First clinical evaluation of sagittal laser optical tomography for detection of synovitis in arthritic finger joints


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First clinical evaluation of sagittal laser optical tomography for detection of synovitis in arthritic finger joints


Objective: To identify classifiers in images obtained with sagittal laser optical tomography (SLOT) that can be used to distinguish between joints affected and not affected by synovitis.

Methods: 78 SLOT images of proximal interphalangeal joints II–IV from 13 patients with rheumatoid arthritis were compared with ultrasound (US) images and clinical examination (CE). SLOT images showing the spatial distribution of scattering and absorption coefficients within the joint cavity were generated. The means and standard errors for seven different classifiers (operator score and six quantitative measurements) were determined from SLOT images using CE and US as diagnostic references. For classifiers showing significant differences between affected and non-affected joints, sensitivities and specificities for various cut-off parameters were obtained by receiver operating characteristic (ROC) analysis.

Results: For five classifiers used to characterise SLOT images the mean between affected and unaffected joints was statistically significant using US as diagnostic reference, but statistically significant for only one classifier with CE as reference. In general, high absorption and scattering coefficients in and around the joint cavity are indicative of synovitis. ROC analysis showed that the minimal absorption classifier yields the largest area under the curve (0.777; sensitivity and specificity 0.705 each) with US as diagnostic reference.

Conclusion: Classifiers in SLOT images have been identified that show statistically significant differences between joints with and without synovitis. It is possible to classify a joint as inflamed with SLOT, without the need for a reference measurement. Furthermore, SLOT based diagnosis of synovitis agrees better with US diagnosis than CE.

Optical methods that rely on transillumination measurements have emerged as potential new tools for detecting joint inflammation in RA. This technique uses low level, non-ionising near-infrared radiation and promises to provide a low cost, patient friendly joint imaging modality. In vitro studies have proved that there are significant differences in the optical properties of normal and pathological joint tissue. Extensive numerical and experimental phantom studies suggested that these differences should be detectable with transillumination measurements in vivo on the joint.

In previous clinical studies, we showed that optical transmission measurements could be used for monitoring synovitis progression in patients with RA. In these studies, transillumination profiles of the joint obtained in subsequent visits were compared with transillumination profiles of the same joint obtained at the first visit. Determination of the status of joint inflammation, however, was not possible without reference to an earlier measurement.

Klose et al have argued that the need for reference measurements might be overcome if tomographic images of the distribution of optical properties inside the joint were obtained. We therefore have recently developed a sagittal laser optical tomographic (SLOT) imaging procedure that acquires transmission profiles and uses the data for

Abbreviations: AUC, area under the curve; CE, clinical examination; CSS, clinical synovitis score(s); MRI, magnetic resonance imaging; PIP, proximal interphalangeal; RA, rheumatoid arthritis; ROC, receiver operating characteristic; SLOT, sagittal laser optical tomography; US, ultrasound; USS, ultrasound score(s)
tomographic image reconstruction of sagittal sections through the joint. SLOT images show the spatial distribution of two different optical properties (absorption coefficient, $\mu_a$, and scattering coefficient, $\mu_s$) inside the joint and surrounding tissues. In a small number of case studies, SLOT images could be used to distinguish between joints affected and not affected by synovitis, without reference to a previous measurement on the same finger. Healthy joints showed a distinct reduction in $\mu_a$ and $\mu_s$ in the joint cavity as compared with the surrounding tissue, whereas joints with synovitis showed little variation in $\mu_a$ and $\mu_s$ across the joint.

The goal of this present study was to go beyond case studies and identify classifiers in SLOT images that could be used to distinguish between joints with and without synovitis.

**PATIENTS AND METHODS**

**Patients and clinical data**

Thirteen patients (10 women and 3 men, mean (SD) age 46 (12) years, range 17–63 years) with RA according to the American College of Rheumatology criteria were included in the study. Patients were recruited from the Department of Rheumatology and Clinical Immunology, Charité University Hospital, Berlin, Germany. All patients were receiving cortisone and disease modifying antirheumatic drugs. Mean (SD) disease duration between the onset of disease and inclusion in the study was 4.3 (3.3) years.

The clinically predominant hand was selected for clinical examination (CE) as well as SLOT and US examinations. Only PIP joints II–IV were included in the study because they were most easily accessible with the optical scanning device and patients expressed some discomfort when placing other joints into our experimental setup. In total, 78 tomographic images were generated and compared with US and CE. The analysis of US images was performed by one investigator (AKS) who was unaware of the SLOT classifications. The study was approved by the local ethics committee and all patients gave informed consent before investigation.

**Clinical examination**

Clinical examination of each PIP joint was performed by bimanual palpation performed by a single physician (AKS) with long clinical diagnostic experience. Each PIP joint was examined and the clinical arthritis activity was classified from 0 to 3 (“degree of synovitis”). If the joint was not swollen, tender, or warm a score of 0 (inactive) was assigned. Joints that showed considerable swelling and were very tender and warm received a score of 3 (very active). Joints with some symptoms were scored 1 (moderately active) or 2 (active). In addition, the 28 joint count Disease Activity Score, representing the overall disease activity, was assessed at all consultations.

**Imaging methods**

**Conventional radiographs**

Conventional radiographs were taken of the hands of all patients. Because the study focuses on synovitis, we wanted to exclude possible interference in the optical measurements...
by bone lesions and therefore excluded patients with extensive bone erosions from the study.

Ultrasound imaging
Ultrasound imaging was performed with an ATL, HDI-3500 ultrasound system (Bothell, USA). We used a 10-5 MHz hockey stick linear array transducer for examination of the PIP joints. Figure 1 shows examples of US images taken from the palmar side. Figure 1A shows a healthy joint and figs 1B–D show joints with inflammation. In US, two criteria of active inflammation were evaluated: joint effusion was visible as an anechoic area between the capsule and the bone in the proximal part from the palmar side of the hand (figs 1B–D). Secondly, thickening of the synovial membrane could be visualised as hyperechoic structures within the region affected by effusion (figs 1C and D). The degree of joint effusion and hypertrophy was classified on a four grade semiquantitative ultrasound examination score (USS) according to an adjusted score by Szkudlarek et al.15 They described synovitis and effusion by separate scores.15 Because both processes mainly appear at the same time, we applied a combined score; when neither effusion nor synovial hypertrophy was visible a USS = 0 was assigned. The larger the anechoic area and/or the extent of synovial hypertrophy, the higher the USS (USS = 1 minimal, USS = 2 moderate, USS = 3 extensive effusion/hypertrophy). We performed US from the palmar side because we found that synovial hypertrophy and effusion can best be evaluated from the palmar as opposed to the dorsal side. This is probably owing to the small amount of tissue overlying the joint from the dorsal side.

Sagittal laser optical tomography (SLOT)
SLOT was performed with an optical scanning setup recently developed in our laboratories.27–29 The system comprises a single laser diode, a silicon photodetector, and an arrangement for hand and finger placement (fig 2). A diode laser (wavelength \( \lambda = 675 \text{ nm} \)) was focused to a spot of approximately 0.3 mm in diameter dorsal with a photodetector palmar of the finger joint. Both were attached to stepping motor driven translation stages. For measurements, the hand and finger were placed inside a specially designed holding chamber filled with water. For each laser diode position, the detector was scanned along the sagittal plane to collect light transmission intensities from 16 positions (over a 3 cm range). After finishing the detector scan, the laser diode was moved a small distance and the photo diode performed another scan, etc. This procedure was performed for 11 different laser diode positions, so that multiple transmission profiles were obtained. Data acquisition (11 source positions, 16 detector positions) for each finger joint took about 3–4 minutes. The measurement data served as input to a model based iterative image reconstruction scheme previously tested in our laboratories.32–36 This algorithm provides two dimensional images of the absorption and scattering coefficients \( (\mu_a, \mu_s) \) in a sagittal plane through the joint.27–28 The time needed for reconstruction of one image is approximately 2 hours on a 1.2 GHz Xenon processor.

The images of \( \mu_a \) and \( \mu_s \) distributions were analysed in various different ways. Based on our previous experience,27–28 images were assigned an optical operator score ranging from 1 to 5 to perform receiver operating characteristic (ROC) analysis. A score of 1 was given to images that showed a clear sign that a joint is “definitely affected by synovitis”. A score of 5 was assigned when the image showed no spatial variation in optical properties or an increase in optical properties in the joint cavity (fig 3E). This is usually a clear sign that a joint is “definitely affected by synovitis”. When there was some decrease in optical properties in the centre of the joint, the image received a score of 2, 3, or 4.
depending on the strength and spatial extent of the decrease (figs 3B–D). The clinical interpretation of these scores is “likely to be not affected” (score 2); “possibly affected” (score 3); “likely to be affected” (score 4). Scoring of SLOT images was performed by one investigator (AHH) who had no knowledge of the US or CE results.

In addition to the operator dependent score, we determined further measures, used as classifiers, for each image. These measures include minimum and maximum scattering coefficients ($\mu_s$, $\mu_m$), minimum and maximum absorption coefficients ($\mu_a$, $\mu_a$), and the ratios of minimum divided by maximum scattering and absorption coefficients ($\mu_s/\mu_m$, $\mu_a/\mu_a$). Instead of determining minima and maxima for the entire image, these values were determined for the region of interest depicted in fig 3A. By choosing an area inside the finger, we excluded possible imaging artefacts that can appear in SLOT images near the sources and detectors and near the surface of the finger.

### Statistics

To compare SLOT images with CE and US, we calculated the mean and respective standard errors of all optical variables (operator score, $\mu_s$, $\mu_m$, $\mu_a$, $\mu_a$, $\mu_s/\mu_m$, $\mu_a/\mu_a$, and $\mu_s/\mu_m/\mu_a$) for all joints with a significant difference in the mean between affected and non-affected joints was found. True positive (TP), true negative (TN), false positive (FP), and false negative (FN) values were calculated for all seven optical classifiers taking USS and CSS as diagnostic references for determination of synovitis (unaffected: scores 0 and 1; affected: scores 2 and 3). Sensitivities and specificities were determined by calculating the true positive rates $TPR = TP/(TP+FN)$ and false positive rates $FPR = FP/(FP+TN)$ (sensitivity = $TPR$, specificity = $1−FPR$), for various thresholds for the seven different classifiers.

Furthermore, for all ROC curves we determined the points that identify threshold values that lead to optimal sensitivity-specificity pairs.

### RESULTS

All 13 patients had moderate to high overall disease activity (mean (SD) 28 joint count Disease Activity Score 4.7 (1.4)) at the time of examination. On the basis of CSS, 34 PIP joints were classified as grade 0, 28 joints as grade 1, 11 joints as grade 2, and 5 PIP joints as grade 3. Mean (SD) CSS for PIP joints II–IV were 0.8 (0.9). Assuming CSS 0 and 1 as unaffected and CSS 2 and 3 as pathological, 16 (21%) of the 78 joints were affected, whereas 62 (79%) joints were not affected by synovitis.

Using USS, synovitis in PIP joints was classified as follows: 11 PIP joints were classified as grade 0, 26 as grade 1, 23 as grade 2, and 18 PIP joints as grade 3. Mean (SD) USS for PIP joints II–IV were 1.54 (1.01). Assuming USS 0 and 1 as unaffected and USS 2 and 3 as pathological, 37 (47%) of the 78 joints were unaffected, whereas 41 (53%) joints were affected.

Table 1 summarises the results for the mean values and standard errors for all classifiers used to characterise SLOT images. In most cases there was a difference between the mean values for affected and unaffected joints as determined either by CE or US examination. But the differences in the mean were statistically significant ($p<0.05$) in only six of the 14 cases (table 1).

Table 1: Various optical measures (see “Patients and methods” section)

<table>
<thead>
<tr>
<th>Score</th>
<th>$\mu_s$ (cm$^{-1}$)</th>
<th>$\mu_m$ (cm$^{-1}$)</th>
<th>$\mu_a$ (cm$^{-1}$)</th>
<th>$\mu_a$ (cm$^{-1}$)</th>
<th>$\mu_s/\mu_m$</th>
<th>$\mu_s/\mu_m/\mu_a$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Affected joint</td>
<td>3.00 (0.40)</td>
<td>5.91 (1.01)</td>
<td>16.26 (0.97)</td>
<td>0.694 (0.041)</td>
<td>0.388 (0.074)</td>
<td>0.405 (0.062)</td>
</tr>
<tr>
<td>Unaffected joint</td>
<td>2.65 (0.16)</td>
<td>5.07 (0.51)</td>
<td>17.43 (0.49)</td>
<td>0.640 (0.021)</td>
<td>0.347 (0.037)</td>
<td>0.307 (0.032)</td>
</tr>
<tr>
<td>p Value</td>
<td>0.177</td>
<td>0.023</td>
<td>0.021</td>
<td>0.436</td>
<td>0.423</td>
<td>0.310</td>
</tr>
</tbody>
</table>

US diagnosis (USS)

| Affected joint | 3.07 (0.20) | 6.12 (0.62) | 16.08 (0.60) | 0.645 (0.025) | 0.412 (0.045) | 0.382 (0.038) |
| Unaffected joint | 2.32 (0.22) | 4.28 (0.65) | 16.74 (0.63) | 0.638 (0.027) | 0.293 (0.048) | 0.266 (0.040) |
| p Value | 0.007 | 0.022 | 0.010 | 0.225 | 0.433 | 0.036 | 0.020 |

Table 1: Various optical measures (see “Patients and methods” section)
significant in only one case \((\text{Min}(\mu_{a}))\) if SLOT results were compared with CE (table 1). The most significant difference \((p = 0.007)\) was found for the operator score with USS as diagnostic reference.

For the cases showing statistically significant differences between mean values of affected and unaffected joints, we generated ROC curves. Assuming that a SLOT score of 4 or 5 is indicative of a joint with synovitis, while scores of 1, 2, and 3 identify a healthy joint, we found 17 true positive cases, 31 true negative cases, 6 false positive cases, and 24 false negative cases, when compared with USS. Choosing different cut off points at which a finger is considered inflamed, different sensitivities and specificities were obtained (fig 4). The same was performed using the six other classifiers (dashed lines in fig 4). Assuming that a joint is inflamed if \(\text{Min}(\mu_{a}) > 0.210 \text{ cm}^{-1}\), we receive a sensitivity of 0.638 and specificity of 0.6.

In all these cases, the area under the ROC curve (AUC) was between 0.65 and 0.66. Better AUCs were obtained when instead of assuming a score of 0 and 1 as unaffected and 2 and 3 as affected, only cases of USS = 0 were considered as unaffected and USS = 3 as affected. Of the 78 fingers, 18 had a USS of 3 and 11 joints were scored 0. Table 2 shows the mean values, standard errors, and \(p\) values. Statistically significant differences were observed for operator score, \(\text{Min}(\mu_{a})\), \(\text{Min}(\mu_{a})/\text{Max}(\mu_{a})\), and \(\text{Min}(\mu_{a})/\text{Max}(\mu_{a})\). Although the \(p\) values are similar to those in table 1, the ROC curves have improved considerably (fig 4): the AUC for operator score, \(\text{Min}(\mu_{a})\), and \(\text{Min}(\mu_{a})\) have improved from 0.657, 0.660, and 0.654, to 0.716, 0.777, and 0.742, respectively.

A similar analysis using the CSS as diagnostic reference was performed for \(\text{Min}(\mu_{a})\). Only five fingers received a CSS = 3, and 34 fingers received a score of 0. The mean (SE) value for the affected joints (CSS = 3) was \(\text{Min}(\mu_{a}) = 0.268 (0.056) \text{ cm}^{-1}\) and for the joints with CSS = 0 we obtained \(\text{Min}(\mu_{a}) = 0.159 (0.021) \text{ cm}^{-1}\) \((p = 0.038)\). The AUC was 0.744 compared with 0.680 in the case when CSS of 0, 1 were used to determine unaffected fingers and CSS of 2, 3 were used to identify affected fingers (ROC curve shown in fig 5).

The best sensitivity-specificity pair was obtained when \(\text{Min}(\mu_{a})\) was used as classifier and compared with USS as diagnostic reference. In this case we found a sensitivity and specificity of 0.705 each when \(\text{Min}(\mu_{a}) = 0.272 \text{ cm}^{-1}\) was used as threshold (dotted curve in fig 4) with a Youden index of 0.41. The same Youden index was found for a threshold of \(\text{Min}(\mu_{a}) = 0.241 \text{ cm}^{-1}\), for which the sensitivity slightly increased to 0.736, while the specificity decreased to 0.674.

**DISCUSSION**

This study aimed at finding classifiers for SLOT images that can be used to distinguish PIP joints with synovitis from joints without synovitis in patients with RA. In previous case studies we had observed that SLOT images of joints without clinical signs of synovitis showed a clear decrease of scattering and absorption coefficients in the joint cavity, whereas joints with synovitis did not display this marked decrease.\(^{27, 28}\) We now recruited 13 patients with active RA, and obtained images of 78 PIP joints affected by synovitis to differing degrees. Besides SLOT all joints were evaluated by CE and US to provide two diagnostic references.

The first important observation was that US classified more than twice as many joints (37 joints) as affected by synovitis than CE (16 joints). Similar discrepancies have been observed before by other groups that compared US and CE on finger,\(^{19}\) shoulder,\(^{13}\) and knee joints.\(^{39}\) In all these studies CE classified a smaller number of joints with synovitis than US.

Among the seven different classifiers that we chose from the SLOT images, we found four (operator score, \(\text{Min}(\mu_{a})\), \(\text{Min}(\mu_{a})/\text{Max}(\mu_{a})\), and \(\text{Min}(\mu_{a})/\text{Max}(\mu_{a})\) that produced statistically significant differences between the mean values of affected and unaffected joints, with US as diagnostic reference. When CE was used as diagnostic reference only one classifier (\(\text{Min}(\mu_{a})\)) showed statistically significant differences in the mean between affected and unaffected joint.

Statistically significant difference of the mean is a necessary condition for a classifier to be clinically useful, but it is not a sufficient condition. The distribution of joints affected and not affected by synovitis may still show substantial overlap for a statistically significant classifier. To be clinically useful, the sensitivity and specificity for a certain cut off value of a classifier have to be high. Therefore, once classifiers were identified, we investigated the clinical use of these classifiers by ROC analysis. For all SLOT classifiers with significant differences in mean values, the AUC increased when a US and CSS of 0 and 3, instead of 0, 1 and 2, 3 were used to classify joints. This appears logical because by

![Figure 5](https://example.com/figure5.png)

**Figure 5** ROC curves for \(\text{Min}(\mu_{a})\) classifier with clinical scores as diagnostic reference. The two cut off values identify points on the curve for which the Youden index is maximal.
omitting fingers from the statistical analysis that received scores of 1 or 2, we removed most cases that were borderline for involvement or non-involvement. The best sensitivity-specificity pair was achieved when Min(μ,σ) was used as classifier and compared with US, giving a sensitivity and specificity of 0.705 each. Overall, the results suggest that SLOT images agree better with US than with CE. The reason for this is not entirely clear. In this study, we chose to compare SLOT images with US because it is very sensitive in detecting soft tissue lesions (effusion and synovial hypertrophy).10 Inflammatory effusion is turbid owing to infiltration with inflammatory cells and thus differs from normal effusion, which appears as a clear and colourless fluid. Optical techniques are sensitive to these changes in the optical properties, as was shown in previous in vitro investigations.14–18 Furthermore, US is sensitive to hypertrophy of synovial membrane accompanied by neovascularisation. It is well known from breast and brain imaging studies that blood dependent variables strongly influence optical signals.27 Hence, tissue growth and neovascularisation within the joint increase scattering and absorption and amplify the optical effects caused by effusion. False positive SLOT readings may be caused by non-inflammatory effusion, which still would get a US of 2 or 3, but may look unaffected on SLOT images, because the fluid in joint cavity may be clear, similar to that in an unaffected joint. Swollen finger joints detected by CE may be indicative of effusion as well as increased blood volume. Because both affect optical properties one would expect a higher level of agreement between CE and SLOT imaging than we achieved. But palpation may miss more subtle cases and may lead to false negative results.

Although some questions remain unanswered, these results clearly constitute an advance over previous optical imaging studies of finger joints. In contrast with earlier studies that relied on transmission profiles only,26 we demonstrated for the first time that classifiers can be found for SLOT images that show significant differences between affected and unaffected joints based on a single measurement. This shows the advantages of tomographic imaging over mere transmission measurements.

In general, we believe that different imaging methods as well as CE reflect multiple pathophysiological processes within the finger joints in different ways. Radiography is suitable for follow up evaluation of bone erosions, but insensitive for detecting early erosive lesions and soft tissue swelling. US has strength in detecting joint effusion and synovial hypertrophy, whereas it has difficulty in differentiating between inflammatory and non-inflammatory effusion.28 MRI is sensitive to active synovitis and early erosions,4suitable for follow up evaluation of bone erosions, but within the finger joints in different ways. Radiography is

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