locked time series. The time series peaks are much larger for the wide bar than the narrow bar (Figure 2D). The pattern in the example V1 time series is present across 15 visually responsive channels (Figures 2C and 2D, insets); the broadband signal increases by 21%  $\pm$  7% for the wide bars compared to the narrow bars, whereas the stimulus-locked response increases by 106%  $\pm$  22% [t(14) = 3.3; p = 0.0054, paired t test].

The time series of both ECoG components depends on the stimulus width: the response to the wider bar (Figures 2C and 2D, gray) is elevated a little longer than the response to the narrower bar (Figures 2C and 2D, black). This is presumably because there are more positions in which the wide bar overlaps the receptive fields of the neurons contributing to the electrode signals.

### CSS Model Captures the Difference between the Broadband and Stimulus-Locked Responses

The pattern of broadband ECoG responses to bars of various widths suggests subadditive spatial summation. To test this idea explicitly, we fit the broadband time series and stimulus-locked time series by a population receptive field (pRF) model with compressive spatial summation (CSS model) of the form

$$r(t) = g \times \left( \int_{x,y} S(x,y,t) \times P(x,y) dx dy \right)^n, \quad (\text{Equation 1})$$

$$P(x,y) = e^{-\left[ \frac{(x-x_0)^2 + (y-y_0)^2}{2\sigma^2} \right]}$$

where the pRF is P(), the receptive field center is  $(x_0, y_0)$ , the amplitude is scaled by a gain factor (g), and the apparent receptive field size is  $\sigma/\sqrt{n}$ , which corresponds to the receptive field of the response when the stimulus is a point [17].

In this model, the stimulus (S) is represented as a series of 2D contrast images, the spatial receptive field (P) is represented as a 2D circularly symmetric Gaussian, and a static nonlinearity (n; power-law function) is applied to the output (Figure 3A). When the power-law exponent is one, the model is linear, like the pRF model introduced for fMRI measurements by Dumoulin and Wandell [6]. When the exponent is less than one, the model predicts compressive (subadditive) spatial summation. The CSS model was developed to account for a range of fMRI data in visual cortex [17].

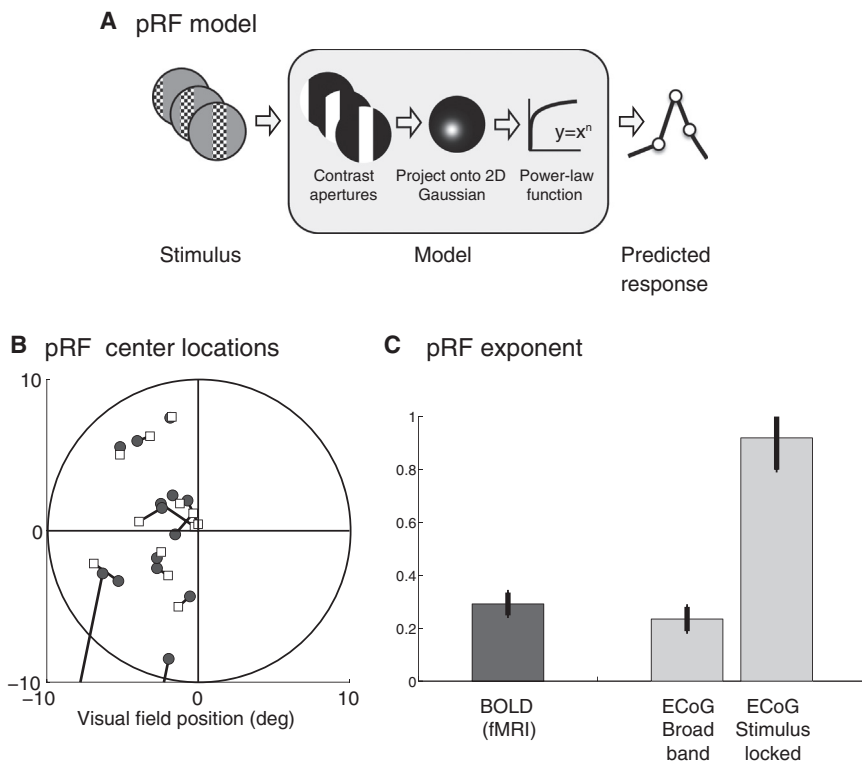


Figure 3. Compressive Spatial Summation Model across Modalities

(A) The CSS model consists of (1) converting the stimulus into a sequence of binary contrast apertures, (2) projecting the contrast apertures onto the best-fitting 2D isotropic Gaussian population receptive field (pRF), and (3) passing the output through a static nonlinearity (power function) to predict the response. The CSS model was fit to data from V1, V2, and V3 using ECoG broadband and ECoG stimulus-locked responses (subjects 1, 2, and 3) and fMRI (subjects 5, 6, and 7).

(B) The CSS model fits to the two types of ECoG responses are shown for each electrode (lines connect results from the same electrode); the pRF center locations are similar. pRF centers are in the left visual field because subjects had electrodes in the right hemisphere.

(C) The exponent ( $n$ ) from the model fits is highly compressive ( $n < 1$ ) for BOLD fMRI and for ECoG broadband responses but close to linear ( $n \sim 1$ ) for the stimulus-locked response. All estimates come from model fits thresholded at 30% variance explained. For ECoG data, the plotted values represent the mean exponent  $\pm$  SE across 15 electrodes in three subjects. For fMRI data, the plotted value represents the mean  $\pm$  SE across three subjects, where the value for each subject was computed as the mean across three regions of interest (ROIs), and the value for each ROI was the median across voxels within the ROI. The pRF exponent and size of each of the 15 electrodes are reported in Table S2 and summarized in Figure S3.

The positions of the estimated Gaussian receptive fields are similar when measured with different signal components (Figure 3B). Across 15 electrodes in V1, V2, and V3, the eccentricity of the pRF centers measured from the two ECoG components was highly correlated ( $R^2 = 65\%$ ). The pRF size (Equation 1) was slightly larger for the stimulus-locked component (Figure S3; Table S2).

The power-law exponents estimated from the two ECoG responses differ: there is more spatial compression in the broadband responses than in the stimulus-locked responses (Figure 3C). The difference in compression is captured by the pRF model exponents:  $0.23 \pm 0.05$  (broadband) and  $0.92 \pm 0.12$  (stimulus locked), a highly significant difference between the two types of signals ( $t(14) = 5.5$ ;  $p = 7.3 \times 10^{-5}$ ). The smaller, more compressive exponent in the fit to the broadband signal is consistent with the example V1 time series, in which the peak response does not increase as the stimulus gets larger (Figure 2C). The higher exponent in the fit to the stimulus-locked signal is consistent with the observation in the example V1 time series in which the peak response increases with stimulus width (Figure 2B). The degree of compression in the broadband model ( $0.23 \pm 0.05$ ) is close to the value obtained from models of fMRI voxels in V1/V2/V3 using the same range of bar widths ( $0.29 \pm 0.04$ ).

Cross-validated analyses confirm that the CSS model is more accurate than the linear model (exponent set to 1) for the broadband responses. Accuracy was determined via a leave-one-run-out procedure in which models were fit to two-thirds of the data (experiments with two of three bar widths) and then applied to the left-out third of the data (experiment with third bar width). For the broadband responses, the CSS model fit predicted responses better than the linear model in nearly all V1/V2/V3 electrodes (Figure 4A),

similar to the data obtained with fMRI (Figure S4). For the stimulus-locked responses, the CSS model and the linear model were equally accurate (Figure 4B). For subject 4, who was presented with static bar stimuli rather than flickering stimuli, only a broadband time series was computed; this subject shows the same pattern as the other three subjects, in which the CSS model cross-validates better than the linear model (Figure 4A, open symbols), indicating that the compressive nonlinearity evident in the broadband response is not restricted to the domain of flickering stimuli.

#### Compressive Spatial Summation in ECoG Broadband Is Not a Ceiling Effect

The broadband ECoG signal saturates with increasing stimulus size (Figures 2C and 3C). The assumption in the CSS model is that the saturation is due to subadditive spatial summation. An alternative explanation is that the broadband responses saturate due to a ceiling on the overall signal power. To examine this possibility, we compared responses to high-contrast stimuli to responses to low-contrast stimuli in subjects 2 and 4, for whom the bar experiments took place at low contrast (0.10% and 0.08%, respectively). For electrodes in V1, V2, and V3, for each of these subjects, the response to high-contrast stimuli was larger in each electrode than the response to low-contrast stimuli (Figure S2), indicating that the responses to the low-contrast bar stimuli used for fitting pRF models were not limited by a response ceiling.

#### Simulating the ECoG Temporal Responses

Several models have been proposed to simulate certain aspects of the signal dynamics of cortical neural populations [18] and electric field potentials (reviewed in [19]). These



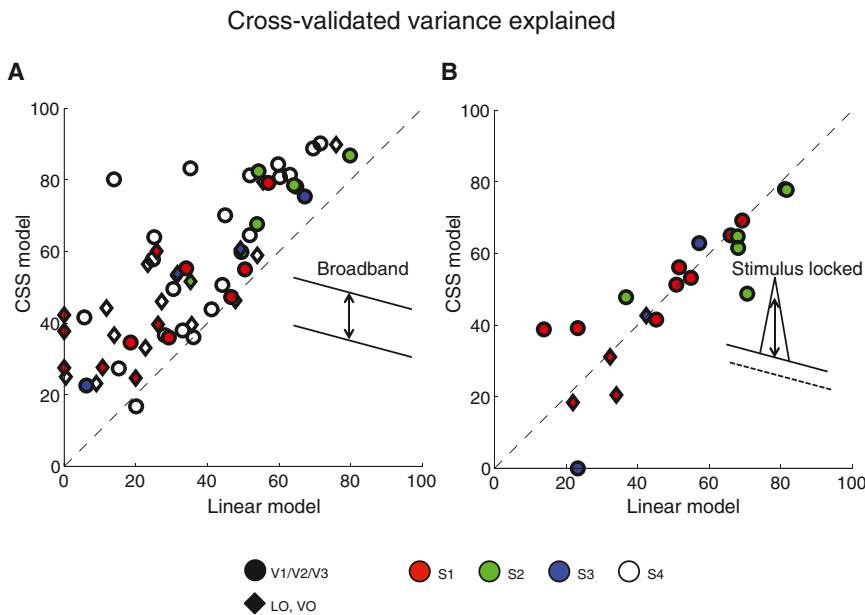


Figure 4. Accuracy of CSS Model and Linear Model for Broadband and Stimulus-Locked ECoG Data

ECoG broadband responses (A) and stimulus-locked responses (B) were fit to the CSS model and a linear model. Accuracy was assessed by cross-validation. For each subject, experiments were conducted with three different bar widths. The models were fit to data from two of the experiments and then tested against the data from the left-out experiment. Plots show the variance explained for the left-out data, with each point corresponding to one electrode. For broadband responses, nearly every electrode was more accurately predicted by the CSS model than by the linear model (data points above the identity line). For stimulus-locked responses, the two types of models were equally accurate. The pattern of broadband model fits (greater accuracy for CSS model) is similar to fMRI data (Figure S4). Variance explained of less than 0 was coded as 0. S1, S2, S3, and S4 indicate subjects 1, 2, 3, and 4, respectively. LO, lateral occipital; VO, ventral occipital.

models contain two or more interacting pools of neurons, which are driven by both external signals and signals between the pools. Even relatively simple models, in which neural responses are driven only by external signals, can capture important features of electric field potential dynamics, such as power-law scaling of the spectral power as a function of frequency [20, 21].

We implemented a specific version of these models that captures the full dynamics of the ECoG measurements described here [13]. The simulation is based on the principle that two circuits contribute to the mean field potential measured by the ECoG signal. We assume that these are distinct circuits because the spatial summation properties differ between the stimulus-locked and broadband responses.

The stimulus-locked and broadband circuit simulations share certain similarities. In both cases, a Poisson spiking process initiates the circuit responses. These spikes drive a mixture of excitatory and inhibitory synapses. The synaptic weights are selected randomly and sum to zero. The post-synaptic responses are generated through a mechanism described by Miller et al. (Equations 3 and 4 in [20]), and the parameters are the same. Spikes initiate a dendritic current that rises rapidly and decays exponentially. A leaky integrator accumulates charge across many synapses, and the charge is lost ohmically across the dendritic membranes. The ECoG signal is assumed to be proportional to this transmembrane current, and the total time-varying ECoG response is the sum of the responses from the two circuits.

The two circuits differ in their parameters and organization. The broadband circuit is initiated by a Poisson process with a spike rate that steps between two levels; the level depends only on the presence or absence of the flickering stimulus. We do not model the broadband power as rising and falling within the short period of time between each contrast reversal because we do not observe such coupling in the data; if the flicker rate were much slower, then it might be necessary to model the broadband response as increasing and then saturating with each stimulus event, rather than stepping between two discrete levels. Because there are an equal number of

excitatory and inhibitory synapses, the time course of the mean electrical field potential from the broadband circuit fluctuates around zero. The stimulus-locked response is initiated by two time-varying Poisson processes whose rate is time locked to contrast reversals in the stimulus. The two inputs separately target excitatory and inhibitory synapses. The excitatory and inhibitory inputs are slightly offset in time. Because the inhibitory input is delayed by 25 ms, the electrical field response arising from the stimulus-locked circuit is biphasic.

The simulated time series captures the main aspects of the data (Figure 5). First, the simulation correctly models the power-law relation between power and frequency. Second, it captures the broadband response elevation when the stimulus is present. Third, the simulation captures the narrow band peaks at even harmonics of the stimulus frequency (15 Hz, 30 Hz, and so on). These peaks are the largest visible feature of the spectral plots and the time series; the response peaks correspond to the checkerboard contrast reversal times (15 times per second). The simulation does not attempt to capture the phase of the stimulus-locked response, because the phase was observed to differ substantially between electrodes; this is expected if response latency differs across visual field maps and visual field positions. Finally, the model captures the fact that the power of the stimulus-locked peaks is changed very little by response averaging (light red versus dark red peaks in Figure 5, lower plots; see also Figure S5). The asynchronous broadband response, however, does decline with averaging. The difference arises because the phase of the stimulus-locked response is the same across trials, whereas the phases of the broadband frequency terms are distributed randomly.

## Discussion

### Dissecting Field Potentials in Human Visual Cortex

We separated the ECoG responses from human visual cortex into a stimulus-locked response and an asynchronous response that is broadband. We estimated the pRF properties of these two responses. The responses agree in their

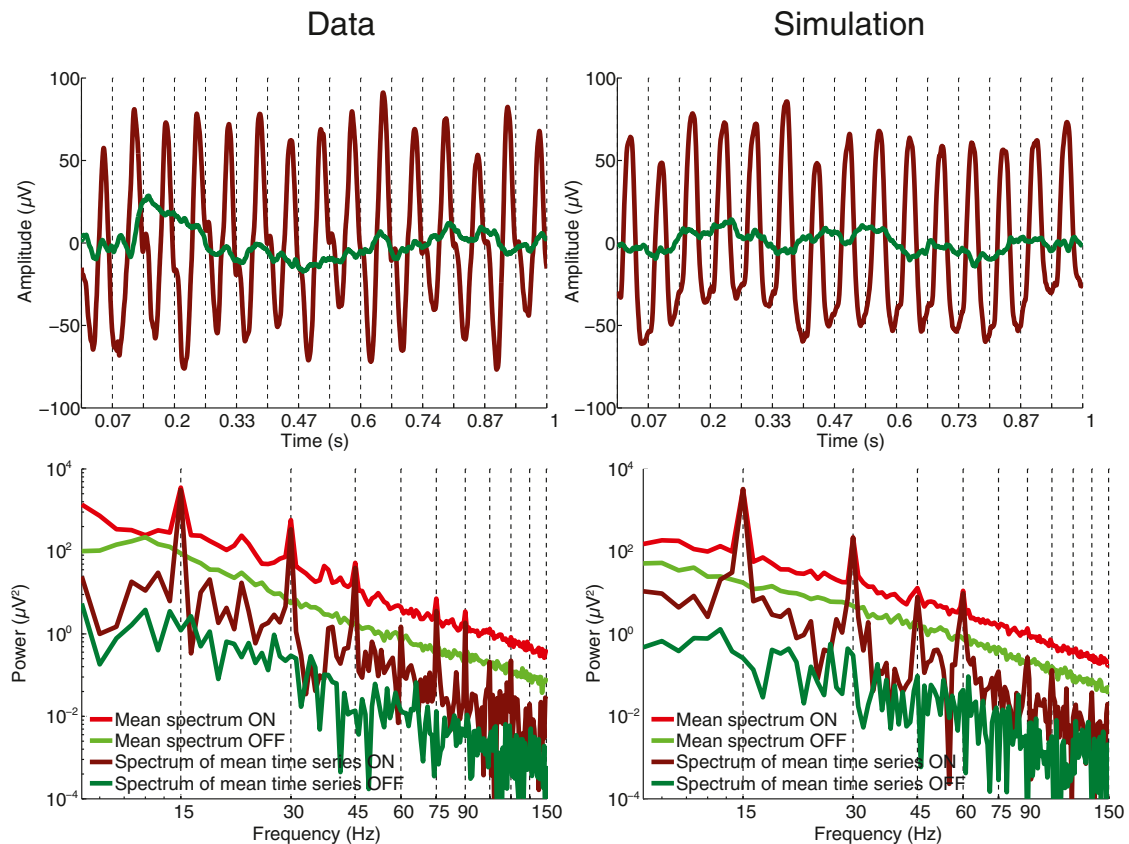


Figure 5. Quantitative Model of the ECoG Responses

The left plots show ECoG responses from a V1 electrode in subject 1 from responses to a large-field flickering grating (“on”; red) or a zero-contrast, mean luminance screen (“off”; green). The right plots show a simulation of responses to the same stimuli. The upper plots show the average time series from 721 s recording blocks. The lower plots show the average spectral responses (bright red and green) and the spectra of the average time series (dark red and green). The broadband response in the model and the data decreases significantly if the data are averaged in the time domain; the stimulus-locked response does not decrease substantially with averaging in the time domain. This observation is further quantified in Figure S5.

estimates of the pRF center positions and the region of the visual field that evokes a response (pRF size).

The two ECoG responses differ, however, with respect to spatial summation. The stimulus-locked response amplitude increases continuously as the stimulus covers more of the pRF, reaching a maximum response when the stimulus covers the full pRF. The asynchronous activity reaches its maximum response when the stimulus covers only a portion of the pRF. The differences in spatial summation between the stimulus-locked and asynchronous responses suggest that these responses arise from different neural sources.

### Simulating the Neural Circuits

The circuitry simulation begins with a Poisson input, representing the spikes arriving to cortex; the simulation generates a continuous voltage output, representing the ECoG response [13]. The simulation models two neural circuits that each make a distinct contribution. The stimulus-locked circuit gives rise to a periodic oscillation in the time domain, which is localized in the frequency domain. The asynchronous responses give rise to a general increase in response variance in the time domain that is broadband in the frequency domain. The model fits the data quite closely without assigning a functional role to specific frequency bands. The functional role is assigned to the circuits and their properties.

Electrophysiological measurements on the cortical surface show that visual stimulation gives rise to a response that arises first in a small region and then spreads as a traveling wave [22, 23]. We were prompted to consider the implications of this traveling wave in the simulation and measurements, and in particular to understand whether the traveling wave might explain the subadditivity of spatial summation. The wave produces a response in which different cortical points respond at different phases (incoherence); sufficiently large temporal incoherence predicts subadditivity of spatial summation. In Figure S6, we show that there is a very small phase difference, consistent with the electrophysiological measurements. However, the incoherence arising from the traveling wave is far too small to explain the observed spatial summation subadditivity. We include simulations that exclude this explanation of spatial summation subadditivity ([13] and Figure S6).

### Broadband and Stimulus-Locked Spatial Summation

The simulation captures the ECoG time series, but it does not model the spatial summation of signals. To explain the difference in spatial summation between stimulus-locked and broadband ECoG responses, we suggest an informal model (Figure 6). The idea is that the difference arises from the cortical spread of the responses from these circuits. The

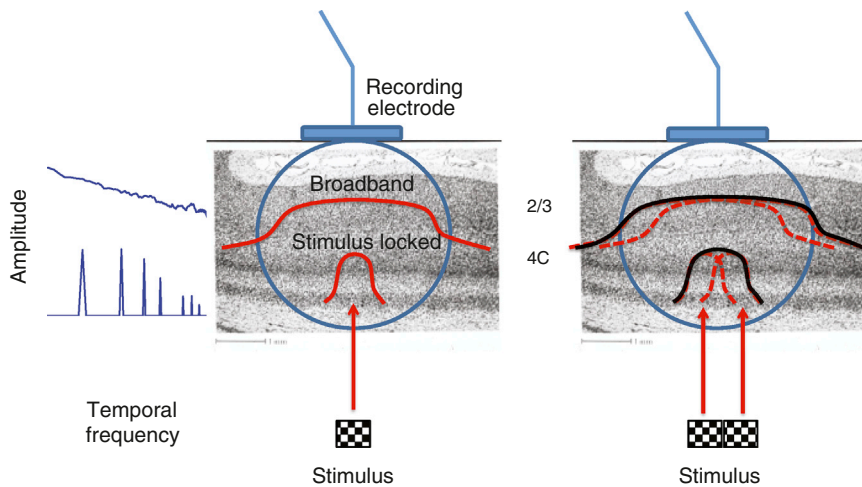


Figure 6. Visualization of a Possible Spatial Distribution of the ECoG Signal Sources

According to this model, inputs from a narrow stimulus (left) give rise to a stimulus-locked response in a relatively small region of cortical input layers; when the stimulus is wider (right), the stimulus-locked response is correspondingly larger. When the response from neighboring stimulus locations gives rise to responses in overlapping cortical locations (dashed red lines), we assume that the total neural response is approximately the maximum of the two responses (black lines). The stimulus-locked responses measured by the electrode grow with stimulus size because the area of cortical stimulation under the electrode increases with stimulus size. In contrast, the broadband response from a small stimulus already spans the electrode antenna function. Consequently, the wider stimulus does not produce a much larger response in the electrode than the narrow stimulus. Alternative explanations for why the wider bar could produce a larger response are schematized in Figure S6.

difference in spread produces a significant difference in the signal picked up by the implanted electrodes.

Specifically, we propose that the cortical inputs are narrowly confined in space, possibly at the input layers. These inputs induce asynchronous activity in the superficial layers of cortex that spreads more widely (Figure 6, left panel; Figure S6) [24]. When the response from neighboring stimulus locations gives rise to responses in overlapping locations, we assume that the total neural response is approximately the maximum of the responses. This model suggests that the stimulus-locked response will grow linearly with bar width: increasing the spatial area of the input spreads the stimulus-locked response across the measurement field of the electrode. The asynchronous broadband responses are spread so that even the thin bars produce a relatively wide response; increasing the spatial area or amplitude of the input does not increase the broadband response within the measurement field of the electrode.

This model is qualitatively consistent with measurements of cortical activity using voltage-sensitive dyes [24, 25]. The same authors report that the response to a small stimulus that evokes activity within a 1 mm<sup>2</sup> region of the input layers evokes activity that quickly spreads over 10 mm<sup>2</sup> in the superficial layers (Figure 17 in [26]). Similar to the asynchronous broadband ECoG signal, the voltage-sensitive dye measurements in the superficial layers reflect subadditive spatial summation (Figure 13 in [26]).

#### Field Potentials and fMRI Responses

The spatial summation characteristics of the fMRI response correspond to the characteristics of the broadband response, not those of the stimulus-locked response. This might appear surprising, given that the largest amplitude of the ECoG signal is stimulus locked (Figures 1 and S1). To understand why, note that the response power of a signal that is the sum of  $N$  independent sources grows as  $N^2$  when the sources are synchronous, but the broadband response power only grows as  $N$  when the sources are asynchronous. Thus, the same number of sources, each presumably consuming equal amounts of energy, generates a larger signal when they are stimulus locked compared to the signal generated by asynchronous signals in random phase relationships. This pattern is evident in the simulation: the number of spikes that drive the stimulus-locked response is about ten times smaller than

the number of spikes that drive the broadband response, even though the stimulus-locked signal is quite high in the simulated time series (see simulation code in [13] for plots of the spike levels driving each response).

Hence, the fMRI response—which depends on energy consumption—may be largely determined by the broadband response [2, 27, 28]. This does not imply that the stimulus-locked response fails to influence the fMRI response or is always immeasurable; it may prove possible to isolate the portion of the fMRI response driven by the evoked response component by using specific stimulus manipulations. Nor does it imply that narrowband responses that are not stimulus locked, such as beta rhythms in motor cortex [27] or alpha rhythms in visual cortex [8], cannot exert some influence on the fMRI response.

#### Where Is Narrowband Gamma?

The broadband response spans the temporal frequency range that is often described as gamma band (30–80 Hz) and high gamma (80–150 Hz). Some investigators measuring electrical field responses in visual cortex observe a high-amplitude, narrowband response in this range (reviewed in [29]). The peak frequency depends on intrinsic properties of the cortex such as the density of gamma-aminobutyric acid (GABA) [30] as well as stimulus characteristics such as contrast and stimulus size [31]. Several investigators have shown that this narrowband peak is present for simple grating stimuli, but not for other similar stimuli. For example, the peak is reduced or eliminated by the introduction of a second grating [32, 33] or superimposed white noise [34] and is absent for low to moderate stimulus contrasts [35]. Importantly, a broadband spectral elevation has been shown in several of these stimulus configurations that eliminate the narrowband gamma peak [33, 35]. We confirm this because for the checkerboard patterns we used, there are no narrowband peaks other than the stimulus-locked peaks. In pilot experiments, we have seen narrowband peaks for grating stimuli. Because the properties and even existence of the narrowband peak in these early visual areas are dependent on spatial properties of the stimulus, the peak is probably not an essential requirement for seeing. The circuit properties that give rise to the peak may differ from those that give rise to the broadband response [35, 36].

## Circuit Models

The association between specific electrical rhythms and physiological states is an important tradition [37]. Decomposing ECoG and local field potential responses into different frequency bands builds on this tradition, making it natural to ask whether a particular frequency band is particularly predictive of the BOLD response. A number of investigators have used this approach and observed that the response levels in certain frequency bands, particularly in the range from 30 to 100 Hz, are more highly correlated with the hemodynamic response [38–43].

In this paper, we propose a different analysis of the ECoG signal. Rather than decomposing the ECoG signal into frequency bands, we model the signal as arising from two neural circuits. The response of one circuit is stimulus locked, tracking the rapid stimulus contrast reversals; the response of the second circuit is asynchronous, fluctuating more through multiple contrast reversals.

The decomposition into neural circuit models is motivated by the data: the ECoG responses to the visual stimuli naturally separate into two types. But these types do not correspond to distinct frequency bands: the model contains two circuits that contribute to overlapping frequency bands. The circuits are better understood as a brief, transient response to contrast, followed by a longer, sustained response that spans several of these transient periods.

## Conclusions

Both ECoG and fMRI responses to a range of contrast patterns were examined using a model-based approach. A pRF model, consisting of linear spatial summation followed by a pointwise nonlinearity, accurately characterizes the spatial summation properties of the BOLD response and two components (stimulus locked and broadband) of the ECoG response. Consistent with prior work, model fits to the BOLD signal indicate a significantly compressive spatial nonlinearity. The broadband ECoG response is similarly described by a compressive nonlinearity, in quantitative agreement with fMRI measurements. The stimulus-locked ECoG response has approximately linear spatial summation, indicating that this component of the ECoG response arises from different network activity than that underlying the broadband ECoG and the BOLD responses.

We implemented a network model to capture the properties of the ECoG temporal response. The amplitude of the stimulus-locked response is a very large fluctuation in the gross electric potential in the ECoG electrode. But simulations suggest that this response likely arises from a relatively small population of stimulus-locked neurons and that most of the energy in the model is consumed by the neurons driving the broadband responses. The energy analysis and the shared spatial summation characteristics suggest that the BOLD response is mainly driven by the same sources that carry the broadband ECoG responses.

## Experimental Procedures

Further details of the experimental procedures can be found in the [Supplemental Experimental Procedures](#).

## Participants

ECoG data were collected from four clinical volunteers (two males, two females; ages 41–57; subjects 1–4). Three additional participants took part in fMRI experiments (all males; ages 25–39; subjects 5–7). Informed written consent was obtained from all participants, and all protocols were approved by the Stanford University Institutional Review Board.

## Stimuli for ECoG Experiments

### Bar Stimuli

For subjects 1, 2, and 3, bar experiments were similar to those used previously for fMRI experiments [6, 44, 45]. A contrast pattern was viewed through a bar aperture that swept across the visual field eight times in twelve 1 s steps (see [Figure 2](#) for the sequence). For each of these three participants, there were separate experiments with different bar widths (1/16, 1/8, and 1/4 the maximum bar height). For subjects 2 and 3, the contrast of the checkerboard pattern within the moving bars was 78%, the maximum afforded by the display given the ambient illumination in the hospital room. For subjects 1 and 4, the contrast was reduced to 10% and 8%, respectively, to avoid the possibility of saturation in the ECoG responses. For subject 4, bar stimuli were presented as static images for 100 ms (no flicker), in random order (rather than as sweeps across the visual field), with a 400 ms mean luminance interval between stimuli.

### Large-Field On-Off Stimuli

Large-field on-off stimuli consisted of a circular aperture (largest circle that fit completely within the display) and a contrast-reversing dartboard pattern (7.5 Hz square wave). Experiments consisted of four 6 s “on” periods alternated with four 6 s “off” periods. During the “off” periods, the screen was blank (mean luminance of the contrast pattern) except for a fixation dot.

## Stimuli for fMRI Experiments

### Bar Stimuli

The bar stimuli used in fMRI experiments with control subjects were the same as those used in ECoG experiments except for three differences: the duration of each aperture position was 1.5 s rather than 1 s, the number of discrete steps in one sweep of the visual field was 16 rather than 12, and the contrast pattern within the aperture drifted rather than flickered (2 Hz temporal frequency) (see [6] and [44]). For each subject, there were nine bar experiments, three with each of three bar widths. Bar stimuli for ECoG subjects were used only to identify visual field maps, not to assess spatial summation. Hence, only one bar width was used—1/8 the length of the bar—the same as the middle width used in control subject fMRI experiments and in ECoG experiments.

## Broadband and Stimulus-Locked ECoG Responses

The time series of the broadband and stimulus-locked responses to bar stimuli were constructed by short-time Fourier analysis. The window for Fourier analysis was the duration that a stimulus aperture remained in a position (1 s for subjects 1, 2, and 3; 0.5 s for subject 4). The time series from the 1 s window was multiplied by a Hann window (raised cosine) to reduce edge artifacts.

For the broadband data, a line was fit in log-log space to the signal power (squared amplitude) of Fourier components from 8 to 150 Hz, excluding values within 2 Hz of even harmonics of the stimulus frequency (15, 30, 45, 60, 75, 90, 105, 120, 135, and 150 Hz) ([Figure 1D](#)). The slope of the line was forced to be the same for all stimulus positions for a given electrode. The height of the line at 15 Hz was taken as the broadband signal for that time point. The stimulus-locked signal was defined as the amplitude at 15 Hz, after subtracting the broadband fit. Calculations were also run on the stimulus-locked time series omitting the subtraction of the broadband fit; the pattern of effects is unchanged, with only a small change in the parameter values.

## Simulation of the ECoG Temporal Responses

We implemented software to simulate the main features of the ECoG responses. The principles and simulations are described in [Results](#). The full MATLAB code implementing the simulation, including examples and the code needed to reproduce the simulation in [Results](#), is available in [13].

## Supplemental Information

Supplemental Information include six figures, two tables, and Supplemental Experimental Procedures and can be found with this article online at <http://dx.doi.org/10.1016/j.cub.2013.05.001>.

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**Supplemental Information**

**Asynchronous Broadband Signals**

**Are the Principal Source of the BOLD**

**Response in Human Visual Cortex**

**Jonathan Winawer, Kendrick N. Kay, Brett L. Foster, Andreas M. Rauschecker,  
Josef Parvizi, and Brian A. Wandell**

**Supplemental Inventory**

Figure S1, related to Figure 1

Figure S2, related to Figure 2

Figure S3, related to Figure 3

Figure S4, related to Figure 4

Figure S5, related to Figure 5

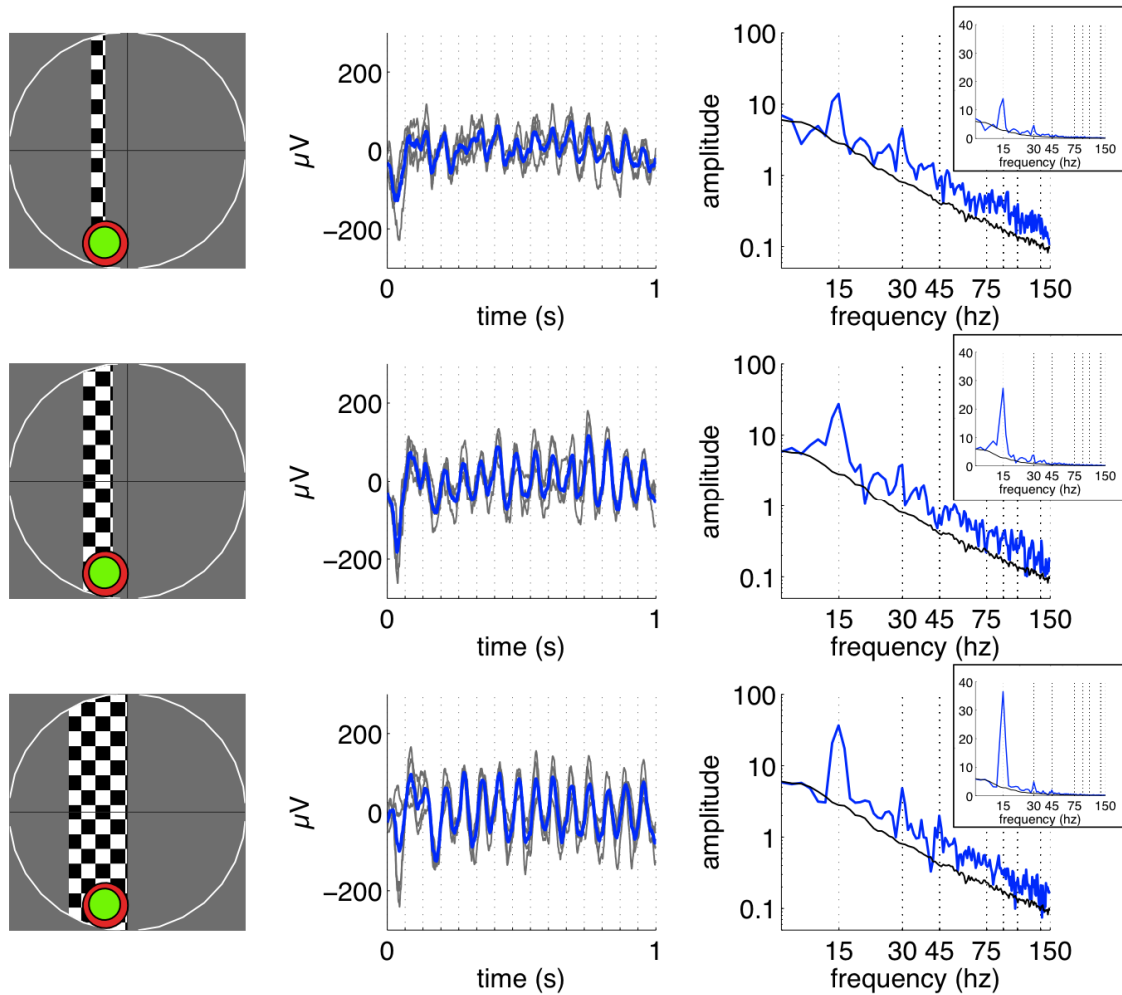
Figure S6, related to Figure 6

Table S1, related to Figure 2

Table S2, related to Figure 3

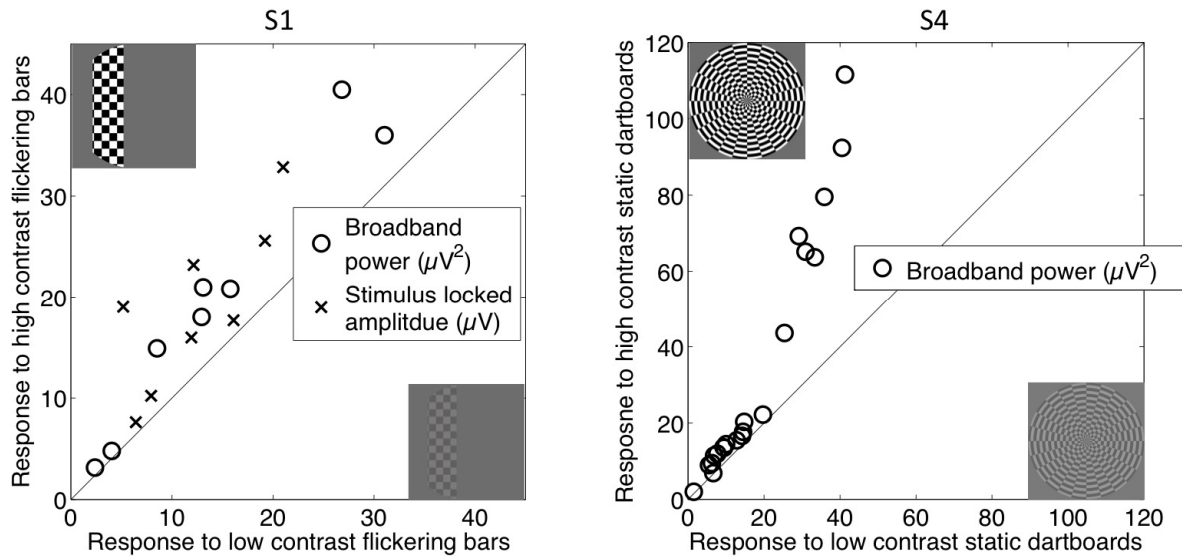
Supplemental Experimental Procedures

Supplemental References



**Figure S1. Example Responses to Bars of Different Widths**

The left column shows the stimulus during one portion of the moving bar experiment. The discs indicate the 2-sigma extents of the Gaussian receptive fields estimated from the broadband (red) or stimulus-locked (green) responses. The middle column shows the voltage time series during the 1-s windows that the bar was in this position. The gray traces are the 4 individual trials (2 experiments with each bar width \* 2 passes through this location per experiment) and the blue traces are the mean. The amplitudes of the periodic fluctuation are largest for the wide bar and smallest for the narrow bar. The dotted vertical lines denote checkerboard contrast reversal times. The right column shows the amplitude spectrum during the same 1 s window. The blue trace is the mean of the spectra computed from each of the four time series, and the black is the mean response during stimulus blanks. The three stimuli evoke a similar broadband elevation in the spectra, whereas the stimulus-locked response (peak at 15 Hz) increases with bar width. Insets show the same data on a linear amplitude scale. The stimulus-locked response increase to bar width is more salient on the linear scale and the broadband response is more visible on the log scale. Data are from the same electrode depicted in Figure 1.

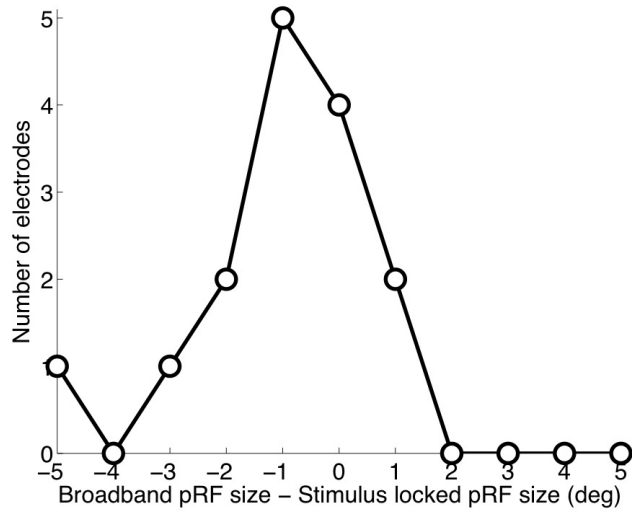


**Figure S2. The ECoG Broadband Response Is Sensitive to the Level of Luminance Contrast in the Stimulus**

(Left) Moving bar experiments were conducted for subject S1 at multiple contrast levels. For each of 8 visually responsive channels in V1, V2 and V3 for S1, the peak broadband and peak stimulus locked response were extracted for each of the 8 bar sweeps across the screen, for each contrast level (8 peaks per contrast level per electrode). The mean of the 8 peaks is plotted for a 10% contrast stimulus (x-axis) and 78% contrast stimulus (y-axis). The 10% contrast level was used for fitting the pRF models for S1. An example of the high contrast stimulus and the low contrast stimulus are shown in the upper left and lower right, respectively. Both the broadband power (circles,  $\mu V^2$ ) and stimulus-locked amplitude (x's,  $\mu V$ ) are elevated for the high contrast stimulus.

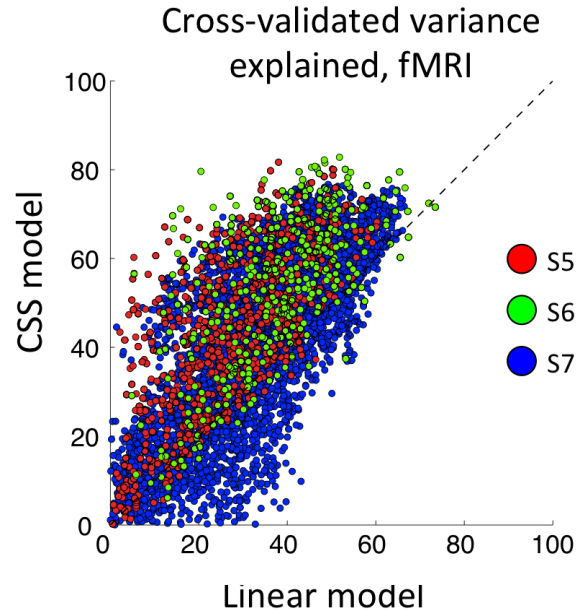
(Right) Static, large-field dartboard patterns of various contrast levels were shown to subject S4. The broadband response power for two contrast levels (90% versus 8%) is plotted for visually responsive electrodes in V1/V2/V3. In all channels, response power increases with contrast. Contrast of 8% was used for the moving bar experiments for S4. The fact that, in both subjects, broadband response increases with stimulus contrast indicates that the response saturation in the broadband signal observed with increasing stimulus size (Figure 2) is not likely due to a response ceiling effect.





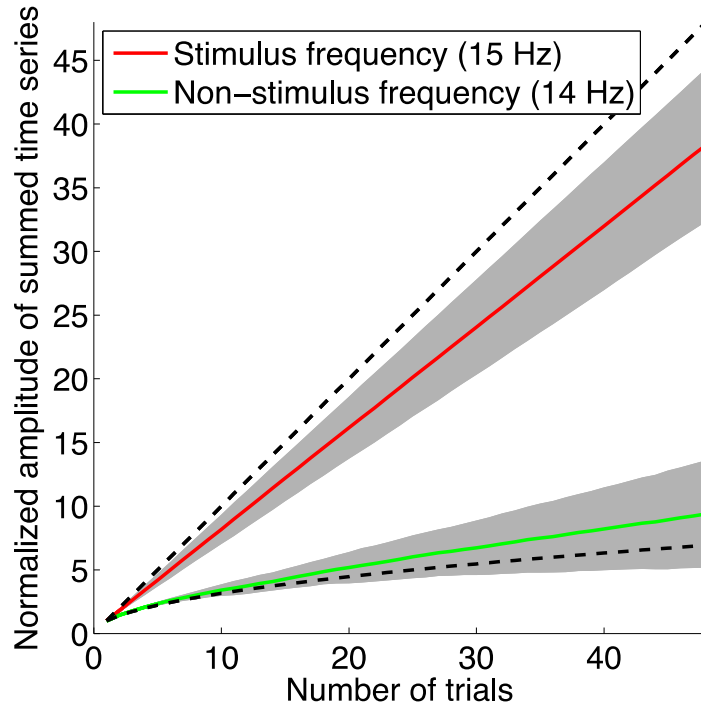
**Figure S3. Population Receptive Field Size Measured from the Broadband and Stimulus-Locked Signals**

The histogram plots the difference between the pRF size (defined in equation 1 as  $[\text{sigma of the Gaussian}] / \text{sqrt}[\text{standard deviation of the exponent}]$ ). Values to the right of 0 indicate a larger pRF size measured in the broadband signal and values to the left of 0 indicate a larger pRF size measured in the stimulus locked signal. The pRF size and exponent for each of the visually responsive electrodes in V1, V2, and V3 in subjects S1-S3 are reported in Table S1. The pRF centers estimated by the two signal components are plotted in Figure 3.



**Figure S4. Accuracy of CSS Model and Linear Model for fMRI Data**

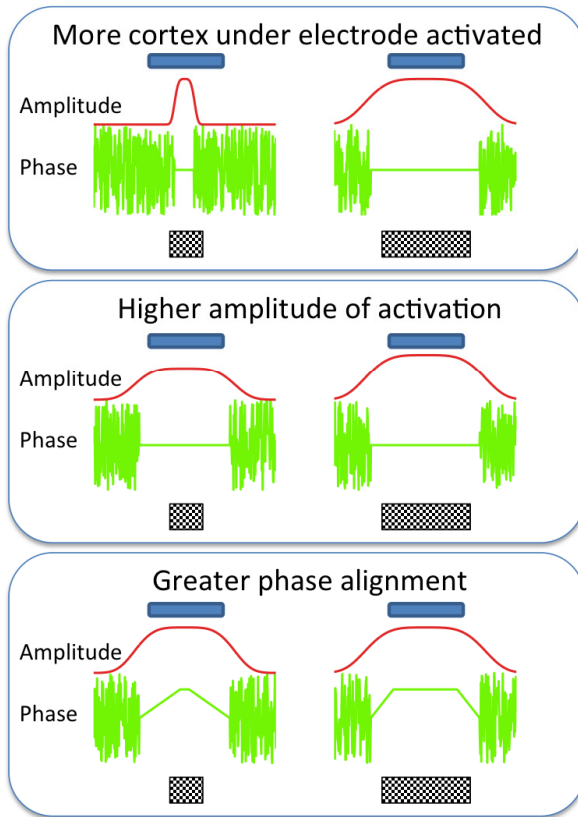
Functional MRI data from 3 subjects were fit to the CSS model and a linear model (S5, S6, S7; red, green and blue dots). Accuracy was assessed by cross-validation. For each subject, scans were conducted with 3 different bar widths. The models were fit to data from scans with two bar widths and tested against data from the left-out scan with the third bar width. Plots show the variance explained for the left-out data, with each point corresponding to one voxel. Voxels come from V1, V2 or V3, and were selected based on a linear fit to bar scans containing only the largest bar width at high contrast (>30% variance explained). In two of three subjects (S5, S6), nearly every voxel was more accurately fit by the CSS model than the linear model (data points above the identity line). For S7, the majority of voxels were better fit by the CSS model, though at low variance explained, some voxels were better fit by the linear model. The pattern observed here is similar to the pattern in the broadband ECoG signal (Figure 4).



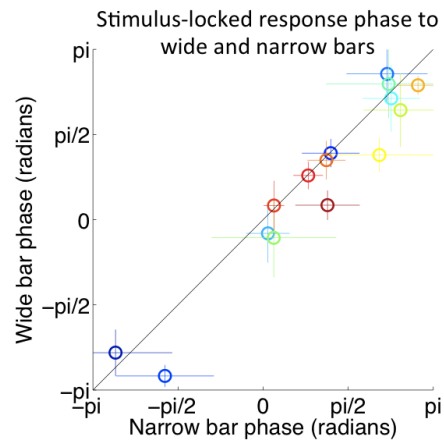
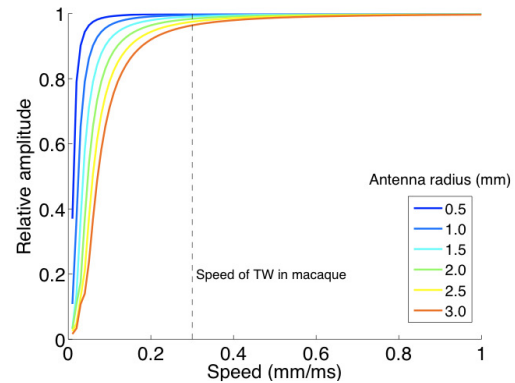
**Figure S5. Response Amplitude to Large-Field On-Off Flicker Summed over Trials**

The amplitudes of the responses at the stimulus frequency (15 Hz) and a non-stimulus frequency (14 Hz) were computed for time series summed across 1-second data chunks with large-field periodic stimulation in each of 16 channels. For each channel, the 48 chunks (trials) were randomly reordered 1000 times, and a cumulative sum was computed across the re-ordered trials. The amplitude at a given frequency (e.g., 15 Hz) was averaged across the 1,000 sequences at each trial number (1 to 48), yielding one curve per channel per frequency. These curves were averaged across 15 channels for the stimulus frequency (15 Hz) and a non-stimulus frequency (14 Hz). The amplitude of the stimulus frequency (red) grows close to linearly with the number of trials, whereas the amplitude at a different frequency (green) grows approximately with the square root of the number of trials. Shading indicates  $\pm 1$  standard deviation across 15 channels. The linear and square root predictions are shown with dashed lines, extrapolated from the mean amplitude for a single trial. The plot indicates that for the stimulus-locked response, additivity approximately holds in the amplitude domain. In contrast, the phase of the non-stimulus-locked responses is random; because the amplitude at the non-stimulus frequency grows with the square root of the number of trials, power (not amplitude) will be additive across trials. A consequence of this is that when analyzing data from multiple trials, the coherent transform (averaging in the time domain and then computing the amplitude of the Fourier transform) and the coherent transform (computing the transform on each trial and averaging the coefficients) yields similar values at the stimulus frequency, but not other frequencies (Figure 5).

Three reasons why the stimulus-locked response could increase for larger stimuli



Effect of travelling wave of cortical activity on response measured in ECoG electrode



**Figure S6. Alternative Models of Cortical Responses to Account for the Larger Stimulus-Locked Response to Wide Bars Compared to Narrow Bars**

(Left) The three panels illustrate three response models. Each panel represents the response to a narrow (left) or wide (right) contrast stimulus. In each case the signal amplitude measured by the electrode is greater for the wide bar than the narrow bar (not shown). The blue trace at the top indicates the position and size of the electrode. The red trace shows the amplitude of the mean electrical field potential across cortical space. The green trace shows the relative phase of this signal. The top panel describes the original model in the paper (Figure 6). In this model the steady-state neural signal under the electrode is synchronous at a single amplitude over a spatial extent of cortex that matches the stimulus width. Outside of this range, the amplitude of the stimulus is mean zero and the phase is random. As the stimulus width changes from narrow to wide, an increasing amount of cortex responds. The spatial extent of the response is within the field picked up by the ECoG electrode, and thus the amplitude of the signal in the electrode increases. Hence, in this model, additivity of spatial summation arises because the stimulus-locked signal spreads over larger parts of cortex. The middle panel is an alternative model. In this case, the spatial extent of the stimulus-locked signal is similar for both the narrow and wide bars. The difference in the neural response is the amplitude. On this model, the linearity arises because the response amplitude across a fixed cortical region, say a column,



increases with bar width. Both of these models differ from the observed BOLD response: in each of these models, the neural response summed over a few millimeters of cortex is greater for the wider stimulus (either because of wider spread or higher amplitude), whereas the BOLD signal (and the broadband signal) are similar for narrow and wide stimuli. The third panel shows a model based on the notion of travelling waves of activity on the cortical surface. The spatial extent of cortex excited by the two bar widths is similar, as is the amplitude of the cortical response. The difference is that the narrow bar evokes a response in the central region that spreads laterally. This is indicated by the change in the phase of the neural response from the peak in the middle, falling off on each side. The wide bar evokes a coherent response over a larger amount of cortex, with the traveling wave arising at the edge. According to this model the ECoG amplitude to the wide bar is larger than the narrow bar because of the difference in the phase coherence. The signals add in the wide bar case, but the narrow bar case has the neural signals in different phases that may even combine destructively. In this model, the increase in signal measured by the electrode is explained by the increase in phase coherence rather than more cortical activation.

(Upper right) Simulation of the effect of a traveling wave of cortical activity on the signal amplitude summed by an electrode. The simulation assumes a signal of unit amplitude with phase 0 at the center of the electrode's antenna function, and a lag at other locations that is proportional to the distance, with rates of proportionality ranging from 0.01 mm/ms to 1 mm/ms. The speed of traveling waves reported in the macaque literature is indicated by the black dashed line (0.3 mm / ms) [1]. To compute the aggregate signal amplitude, lags were converted from units of time to units of phase assuming a period of 1/15 second, matched to the time between contrast reversals in our experiments. Several antenna radii were modeled ranging from 0.5 mm to 3.0 mm. The drop in signal amplitude as measured by the electrode is minor at all antenna radii and at all speeds of traveling waves that are close to the measured values in the literature. Only for travelling wave speeds that are much slower than reported is there a substantial signal loss.

(Lower right) The traveling wave hypothesis, as indicated by the lower panel on the left, predicts that the phase of the response measured by the electrode will be delayed for a narrow stimulus compared to a wide stimulus. The scatterplot on the lower right is a summary of data that test this hypothesis. Each circle indicates phase data for one electrode. The phase was computed from the stimulus positions in the bar experiments corresponding to the peak amplitude of each cardinal sweep of the bar (4 sweeps per experiment, 2 or 3 experiments per condition, yielding 8 or 12 measurements per electrode per stimulus type). The mean and standard deviation of the phase (computed in complex coordinates) are plotted for each of the 15 channels (see table 2). The plot shows that (1) phases differ systematically between electrodes, (2) the phases are correlated for the two stimulus types, and (3) on average there is a slight delay for the narrow bars, as predicted by the traveling wave. The delay is a median of 0.13 radians, or 1.4 ms given contrast reversals at 15 times per second (period of 67 ms). This phase delay is consistent with the simulations shown in the upper right and corresponds to an amplitude decrease of less than 1%. Hence the phase data are consistent with the traveling wave hypothesis, but the size of the effect does not explain a stimulus locked amplitude reduction of 50% for small bars compared to large bars (Figure 2d).

**Table S1. Summary of Electrodes in Four ECoG Subjects, Related to Figure 2**

<b>Subject</b>	<b>Number of Electrodes</b>	<b>V1/V2/V3</b>	<b>AB PRF VE&gt;30%</b>	<b>SL PRF VE&gt;30%</b>	<b>Intersection of columns 3,4,5</b>	<b>AB cross-validated VE&gt;20%</b>	<b>SL cross-validated VE&gt;20%</b>
1	112	9	16	12	8	14	12
2	76	6	6	6	5	6	5
3	78	2	5	4	2	4	3
4	118	34	31	NA	21	34	NA

Column 1 is the subject number. Column 2 is the total number of electrodes recorded from that subject. Column 3 is the number of electrodes within visual field maps V1, V2, or V3. Columns 4 and 5 are the number of electrodes for which the variance explained by the CSS pRF model exceeded 30% for the asynchronous broadband (“AB”) time series and stimulus locked (“SL”) time series, respectively. Column 6 is the intersection of the previous 3 columns. The 15 electrodes in the first three rows of this column (subjects 1, 2, and 3) are the 15 channels used for several plots and calculations, including the insets in Figure 2c and 2d, the pRF center and exponent plots in Figure 3, and the pRF size histogram in figure S3. Column 7 is the number of channels for which the cross-validated variance explained from either the linear or the compressive AB pRF model exceeded 20%. The channels in this column are plotted in Figure 4a. Column 8 is the number of channels for which the cross-validated variance explained from either the linear or the compressive SL pRF model exceeded 20%. The channels in this column are plotted in Figure 4b. For S4, columns 5 and 8 are not applicable because this subject did not have flickering stimuli, and therefore also did not have an associated stimulus-locked time series.

**Table S2. PRF Size and Exponent for 15 Electrodes in Subjects 1, 2, and 3, Related to Figure 3**

Subject	Channel	PRF Exponent (25 <sup>th</sup>  50 <sup>th</sup>  75 <sup>th</sup> percentile)			PRF Size (25 <sup>th</sup>  50 <sup>th</sup>  75 <sup>th</sup> percentile)		
		AB		SL	AB		SL
3	54	0.09 0.11 0.14	0.92 1.25 3.30	1.19 1.38 1.55	0.12 0.77 1.00		
3	53	0.22 0.28 0.35	1.38 1.47 1.61	0.96 1.09 1.22	1.00 1.09 1.18		
2	70	0.03 0.03 0.05	1.02 1.11 1.18	2.66 2.93 3.45	1.61 1.75 1.88		
2	69	0.10 0.33 0.46	0.50 0.61 0.76	1.91 2.19 2.40	1.89 2.02 2.14		
2	68	0.26 0.32 0.41	0.14 0.40 0.68	1.20 1.35 1.51	1.13 1.43 1.87		
2	66	0.34 0.42 0.51	1.31 1.50 1.72	2.05 2.29 2.64	2.80 3.42 3.80		
2	65	0.17 0.21 0.27	0.70 0.80 0.90	0.75 1.01 1.20	1.55 1.78 2.01		
1	104	0.17 0.21 0.28	0.87 0.99 1.12	0.74 0.81 0.89	0.55 0.65 0.75		
1	102	0.13 0.26 0.41	0.57 0.74 0.94	1.83 2.77 4.00	4.68 6.12 9.02		
1	70	0.04 0.07 0.11	0.38 0.48 0.59	0.23 0.33 0.47	1.25 1.68 1.99		
1	69	0.21 0.97 1.15	0.08 0.12 0.17	0.03 0.06 0.33	1.89 2.16 2.48		
1	68	0.46 0.78 1.00	0.13 0.39 0.60	0.05 0.45 0.80	2.31 2.60 2.91		
1	67	0.19 0.33 1.00	1.25 1.46 1.66	0.04 0.16 0.31	1.49 1.64 1.83		
1	66	0.18 0.53 0.98	0.97 1.15 1.47	0.03 0.04 0.29	1.88 2.54 2.83		
1	50	0.15 0.18 0.26	0.77 1.00 1.23	0.81 0.93 1.02	0.92 1.29 1.82		

The 15 electrodes correspond to those in column 6 of Table S1 for subjects 1, 2 and 3. The pRF exponent and size values are reported as the 25<sup>th</sup>, 50<sup>th</sup>, and 75<sup>th</sup> percentiles based on 200 bootstrapped model solutions for each channel, for both the asynchronous broadband (“AB”) time series and the stimulus locked (“SL”) time series. For each subject and model solution, there were 288 time points (3 bar widths and 96 stimulus positions, including blanks), and the bootstrapping was done by randomly sampling with replacement 288 times from these 288 time points.

## Supplemental Experimental Procedures

### Participants

Participants included four patient volunteers (2 male, 2 female; ages 41-57; 'S1-S4'). Subdural electrodes were implanted for the purpose of pre-surgical evaluation of the source of epileptic seizures. The seizure sources were not in occipital cortex in any of the participants. ECoG recordings took place in the hospital room in the week prior to surgery. Functional MRI experiments were performed on the same participants prior to electrode implantation to identify visual field maps. Three additional participants took part in functional MRI experiments (all male, ages 25-39; 'S5-S7') to assess properties of population Receptive Fields. Informed written consent was obtained from all participants.

### Electrode Localization

Electrodes were implanted as either strips or grids (AdTech Medical Instrument Corp). Each electrode was 2.3-mm in diameter (exposed recording area) with center-to-center spacing of 5-10 mm between adjacent electrodes. Positions of the electrodes were identified on post-operative computed tomography (CT) images. These images were then aligned with preoperative anatomical MRIs. A procedure was used to compensate for discrepancies between the two types of brain images caused by shifts in brain structure from electrode implantation [2].

### Electrophysiological Recording and Analysis

We recorded signals at 3052 Hz through a 128-channel recording system made by Tucker Davis Technologies (<http://www.tdt.com/>). We removed channels that had shown any epileptic activity, as determined by the patient's neurologist (JP). Off-line, data were notch filtered to remove line noise and re-referenced to the common average.

### Stimuli for ECoG Experiments

*Display.* ECoG experiments were conducted in the subject's hospital room using a 13-inch MacBook Pro for stimulus presentation, placed as close as possible to the subject (range: 47 cm – 61 cm), with the center of the screen at eye level. All stimuli were confined to a circular aperture whose diameter was equal to the screen height, corresponding to a visual angle of 19.2 – 25.2 deg. The display had a resolution of 1440 x 900 pixels and a nominal refresh rate of 60 Hz. The refresh rate was measured by the Psychtoolbox function 'FrameRate'. The frequency of the square-wave patterns (see below) was adjusted slightly to be an integer multiple of the measured refresh rate (7.466 Hz rather than 7.5 Hz). Display luminance was measured with a Minolta Color Meter II in the patient rooms to quantify image contrast. Minimum and maximum luminance were approximately 24.6 and 203 cd/m<sup>2</sup> for S2, S3, and S1, and 2.4 and 24.7 cd/m<sup>2</sup> for S4, who requested reduced screen and room illumination for comfort.

*Bar stimuli.* For S1, S2, and S3, bar experiments were similar to those used previously for fMRI experiments [3-5]. A contrast pattern was viewed through a bar aperture that swept across the visual field in 12 1-second steps. There were 8 sweeps across the visual field, including 4 cardinal directions (left to right, right to left, top to bottom, and bottom to top)



interspersed with four diagonals (see Figure 2 for the sequence). The cardinal sweeps consisted of 12 discrete steps whereas the diagonals sweeps consisted of 6 steps (from the screen corner to the screen middle) followed by a 6-second blank (zero-contrast, mean luminance); the blanks help to estimate the baseline response level. For each of these three participants, there were 6 bar experiments comprised by 2 repetitions each of 3 bar widths (1/16, 1/8, and 1/4 the maximum bar height).

For S2 and S3, the contrast of the checkerboard pattern within the moving bars was 78%, the maximum afforded by the display given the ambient illumination in the hospital room. For S1 and S4, the contrast was reduced to 10% and 8%, respectively, to avoid the possibility of saturation in the ECoG responses.

For S4, the design of the bar experiment differed in several important ways. First, the stimuli were presented in random order rather than sweeps across the visual field. Second, stimuli with the 3 bar widths were randomly interspersed rather than tested in separate experiments. Third, there were no blank periods. Fourth, the carrier pattern did not flicker; instead, the stimulus was shown for 100 ms without flicker, with 500 ms interstimulus intervals (400 ms blank between successive stimuli). For each bar aperture, there were two possible carrier patterns (one checkerboard pattern and its contrast-reversed pattern). Additionally, there were 5 full-field circular apertures with various contrasts (see Figure 5), each shown with one of two contrast patterns. Hence a single experiment included 202 events: (48 bar locations \* 3 bar widths + 5 full field patterns) \* 2 contrast patterns. The experiment was repeated 3 times for this subject.

*Large field on-off stimuli.* Large field on-off stimuli consisted of a circular aperture (largest circle that completely fit within the display) and a contrast-reversing dartboard pattern (7.5 Hz square wave). Experiments consisted of four 6-s 'on' periods alternated with four 6-s 'off' periods. During the 'off' periods the screen was blank (mean luminance of the contrast pattern) except for a fixation dot.

*Fixation task.* During bar experiments and 'on-off' experiments, participants viewed a small central fixation dot, which alternated between red and green at random intervals (average once per 3 s). Participants pressed a button on an external number pad to indicate a change in fixation color. The purpose of the fixation task was to ensure central fixation. All participants responded to the fixation color changes with high accuracy.

Visual stimuli were generated on a Macintosh MacBook Pro in the MATLAB programming environment using in-house software, made freely available (<http://vistalab.stanford.edu/software/>). The software tools are built on functions from the PsychToolbox [6, 7].

### **Stimuli for fMRI Experiments**

*Display.* Stimuli were presented using a Samsung SyncMaster 305T LCD monitor positioned at the head of the scanner bed. Subjects viewed the monitor via a mirror mounted on the RF coil. The monitor operated at a resolution of 1280 x 800 at 60 Hz, and the luminance response of the monitor was linearized using a lookup table based on spectrophotometer measurements. The minimum and maximum luminance was 1.4 cd/m<sup>2</sup> and 121 cd/m<sup>2</sup>,

respectively. Stimuli subtended 12.5–12.8° of visual angle. A button box recorded behavioral responses.

*Bar stimuli.* The bar stimuli used in fMRI experiments with control subjects were the same as those used in ECoG experiments except for two differences: the duration of each aperture position was 1.5 s rather than 1 s; the number of discrete steps in one sweep of the visual field was 16 rather than 12; the contrast pattern within the aperture drifted rather than flickered (2 Hz temporal frequency) (see [3] and [4]). For each subject, there were 9 bar experiments – 3 with each of three bar widths. Bar stimuli for ECoG subjects were used only to identify visual field maps, not to assess spatial summation. Hence only one bar width was used – 1/8 the length of the bar – the same as the middle width used in control subject fMRI experiments and in ECoG experiments).

### **Broadband and Stimulus-Locked ECoG Responses**

The time series of the broadband and stimulus-locked responses to bar stimuli were constructed by short-time Fourier analysis. The window for Fourier analysis was the duration that a stimulus aperture remained in a position (1 second for participants S2, S1, and S3, bar; 0.5 s for S4, who had an event-related design rather than sweeps across the visual field). The time series from the one second window was multiplied by a Hann window (raised cosine) to reduce edge artifacts.

For the broadband data, a line was fit in log-log space to the signal power (squared amplitude) of Fourier components from 8 Hz to 150 Hz, excluding values within 2 Hz of even harmonics of the stimulus frequency (15, 30, 45, 60, 75, 90, 115, 120, 135, and 150 Hz) (Figure 1d). The slope of the line was forced to be the same for all stimulus positions for a given electrode. The height of the line at 15 Hz was taken as the broadband signal for that time point. The stimulus-locked signal was defined as the amplitude at 15 Hz, after subtracting the broadband fit. Calculations were also run on the stimulus locked time series omitting the subtraction of the broadband fit; the pattern of effects is unchanged, with only a small change in the parameter values.

The approximately linear relationship between power and frequency holds in our data between 10 Hz and 150 Hz. It is less reliable below 10 Hz, due to rhythmic processes in the alpha band (observed in some electrodes and some conditions).

Spectral power was used to measure the broadband response. We use power rather than amplitude because when the temporal frequency phases are random signal superposition is additive with respect to power. Specifically, if the phases are random the sum of the power spectrum of signal X and the power spectrum of signal Y is on average the power spectrum of signals X + Y. Amplitude was used as the dependent measure for the stimulus-locked signal because the phase of the response at stimulus harmonics (e.g., 15 Hz) were roughly constant across trials; if the phase is constant, amplitude rather than power is additive (see Figure S5).

### **PRF Models**

The CSS model fitting procedure is described in detail elsewhere [8]. An outline of the procedure and a few details that differ from prior work are described in Results.

The CSS model was fit to each voxel time series (fMRI) or broadband or stimulus-locked time series (ECoG) by minimizing the difference between the predicted response and the observed response, according to a least squares metric using nonlinear optimization (MATLAB Optimization Toolbox). Before fitting the model, data were preprocessed and averaged across repeated experiments.

Models were fit in two different ways. To derive model parameters, data from experiments with all 3 bar widths were concatenated, and the best fitting parameters were found. To quantify accuracy, parameters were derived from experiments with two of three bar widths (test data), and then applied to the experiments with the third bar width (test data). The accuracy metric was the coefficient of determination,

$$R^2 = 100 \times \frac{1 - \sum(model - data)^2}{\sum(data)^2}$$

The model was seeded with a Gaussian centered at the image center, *sigma* equal to the maximum stimulus extent, and  $n = 1$ . To reduce the chance of finding a local minimum, the model was solved stepwise. In the first iteration,  $n$  was fixed at 1 and the  $x, y$ , *sigma*, and gain parameters were optimized. In the second iteration,  $n$  was allowed to vary. For fMRI data, the model convolved the response output with a hemodynamic response function based on a difference of two gamma functions [9].

To compare the accuracy of CSS model fits with that of the linear model, we performed a fit with the exponent  $n$  fixed at 1. This yields a linear solution whose cross-validation accuracy was compared to the CSS solution, with  $n$  free to vary (Figure S4).

### **Simulation of the ECoG Temporal Responses**

We implemented software to simulate the main features of the ECoG responses. The principles and simulations are described in Results. The full MATLAB code implementing the simulation, including examples and the code needed to reproduce the simulation in Results, is available at [10].

### **Channel Selection**

Several analyses were conducted across channels, necessitating criteria for the selection of channels. Channel selection is described below and summarized in Table S2.

Three criteria were used to select channels for calculating the mean ECoG responses to ‘on-off’ stimuli (Figure 1 and related results), the mean peak responses during the moving bar experiments (Figure 2, insets), the pRF center positions and sizes (Figure 3b and related results), and the phase of the stimulus-locked response during moving bar experiments (Figure S6, lower right). The first criterion was that the cortical location was V1, V2 or V3. For several reasons, electrodes within any of these three field maps were grouped for analysis. These field maps produce robust responses to the simple contrast patterns used in our experiments. Also, not having enough electrodes to sample each map in each subject in similar receptive field locations, we thought it best to combine data across channels; V1, V2, and V3 share many qualitative response properties. Finally, because several of the electrodes were on the borders of visual fields (all the electrodes are on gyri), we frequently could not distinguish V1 from V2 or V2 from V3.

The second criterion was that the variance explained from pRF models exceeded 30% for both broadband and stimulus-locked responses. The third criterion was that the stimuli

were presented to the subject as periodic contrast reversals (S1, S2, S3) rather than as a static pattern (S4).

This selection yielded 15 channels (8 from S1, 5 from S2, and 2 from S3). To summarize the compressive exponent from the CSS model (Figure 3c), the same 15 channels were used.

In a separate calculation, data from 21 channels in S4 were used to calculate the broadband exponent but not the stimulus-locked exponent, as experiments for S4 did not have periodic flicker, and hence no narrow-band stimulus-locked response (SSVEP). Including these 21 channels did not change the pattern of results, as the mean exponent did not differ among the four subjects (one way ANOVA,  $F = 0.29$ ;  $P = 0.83$ ).

To choose channels for calculating accuracy of the CSS model, both linear and non-linear models were first fit to all channels using all data from experiments with 3 bar aperture widths for all 4 subjects. Channels with at least 20% variance explained from either the linear model or the CSS model were selected for further analysis in which accuracy was calculated via leave-one-out cross-validation (Figure 4). These selection criteria were determined separately for the broadband and the stimulus-locked responses.

### **Anatomical and Functional MRI**

MRI sessions were conducted to localize visual field maps and to study properties of the BOLD response in visual cortex. For patient volunteers, the MRI session took place prior to electrode implantation. Methods for anatomical and functional MRI followed those reported in previous work [4], with a few minor differences. We summarize the main procedures here and those details that differ from prior work.

T1-weighted anatomical scans (3D SPGR) of the whole brain were acquired at 1-mm resolution (ECoG subjects) or 0.7 mm resolution (control subjects). The T1-weighted images were segmented into gray/white voxels using FreeSurfer's autosegmentation algorithm (<http://surfer.nmr.mgh.harvard.edu/>)[11], followed by hand-editing in itkGRAY [12] to correct errors. In addition, a separate T1-weighted anatomical scan was acquired in conjunction with fMRI scans that matched the slice prescription of the functional scans. This 'inplane' anatomical scan was used to co-register the functional images with the whole-brain T1 image. Functional MRI scans were acquired as echo planar images either with a spiral trajectory (Lucas Center, Stanford University) or with a rectilinear trajectory (CNI, Stanford University). In each case, the functional images were undistorted using in-house software [8].

Visual field maps were identified based on fMRI data as described in previous work [4].

### **Data and Code Sharing**

Sample data sets and the complete set of MATLAB code needed to run the ECoG simulations in the manuscript and to produce several of the data figures are publicly available [10]. The goal is to ensure that the computational methods are reproducible by other researchers [13].

## Supplemental References

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