

Soliton resolution for equivariant wave maps

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Wave maps: generalize free waves to manifold-valued maps.

$$\psi: \mathbb{R}_{t,x}^{1+d} \rightarrow (\mathcal{M}, g) \hookrightarrow (\mathbb{R}^N, \langle \cdot, \cdot \rangle_{\mathbb{R}^N})$$

• Lagrangian: $\mathcal{L}(\psi) = \frac{1}{2} \int_{\mathbb{R}_{t,x}^{1+2}} (-1 \partial_t \psi_g^2 + |\nabla \psi_g|^2) dx dt$

• Euler - Lagrange eqn: $\partial_t^2 \psi - \Delta \psi \perp T_\psi \mathcal{M}$

$$\rightsquigarrow \boxed{\partial_t^2 \psi - \Delta \psi = S(\psi)(\partial^\alpha \psi, \partial_\alpha \psi)}$$

↑
2nd Fund. form

* key pt: • nonlinearity \leftrightarrow geometry of \mathcal{M}

Canonical example: $d=2$, $\mathcal{M} = \mathbb{S}^2$

$$\left\{ \begin{array}{l} \partial_t^2 \psi - \Delta \psi = \psi (|\nabla \psi|^2 - |\partial_t \psi|^2) \\ \psi|_{t=0} = \psi_0 : \mathbb{R}^2 \rightarrow \mathbb{S}^2; \quad \partial_t \psi|_{t=0} = \dot{\psi}_0 : \mathbb{R}^2 \rightarrow T_{\psi_0} \mathbb{S}^2 \end{array} \right.$$

90's - 00's : Shatah - Tahvildar-Zadeh ; Shatah - Struwe ; Grillakis
Klainerman - Machedon / Selberg ;

~ Thm : (Tataru; Tao '01) smooth $(\psi_0, \dot{\psi}_0)$ w/

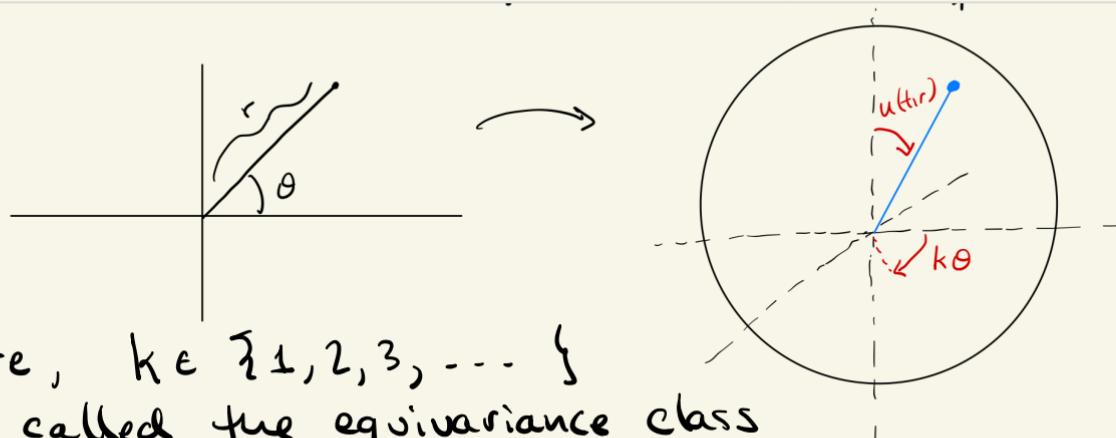
$\mathcal{E}(\psi_0, \dot{\psi}_0) \ll 1 \Rightarrow$ (*) admits global-in-time
smooth evolution $\psi(t)$

$$\mathcal{E}(\psi, \partial_t \psi) = \frac{1}{2} \int_{\mathbb{R}^2} |\partial_t \psi|^2_g + |\nabla \psi|^2_g \, dx \quad \text{that disperses}$$

Equivariant wave maps

- We study the dynamics (long-time behavior) of large solutions, but only in a special case:

$$\psi(t, r\cos\theta, r\sin\theta) = (\sin u(t, r)\cos k\theta, \sin u(t, r)\sin k\theta, \cos u(t, r))$$

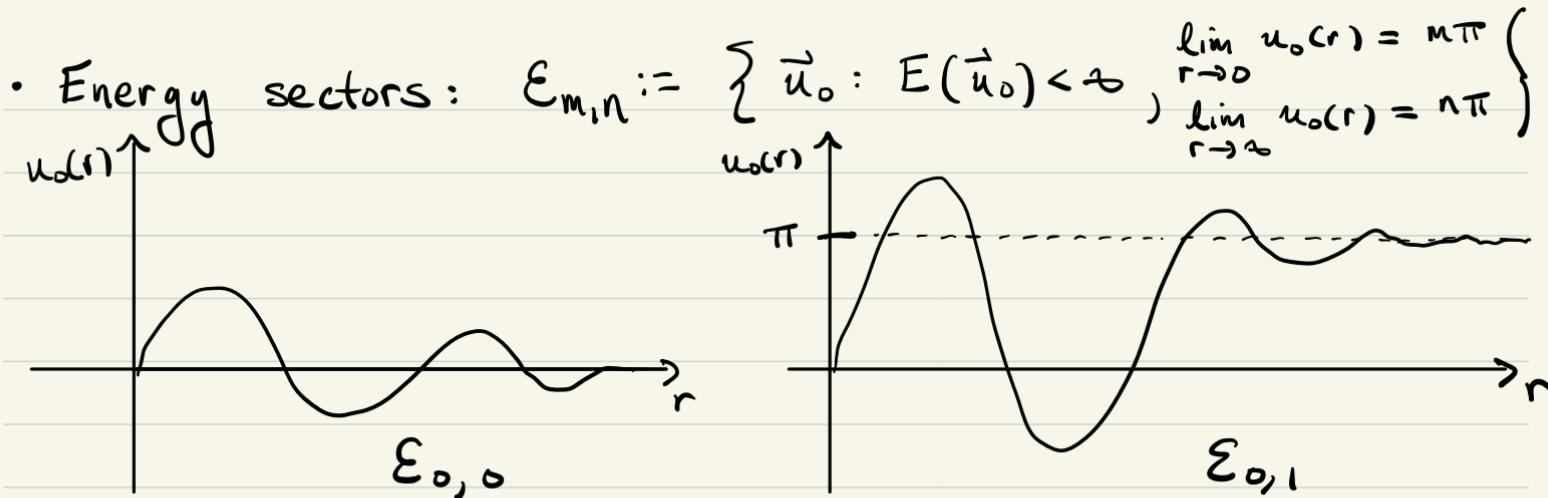


- Here, $k \in \{1, 2, 3, \dots\}$ is called the equivariance class

- Reduced Euler-Lagrange eqn: $u = u(t, r)$, $k \in \{1, 2, 3, \dots\}$

(NL _{k}) $\boxed{\partial_t^2 u - \Delta u + \frac{k^2}{r^2} \frac{\sin 2u}{2} = 0}$

- Energy: $E(\vec{u}) = \pi \int_0^\infty [(\partial_t u)^2 + (\partial_r u)^2 + \frac{k^2}{r^2} \sin^2 u] r dr$
- Notation: $\vec{u}(t) = (u(t), \partial_t u(t))$
- Scaling: $\lambda > 0$; \vec{u} a solution, then so is
 $\vec{u}_\lambda(t, r) = (u(t/\lambda, r/\lambda), \frac{1}{\lambda} \partial_t u(\frac{t}{\lambda}, \frac{r}{\lambda}))$
- Energy criticality: $E(\vec{u}_\lambda) = E(\vec{u})$



Thm: (Shatah, Tahvildar-Zadeh; Shatah, Struwe 90's)

- $(W^{1,p})$ is locally well-posed in each $\mathcal{E}_{m,n}$, $\forall k$
- If $\vec{u}_0 \in \mathcal{E}_{m,m}$ and $E(\vec{u}_0) \ll 1$ then $\vec{u}(t)$ is global-in-time and **scatters**; i.e., \exists linear wave $\vec{u}_L^*(t)$ s.t.

$$\| \vec{u}(t) - (m\pi + \vec{u}_L^*(t), \partial_t \vec{u}_L^*(t)) \|_{\mathcal{E}} \rightarrow 0 \text{ as } t \rightarrow \infty$$

Harmonic maps

- Stationary solutions (solitons): $Q(r) := 2 \arctan(r^k)$

* on $E_{m,m} \rightsquigarrow m\pi$

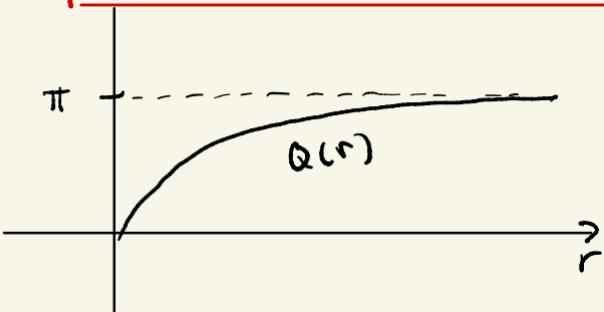
* critical pts of potential energy

* on $E_{m,m+1} \rightsquigarrow m\pi + Q_\lambda(r)$

* on $E_{m,m-1} \rightsquigarrow m\pi - Q_\lambda(r)$

Q = polar angle of deg = k Harmonic map.

- $Q_\lambda(r) := Q(r/\lambda)$, $\lambda > 0$ \leftarrow 1-parameter family, for each k



* key role in dynamics of large solutions

Comments

1. $Q(r) = 2\arctan(r^k)$ is an example of a **topological soliton**.

- Q is polar angle of deg = k **Harmonic map**

$$\mathbb{C}_2 \ni z \mapsto z^k \in \mathbb{C}_2 \quad (\text{stereographic projection})$$

- other examples:

1d - kinks in classical scalar field models

2d - Ginzburg - Landau Vortices ; Harmonic maps

3d - Magnetic Monopoles ; Skyrmions

4d - Yang Mills Instantons

- math physics: particle-like objects (position, momentum, mass, energy)
 - w/ discrete top. invariant.

$$2. (\text{WM}_u) : \partial_t^2 u - \Delta u + \frac{k^2}{r^2} \frac{\sin 2u}{2} = 0$$

is an example of a nonlinear dispersive PDE that is energy critical.

ex. (NLW) $\partial_t^2 u - \Delta u - |u|^{\frac{4}{d-2}} u = 0, u = u(t, x)$
 $x \in \mathbb{R}^d$

(NLS) $i \partial_t u + \Delta u + |u|^{\frac{4}{d-2}} u = 0$

- good candidates to understand dynamics of large solutions since conserved energy gives meaningful notion of "size"

Main questions

Obs: (WM_k) admits Hamiltonian formulation:

$$\vec{u} = (u, \partial_t u) \text{ solves}$$

$$(WM_k) \Leftrightarrow \partial_t \vec{u} = J \circ DE(\vec{u})$$

Question: Describe the asymptotic dynamics of all solutions. ω -limit sets? phase portrait?

* Why is this not totally hopeless? Dispersion

Soliton Resolution Conjecture

Loosely: Every solution to "such" dispersive PDEs asymptotically resolves into:

- a superposition of decoupled solitons (coherent traveling waves) plus a radiative term (e.g. a linear wave)

- * Conjecture inspired by
1. numerical simulations (Zabusky-Kruskal)
 2. theory of completely integrable systems
 3. bubbling theory in parabolic setting
(e.g. harmonic map flow)

Theorem (Soliton Resolution; Jendrej-L. '21)

Let $\vec{u}(t)$ be any finite energy wave map, $k \in \{1, 2, 3, \dots\}$

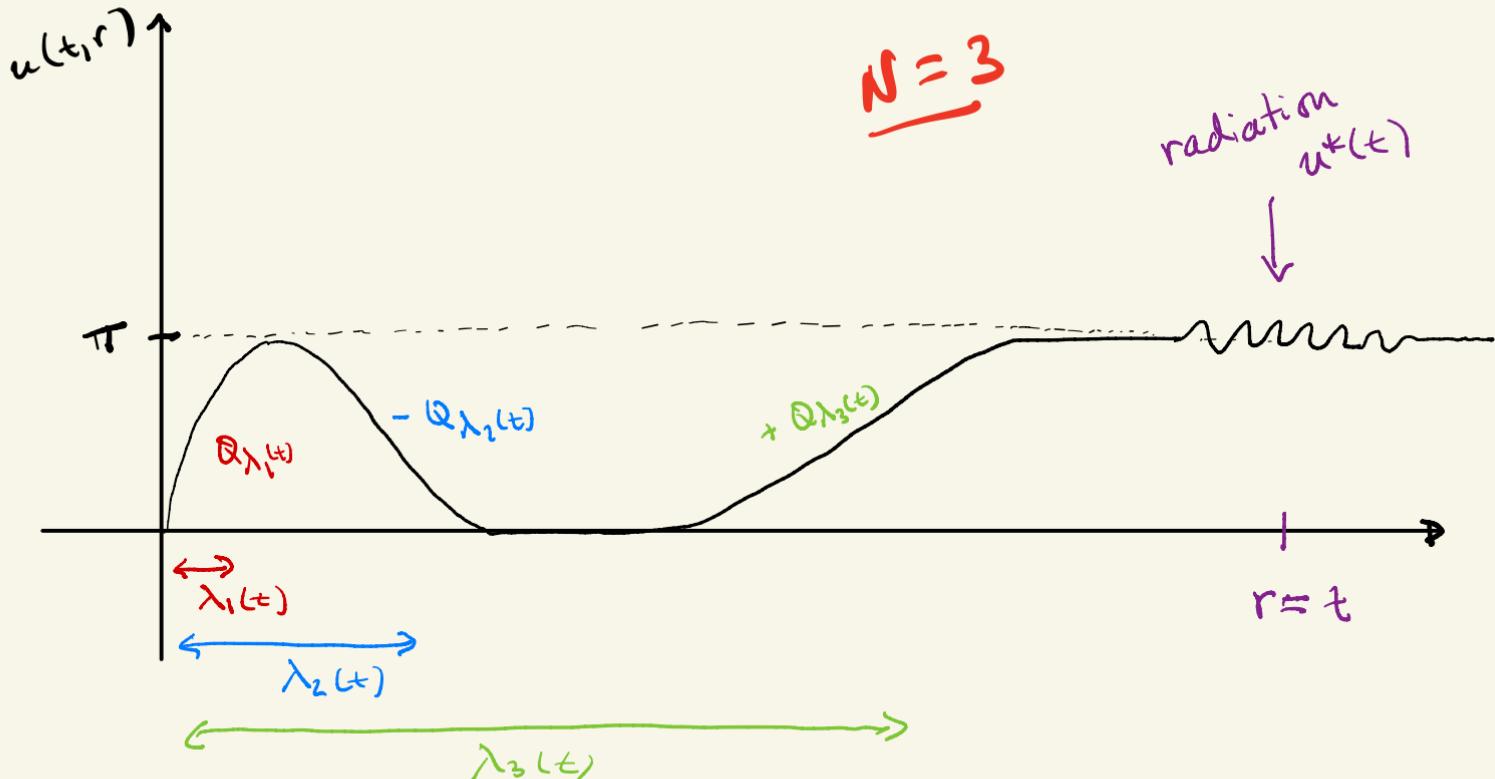
1. (Blow-up; $T_+ < \infty$) $\exists m, l \in \mathbb{Z}, N \geq 1, \vec{z} \in \mathbb{R}^{N-1}, \vec{1}^N$, scales
 $0 < \lambda_1(t) \ll \lambda_2(t) \ll \dots \ll \lambda_N(t) \ll T_+ - t,$
radiation $\vec{u}_0^* \in \mathcal{E}_{0, l}$ st.

$$\vec{u}(t) = m\pi + \sum_{j=1}^N z_j (\vec{Q}_{\lambda_j(t)} - \pi) + \vec{u}_0^* + o_{\mathcal{E}}(1) \text{ as } t \rightarrow T_+$$

2. (Global sol'n; $T_+ = \infty$) $\exists m \in \mathbb{Z}, N \geq 0, \vec{z} \in \mathbb{R}^{N-1}, \vec{1}^N$, scales
 $0 < \lambda_1(t) \ll \lambda_2(t) \ll \dots \ll \lambda_N(t) \ll t,$ and a
linear wave $\vec{u}_L^*(t) \in \mathcal{E}_{0, 0}$ st.

$$\vec{u}(t) = m\pi + \sum_{j=1}^N z_j (\vec{Q}_{\lambda_j(t)} - \pi) + \vec{u}_L^*(t) + o_{\mathcal{E}}(1) \text{ as } t \rightarrow \infty$$

Schematic picture



Existence of Multi-bubbles ?

- Depends on equivariance class $k \in \{1, 2, 3, \dots\}$
- $k \geq 2$: Jendrej '16 ; Jendrej - L. '18 - '20
constructed + studied "2-bubble" solutions ($N=2$)
(these are global-in-time)
- $k=1$: No solutions w/ $N > 1$ known to exist. (Why?)
Rodriguez '18 (no 2-bubbles)
- NLW : . known w/ $N=2$ in $\dim d=6$ (Jendrej '16)
. $\dim 3, 4, 5$: not known to exist
- $k=1, 2, 3, \dots$: Blow-up solutions w/ $N=1$ bubble
Krieger - Schlag - Tataru ; Rodnianski - Sterbenz ; Raphaël - Rodnianski
- Blow-up w/ $N \geq 2$ bubbles? unknown.

Timeline of related results

1. (2010) Duyckaerts, Kenig, Merle: proved soliton resolution for (NLW) in $\dim D = 3$, radial, along a **sequence of times** $t_n \rightarrow T_+$
 - 1st result treating possibility of many solitons
 - ideas here crucial to our proof.
 - ~ (2016) D-Jia-K-M - NLW \rightarrow non-radial
2. (2012) Duyckaerts, Kenig, Merle: full soliton resolution Conjecture for critical NLW, radial, $D = 3$
$$\partial_t^2 u - \Delta u = u^5$$
 - * 1st result of this type for any egn that is **not completely integrable**
 - * method of ENERGY CHANNELS

3. (2018) Jendrej - L.: Classified all equivariant wave maps w/ $E \leq 2E(Q)$, $k \geq 2$.
1st result of this type in region of phase space where existence of multi-solitons was confirmed (by Jendrej '16).

* Modulation analysis: size of soliton-soliton interaction is crucially used.

4. (2019) Duyckaerts, Kenig, Merle: full resolution for radial NLW in **ODD** space dimensions $D \geq 5$

5. (2021) · Duyckaerts, Kenig, Martel, Merle: soliton resolution
for equivariant wave maps w/ $k=1$
• method of energy channels
• also treats NLW, $D=4$

6. (2021) · our contribution: different method of proof

- no-return analysis - size of inter-soliton interaction is crucial.
 - unified approach: can handle all equivariance classes $k \geq 1$

7. (2022) Colliot, Duyckaerts, Kenig, Merle: NLW in $D=6$

No elastic collisions

Def: a sol'n $\vec{u}(t)$ is a pure multi-bubble in forward time if $\vec{u}^* \equiv 0$ (no radiation); ie

$$u(t) = m\pi + \sum_{j=1}^N v_j Q_{\lambda_j(t)} + o_\varepsilon(1) \text{ as } t \rightarrow \infty$$

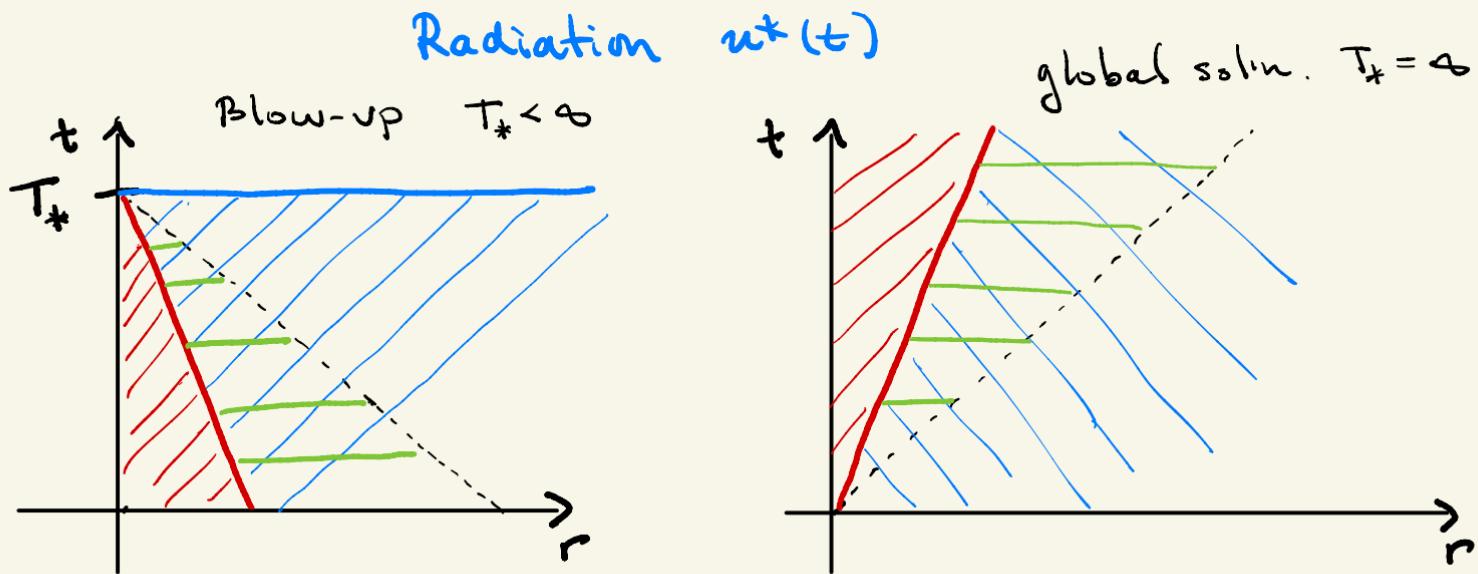
Cor: (cor of pf of Thm) \nexists any sol'n $\vec{u}(t)$ that is a pure N-bubble in both forward and backward time if $N > 1$.
+ any "collision" emits radiation.

Thm (Jendrej '16; Jendrej-L. '18-20) $k \geq 2$, $\exists!$ pure 2-bubble $\vec{u}_{(2)}(t)$ in forward time. And, $\vec{u}_{(2)}(t)$ scatters freely as $t \rightarrow -\infty$ (totally inelastic collision).

* contrast w/ completely integrable eqns. (KdV, sine-Gordon)

Outline of proof

- 1) Identify radiation $u^*(t)$ continuously in time
 - Christodoulou, Shatah, Tahvildar-Zadeh - 90's
 - Duyckaerts, Kenig, Merle, Côte, Kenig, L. Schlag
- 2) Show resolution holds along at least one sequence of times $t_n \rightarrow T_*$
 - Côte, Kenig, L., Schlag '12, Côte '14, Jia-Kenig '15
 - * use fundamental technique of Duyckaerts, Kenig, Merle
 - NLW ~ '10
 - method of profile decompositions (Bahouri - Gérard '98)
(concentration compactness)
- 3) Show resolution holds continuously in time
 - our contribution



Shatah, Tahvildar-Zadeh : $E(\vec{u}(t); \text{---}) \rightarrow 0$ as $t \rightarrow T_*$

- Convergence in continuous time in Blue region
 $\lim_{t \rightarrow T_*} E(\vec{u}(t); r \text{ in Blue}) = E(\vec{u}^*)$

Sequential Decomposition

- Multi-bubble configuration: $\vec{z} \in \mathbb{Z}-1, \mathbb{N}^N$, $\vec{\lambda} \in (0, \infty)^N$

$$\vec{Q}(m, \vec{z}, \vec{\lambda}) := \left(m\pi + \sum_{j=1}^N v_j (Q_{\lambda_j} - \pi), \quad 0 \right)$$

- distance to multi-bubble:

$$d(t) := \inf_{\vec{z}, \vec{\lambda}} \left(\| \vec{u}(t) - \vec{u}^*(t) - \vec{Q}(m, \vec{z}, \vec{\lambda}) \|_{\varepsilon}^2 + \sum_{j=1}^N \left(\frac{\lambda_j}{\lambda_{j+1}} \right)^k \right)^{\frac{1}{2}}$$

$(\lambda_{N+1} = t)$

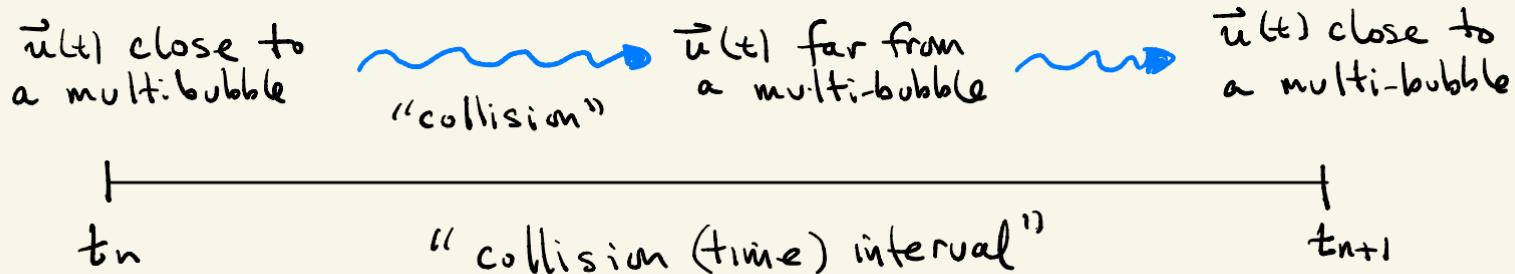
Theorem: (Côte '14 $k=1$, Jia-Kenig '15 $k=2$) \exists seq. of times $t_n \rightarrow T_*$ st.

$$d(t_n) \rightarrow 0 \text{ as } n \rightarrow \infty$$

Ideas for Proof of Step 3.

- Goal: Show $d(t) \rightarrow 0$ as $t \rightarrow T_*$

* we need to rule out following scenario:



* we need a "no-return" lemma

- inspired by Nakanishi-Schlag, Krieger-Nakanishi-Schlag
Duyckaerts-Merle: single, linearly unstable soliton

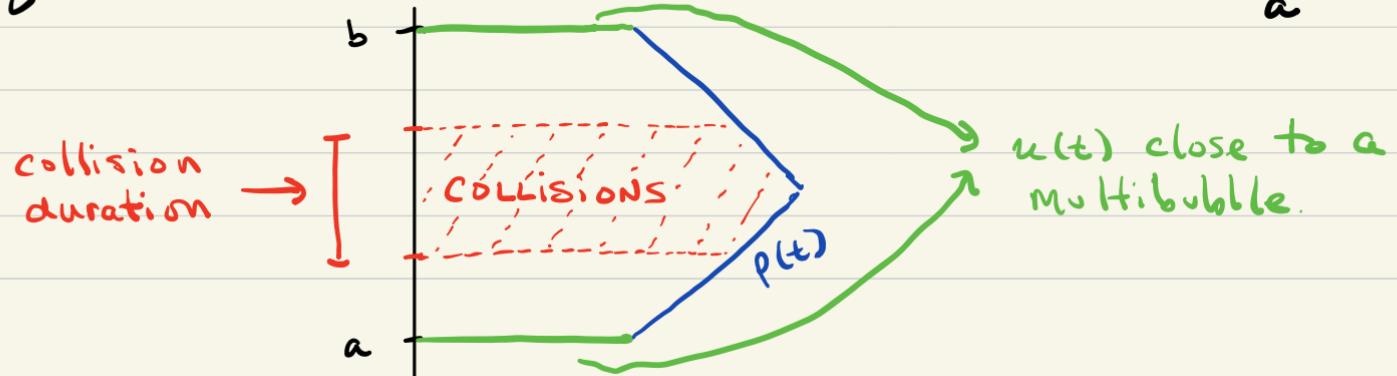
KEY IDEA: No linear instability. use multi-soliton interactions
(Jendrej-L. '18)

Virial identity (as Lyapunov functional)

$$\frac{d}{dt} \left\langle \partial_t u \mid r \partial_r u \chi_{\rho(t)} \right\rangle_{L^2} = - \int_0^r |\partial_t u(t)|^2 r dr + \text{Error}(\bar{u}, \rho)$$

- integrate btwn times $t=a, t=b$

$$\int_a^b \int_0^r |\partial_t u(t)|^2 r dr dt \lesssim \rho(a) d(a) + \rho(b) d(b) + \int_a^b (\text{Error}) dt$$



Expect: $\int_a^b \int_0^{p(t)} |\partial_t u(t)|^2 r dr dt \gtrsim \text{collision duration}$

* need "Compactness Lemma"

Delicate issue: collision duration \gtrsim spatial scale $\rho(a), \rho(b)??$

INTERIOR vs. EXTERIOR BUBBLES:

- $K \in \{1, 2, \dots, N\}$, $[a, b] \subset [T_0, T_K]$, $0 < \varepsilon < \eta$

Definition 1: We say $[a, b]$ is a collision interval with $N-K$ exterior bubbles if:

- $d(a) \leq \varepsilon$, $d(b) \leq \varepsilon$ and $\exists c \in [a, b]$ w/ $d(c) > \eta$
- \exists curve $r = p_K(t)$ such that in region $r \geq p_K(t)$
 $\vec{u}(t)$ is ε -close to
 $N-K$ bubbles + radiation.

Def 2 : Let K be the smallest number such that there exists $\eta > 0$, a sequence $\epsilon_n \rightarrow 0$, and a sequence of collision intervals $[a_n, b_n]$ w/ parameters (ϵ_n, η, K)

Lemma 1. $\exists C = C(\bar{u}) > 0$ and $\varepsilon \geq 0$ st. if $[a, b]$ is a collision interval w/ parameters (ε, η, K) then

$$b - a \geq C \max(\mu_k(a), \mu_k(b))$$

where μ_k = scale of K -th bubble

Pf: if not then K not smallest possible



Ejection Lemma on "modulation intervals"

- Error in virial ineq satisfies $\text{Error}(t) \lesssim d(t)$

"Lemma" (Ejection) if $d(t)$ starts to grow at t_0 ,
then $\forall t^* \geq t_0$,

$$\int_{t_0}^{t^*} d(t) dt \leq C_0 d(t^*)^{\frac{2}{k}} M_k(t^*) \quad (k \geq 2)$$

$$\int_{t_0}^{t^*} d(t) dt \leq C_0 d(t^*)^2 (-\log d(t^*))^{\frac{1}{k}} M_k(t^*) \quad k=1$$

and $\frac{1}{2} M_k(t^*) \leq M_k(t) \leq 2 M_k(t^*)$
 $\forall t \in [t_0, t^*]$

- $M_k(t)$ = scale of largest "interior" bubble.

Modulation

- If $\vec{u}(t) - \vec{u}^*(t)$ is close to an N-bubble
 \Rightarrow dynamics dominated by non-linear inter-soliton interactions
 - * key idea: collision analysis of multi-solitons to control growth of $d(t)$
 - * obtain differential inequalities on scales $\lambda_j(t)$

$$\lambda_j''(t) \approx -z_j z_{j+1} \omega^2 \frac{\lambda_j(t)^{k-1}}{\lambda_{j+1}(t)^k} + z_j z_{j-1} \omega^2 \frac{\lambda_{j-1}(t)^{k-1}}{\lambda_j(t)^k}$$

$$d(t) \simeq \sum_{j \in I} \left(\frac{\lambda_j(t)}{\lambda_{j+1}(t)} \right)^{k/2} \quad I := \{ j : z_j z_{j+1} = -1 \}$$

& in practice challenging to justify this system.

* method of refined modulation parameters; Jendrej-L. '18
inspired by Raphaël-Szeftel '11 (different context)

- localized "virial" correction to $\lambda_j'(t)$

$$\beta_j(t) = \lambda_j'(t) + \text{"small correction"}$$

can be thought
of as "removing
oscillations"