Introduction 00000 Control of crowd dynamic: 0000000000000

Conclusions & Perspectives

# Mathematical models and methods for crowd dynamics control

# Giacomo Albi



University of Verona 10th October 2023

SITE Research Center online seminar

Giacomo Albi

		Kinetic approximation	
00000	000000000000	000000000000000000000000000000000000000	00

## Overview

#### 1 Introduction

# 2 Control of crowd dynamicsMicroscopic model

#### 3 Kinetic approximation

- Asymptotic stochastic particle method
- Numerical experiments

#### 4 Conclusions & Perspectives

# Control problems for multi-agent systems

Classical examples in socio-economy, biology and robotics are given by forcing animals/humans/robots to follow a specific path or to reach a desired zone...

Introduction •0000 ontrol of crowd dynamic

Conclusions & Perspectives

### Control problems for multi-agent systems

Classical examples in socio-economy, biology and robotics are given by forcing animals/humans/robots to follow a specific path or to reach a desired zone...



... but also influencing consumers towards a given good, persuading voters during political elections, influencing opinions over social networks

Introduction •0000 ontrol of crowd dynamic

Conclusions & Perspectives

### Control problems for multi-agent systems

Classical **examples** in socio-economy, biology and robotics are given by forcing animals/humans/robots to follow a specific path or to reach a desired zone...



... but also influencing consumers towards a given good, persuading voters during political elections, influencing opinions over social networks



or reconstructing their interactions from their observations.

Introduction 00000 Control of crowd dynamics

Conclusions & Perspectives

### Self-organization via attraction & repulsion dynamics

Introduction	
00000	00

ontrol of crowd dynamics 000000000000 Ginetic approximation

Conclusions & Perspectives

# Multi-scale framework



Introduction	Control of crowd dynamics	Kinetic approximation	Conclusions & Perspectives
00000	000000000000	000000000000000000000000000000000000000	00

 Multiscale modelling of interacting agent systems : from dynamical systems to kinetic equations and fluid dynamic models.

M. Bongini, M. Fornasier, D. Kalise '14; G. A., M. Herty, L. Pareschi, M. Zanella '14; A. Borzí, M. Caponigro, S. Wongkaew '14; B. Piccoli, M. Caponigro, M. Fornasier, E. Trelat, '15; M. Bongini, M. Fornasier, F.Rossi, F. Solombrino '15, G.A. M. Bongini, E. Cristiani, D. Kalise '15; M. Bongini, M. Hansen, M. Fornasier, M. Maggioni, '17

P. L. Lions., J.M. Lasry '07; M.Y. Huang, R.P. Malhame, P.E. Caines '06; D. Gomes, R. Souza '10; A. Bensoussan, J. Frehse, and P. Yam '13; R. Carmona, F. Delarue '13; M. Burger, M. Di Francesco, P. A. Markowich, M.-T. Wolfram '14; B. P. Cardaliaguet, S. Hadikhanloo, '17; M. Burger et al' '20, S. Osher et al. '21

Introduction		Kinetic approximation	
00000	000000000000	000000000000000000000000000000000000000	00

- Multiscale modelling of interacting agent systems : from dynamical systems to kinetic equations and fluid dynamic models.
- Numerical methods for optimal control of such large systems have to cope with

M. Bongini, M. Fornasier, D. Kalise '14; G. A., M. Herty, L. Pareschi, M. Zanella '14; A. Borzí, M. Caponigro, S. Wongkaew '14; B. Piccoli, M. Caponigro, M. Fornasier, E. Trelat, '15; M. Bongini, M. Fornasier, F.Rossi, F. Solombrino '15, G.A. M. Bongini, E. Cristiani, D. Kalise '15; M. Bongini, M. Hansen, M. Fornasier, M. Maggioni, '17

P. L. Lions., J.M. Lasry '07; M.Y. Huang, R.P. Malhame, P.E. Caines '06; D. Gomes, R. Souza '10; A. Bensoussan, J. Frehse, and P. Yam '13; R. Carmona, F. Delarue '13; M. Burger, M. Di Francesco, P. A. Markowich, M.-T. Wolfram '14; B. P. Cardaliaguet, S. Hadikhanloo, '17; M. Burger et al.' '20, S. Osher et al. '21

Introduction		Kinetic approximation	
00000	000000000000	000000000000000000000000000000000000000	00

- Multiscale modelling of interacting agent systems : from dynamical systems to kinetic equations and fluid dynamic models.
- Numerical methods for optimal control of such large systems have to cope with
  - **Non-locality** and **non-linearity** of collective dynamics;
  - **2** Non-smooth and non-convex optimization;
  - **3** Curse of dimensionality.

M. Bongini, M. Fornasier, D. Kalise '14; G. A., M. Herty, L. Pareschi, M. Zanella '14; A. Borzí, M. Caponigro, S. Wongkaew '14; B. Piccoli, M. Caponigro, M. Fornasier, E. Trelat, '15; M. Bongini, M. Fornasier, F.Rossi, F. Solombrino '15, G.A. M. Bongini, E. Cristiani, D. Kalise '15; M. Bongini, M. Hansen, M. Fornasier, M. Maggioni, '17

P. L. Lions., J.M. Lasry '07; M.Y. Huang, R.P. Malhame, P.E. Caines '06; D. Gomes, R. Souza '10; A. Bensoussan, J. Frehse, and P. Yam '13; R. Carmona, F. Delarue '13; M. Burger, M. Di Francesco, P. A. Markowich, M.-T. Wolfram '14; B. P. Cardaliaguet, S. Hadikhanloo, '17; M. Burger et al.' '20, S. Osher et al. '21

Introduction		Kinetic approximation	
00000	000000000000	000000000000000000000000000000000000000	00

- Multiscale modelling of interacting agent systems : from dynamical systems to kinetic equations and fluid dynamic models.
- Numerical methods for optimal control of such large systems have to cope with
  - **Non-locality** and **non-linearity** of collective dynamics;
  - **2** Non-smooth and non-convex optimization;
  - **3** Curse of dimensionality.

Hence we want to reduce the problem complexity introducing a mean-field description.  $^{\rm 1}$ 

M. Bongini, M. Fornasier, D. Kalise '14; G. A., M. Herty, L. Pareschi, M. Zanella '14; A. Borzí, M. Caponigro, S. Wongkaew '14; B. Piccoli, M. Caponigro, M. Fornasier, E. Trelat, '15; M. Bongini, M. Fornasier, F.Rossi, F. Solombrino '15, G.A. M. Bongini, E. Cristiani, D. Kalise '15; M. Bongini, M. Hansen, M. Fornasier, M. Maggioni, '17

P. L. Lions., J.M. Lasry '07; M.Y. Huang, R.P. Malhame, P.E. Caines '06; D. Gomes, R. Souza '10; A. Bensoussan, J. Frehse, and P. Yam '13; R. Carmona, F. Delarue '13; M. Burger, M. Di Francesco, P. A. Markowich, M.-T. Wolfram '14; B. P. Cardaliaguet, S. Hadikhanloo, '17; M. Burger et al' '20, S. Osher et al. '21

#### Optimization across scales

- Multiscale modelling of interacting agent systems : from dynamical systems to kinetic equations and fluid dynamic models.
- Numerical methods for optimal control of such large systems have to cope with
  - **Non-locality** and **non-linearity** of collective dynamics;
  - 2 Non-smooth and non-convex optimization;
  - **3** Curse of dimensionality.

Hence we want to reduce the problem complexity introducing a mean-field description.  $^{\rm 1}$ 

■ In this direction large interest has been shown to the so called mean-field optimal control and mean-field games in several mathematical fields (game theory, stochastic processes, analysis of PDEs, optimal control...), and in many applications ( consensus or milling enforcement, evacuation problems, optimal taxation, network formation, vaccination strategies ...).<sup>2</sup>



M. Bongini, M. Fornasier, D. Kalise '14; G. A., M. Herty, L. Pareschi, M. Zanella '14; A. Borzí, M. Caponigro, S. Wongkaew '14; B. Piccoli, M. Caponigro, M. Fornasier, E. Trelat, '15; M. Bongini, M. Fornasier, F.Rossi, F. Solombrino '15, G.A. M. Bongini, E. Cristiani, D. Kalise '15; M. Bongini, M. Hansen, M. Fornasier, M. Maggioni, '17

P. L. Lions., J.M. Lasry '07; M.Y. Huang, R.P. Malhame, P.E. Caines '06; D. Gomes, R. Souza '10; A. Bensoussan, J. Frehse, and P. Yam '13; R. Carmona, F. Delarue '13; M. Burger, M. Di Francesco, P. A. Markowich, M.-T. Wolfram '14; B. P. Cardaliaguet, S. Hadikhanloo, '17; M. Burger et al.' '20, S. Osher et al. '21

#### Control of a large crowd via few sparse agents

- We want to enforce a desired behavior via sparse action of the control.
- We consider the case of 'few' microscopic agents (leaders) controlling a continuos density of agents (followers)<sup>3</sup>



3. M. Fornasier, F. Rossi, B. Piccoli, E. Trelat, 14; G.A., L. Pareschi, 14; M. Bongini, M. Fornasier, F. Rossi, F. Solombrino '15; M. Burger, R. Pinneau, A. Roth, C. Totzeck, O. Tse '21.

#### Target

Safely drive a crowd outside an unknown environment  $\Omega$  via minimal intervention.

$$\min\left\{t|t\geq 0, x_i(t)\notin\Omega, i=1,\ldots,N\right\}.$$

 $\blacksquare$  Our goal is to evacuate a crowd of individuals from an environment they don't know under limited visibility  $^4$  .

4. G. A., M. Bongini, E. Cristiani, D. Kalise '15, G.A. E. Cristiani, L. Pareschi, D. Peri '19; G.A., F. Ferrarese, C. Segala '22

- $\blacksquare$  Our goal is to evacuate a crowd of individuals from an environment they don't know under limited visibility  $^4$  .
- We show that invisible sparse strategies (i.e., by means of few, unrecognized agents) influence the crowd effectively;
- We propose a mesoscopic description of this dynamics when the number of pedestrian is large.

<sup>4.</sup> G. A., M. Bongini, E. Cristiani, D. Kalise '15, G.A. E. Cristiani, L. Pareschi, D. Peri '19; G.A., F. Ferrarese, C. Segala '22

- $\blacksquare$  Our goal is to evacuate a crowd of individuals from an environment they don't know under limited visibility  $^4$  .
- We show that invisible sparse strategies (i.e., by means of few, unrecognized agents) influence the crowd effectively;
- We propose a mesoscopic description of this dynamics when the number of pedestrian is large.
- Develop a set of numerical techniques for the synthesis of optimal exit strategies.

<sup>4.</sup> G. A., M. Bongini, E. Cristiani, D. Kalise '15, G.A. E. Cristiani, L. Pareschi, D. Peri '19; G.A., F. Ferrarese, C. Segala '22

- $\blacksquare$  Our goal is to evacuate a crowd of individuals from an environment they don't know under limited visibility  $^4$  .
- We show that invisible sparse strategies (i.e., by means of few, unrecognized agents) influence the crowd effectively;
- We propose a mesoscopic description of this dynamics when the number of pedestrian is large.
- Develop a set of numerical techniques for the synthesis of optimal exit strategies.



4. G. A., M. Bongini, E. Cristiani, D. Kalise '15, G.A. E. Cristiani, L. Pareschi, D. Peri '19; G.A., F. Ferrarese, C. Segala '22

000	000	

00	000	00		

The non-informed agents of the crowd are called followers, and are subject to a second-order dynamics with

■ an isotropic metric short-range repulsion force;

- an isotropic metric short-range repulsion force;
- a relaxation term toward a given characteristic speed (1 m/s in normal conditions);

- an isotropic metric short-range repulsion force;
- a relaxation term toward a given characteristic speed (1 m/s in normal conditions);
- if the exit is not visible
  - an isotropic topological alignment force, i.e., given  $\mathcal{N} \in \mathbb{N}$ , the *i*-th agent aligns with those inside  $\mathcal{B}_{\mathcal{N}}(x_i, t)$ , the smallest ball at time *t* containing at least  $\mathcal{N}$  agents.

- an isotropic metric short-range repulsion force;
- a relaxation term toward a given characteristic speed (1 m/s in normal conditions);
- if the exit is not visible
  - an isotropic topological alignment force, i.e., given  $\mathcal{N} \in \mathbb{N}$ , the *i*-th agent aligns with those inside  $\mathcal{B}_{\mathcal{N}}(x_i, t)$ , the smallest ball at time *t* containing at least  $\mathcal{N}$  agents.
  - **a** random walk, in order to explore the unknown environment;

- an isotropic metric short-range repulsion force;
- a relaxation term toward a given characteristic speed (1 m/s in normal conditions);
- if the exit is not visible
  - an isotropic topological alignment force, i.e., given  $\mathcal{N} \in \mathbb{N}$ , the *i*-th agent aligns with those inside  $\mathcal{B}_{\mathcal{N}}(x_i, t)$ , the smallest ball at time *t* containing at least  $\mathcal{N}$  agents.
  - **a** random walk, in order to explore the unknown environment;
- if the exit is visible
  - a sharp motion toward the exit.

	Control of crowd dynamics	Kinetic approximation	
00000	000000000000	000000000000000000000000000000000000000	00

The informed agents of the crowd are called leaders. They are less than followers  $(N^{\rm L} \ll N^{\rm F})$  and evolve according to a first-order dynamics with

■ an isotropic metric short-range repulsion force;

	Control of crowd dynamics	Kinetic approximation	
00000	00000000000	000000000000000000000000000000000000000	00

The informed agents of the crowd are called leaders. They are less than followers  $(N^{\rm L} \ll N^{\rm F})$  and evolve according to a first-order dynamics with

- an isotropic metric short-range repulsion force;
- an optimal force which is the result of an offline optimization procedure, minimizing some cost functional.

The informed agents of the crowd are called leaders. They are less than followers  $(N^{\rm L} \ll N^{\rm F})$  and evolve according to a first-order dynamics with

- an isotropic metric short-range repulsion force;
- an optimal force which is the result of an offline optimization procedure, minimizing some cost functional.

First vs. second-order model : for followers a second-order model is necessary since they must perceive velocities to align. The bigger inertia is compensated by stronger forces w.r.t. the ones in leaders' dynamics.

The informed agents of the crowd are called leaders. They are less than followers  $(N^{\rm L} \ll N^{\rm F})$  and evolve according to a first-order dynamics with

- an isotropic metric short-range repulsion force;
- an optimal force which is the result of an offline optimization procedure, minimizing some cost functional.

First vs. second-order model : for followers a second-order model is necessary since they must perceive velocities to align. The bigger inertia is compensated by stronger forces w.r.t. the ones in leaders' dynamics.

Metric vs. topological interaction : alignment is topological since empirical evidence suggests that only close neighbors play a role.

	Control of crowd dynamics	Kinetic approximation	Conclusions & Perspectives		
00000	00000000000	000000000000000000000000000000000000000	00		
Microscopic model					

For 
$$i = 1, \ldots, N^{\mathrm{F}}$$
 and  $k = 1, \ldots, N^{\mathrm{L}}$ 

$$\begin{cases} \dot{x}_i &= v_i, \\ \dot{v}_i &= A(x_i, v_i) + \sum_{j=1}^{N^{\mathrm{F}}} H(x_i, v_i, x_j, v_j) + \sum_{\ell=1}^{N^{\mathrm{L}}} H(x_i, v_i, y_\ell, w_\ell) \\ \dot{y}_k &= w_k = \sum_{j=1}^{N^{\mathrm{F}}} R_{\zeta, r}(y_k, x_j) + \sum_{\ell=1}^{N^{\mathrm{L}}} R_{\zeta, r}(y_k, y_\ell) + \frac{u_k}{k}, \end{cases}$$

	Control of crowd dynamics	Kinetic approximation	Conclusions & Perspectives			
00000	00000000000	000000000000000000000000000000000000000	00			
Microscopic model						

For 
$$i = 1, ..., N^{\mathrm{F}}$$
 and  $k = 1, ..., N^{\mathrm{L}}$   

$$\begin{cases}
\dot{x}_{i} = v_{i}, \\
\dot{v}_{i} = A(x_{i}, v_{i}) + \sum_{j=1}^{N^{\mathrm{F}}} H(x_{i}, v_{i}, x_{j}, v_{j}) + \sum_{\ell=1}^{N^{\mathrm{L}}} H(x_{i}, v_{i}, y_{\ell}, w_{\ell}) \\
\dot{y}_{k} = w_{k} = \sum_{j=1}^{N^{\mathrm{F}}} R_{\zeta, r}(y_{k}, x_{j}) + \sum_{\ell=1}^{N^{\mathrm{L}}} R_{\zeta, r}(y_{k}, y_{\ell}) + \frac{u_{k}}{k},
\end{cases}$$

• Let  $\theta(x)$  represents the characteristic function of the target's visibility zone and

$$A(x,v) := (1-\theta(x))C^{z}(z-v) + \theta(x)C^{D}\left(\frac{x^{D}-x}{|x^{D}-x|} - v\right) + C^{\vee}(\alpha^{2}-|v|^{2})v,$$

where  $z \sim \mathcal{N}(0, \sigma^2)$ ,  $\alpha$  is the characteristic speed.

	Control of crowd dynamics	Kinetic approximation	Conclusions & Perspectives
00000	00000000000	000000000000000000000000000000000000000	00
Microscopic mo	del		

For 
$$i = 1, ..., N^{\mathrm{F}}$$
 and  $k = 1, ..., N^{\mathrm{L}}$   

$$\begin{cases}
\dot{x}_{i} = v_{i}, \\
\dot{v}_{i} = A(x_{i}, v_{i}) + \sum_{j=1}^{N^{\mathrm{F}}} H(x_{i}, v_{i}, x_{j}, v_{j}) + \sum_{\ell=1}^{N^{\mathrm{L}}} H(x_{i}, v_{i}, y_{\ell}, w_{\ell}) \\
\dot{y}_{k} = w_{k} = \sum_{j=1}^{N^{\mathrm{F}}} R_{\zeta, r}(y_{k}, x_{j}) + \sum_{\ell=1}^{N^{\mathrm{L}}} R_{\zeta, r}(y_{k}, y_{\ell}) + \mathbf{u}_{k},
\end{cases}$$

 $M^{L}$ 

• Let  $\theta(x)$  represents the characteristic function of the target's visibility zone and

$$A(x,v) := (1-\theta(x))C^{z}(z-v) + \theta(x)C^{D}\left(\frac{x^{D}-x}{|x^{D}-x|} - v\right) + C^{\vee}(\alpha^{2}-|v|^{2})v,$$

where  $z \sim \mathcal{N}(0, \sigma^2)$ ,  $\alpha$  is the characteristic speed. where

$$H(x, v, y, w) := -C_{\mathrm{F}}^{\mathrm{R}} R_{\gamma, r}(x, y) + (1 - \theta(x)) \frac{C^{\mathrm{A}}}{\mathcal{N}^{*}} (w - v) \chi_{B_{\mathcal{N}}(x, t)}(y)$$

$$R_{\gamma,r}(x,y) = \begin{cases} e^{-|y-x|^{\gamma}} \frac{y-x}{|y-x|} & \text{if } y \in B_r(x) \setminus \{x\}, \\ 0 & \text{otherwise }; \end{cases}$$

	Control of crowd dynamics	Kinetic approximation	Conclusions & Perspectives
00000	00000000000	000000000000000000000000000000000000000	00
Microscopic mo	del		

For 
$$i = 1, ..., N^{\mathrm{F}}$$
 and  $k = 1, ..., N^{\mathrm{L}}$   

$$\begin{cases}
\dot{x}_{i} = v_{i}, \\
\dot{v}_{i} = A(x_{i}, v_{i}) + \sum_{j=1}^{N^{\mathrm{F}}} H(x_{i}, v_{i}, x_{j}, v_{j}) + \sum_{\ell=1}^{N^{\mathrm{L}}} H(x_{i}, v_{i}, y_{\ell}, w_{\ell}) \\
\dot{y}_{k} = w_{k} = \sum_{j=1}^{N^{\mathrm{F}}} R_{\zeta, r}(y_{k}, x_{j}) + \sum_{\ell=1}^{N^{\mathrm{L}}} R_{\zeta, r}(y_{k}, y_{\ell}) + u_{k},
\end{cases}$$

 $M^{L}$ 

• Let  $\theta(x)$  represents the characteristic function of the target's visibility zone and

$$A(x,v) := (1 - \theta(x))C^{\mathsf{Z}}(z - v) + \theta(x)C^{\mathsf{D}}\left(\frac{x^{\mathsf{D}} - x}{|x^{\mathsf{D}} - x|} - v\right) + C^{\mathsf{v}}(\alpha^{2} - |v|^{2})v,$$

where  $z \sim \mathcal{N}(0, \sigma^2)$ ,  $\alpha$  is the characteristic speed. where

$$H(x, v, y, w) := -C_{\mathrm{F}}^{\mathrm{R}} R_{\gamma, r}(x, y) + (1 - \theta(x)) \frac{C^{\mathrm{A}}}{\mathcal{N}^{*}} (w - v) \chi_{B_{\mathcal{N}}(x, t)}(y)$$

$$R_{\gamma,r}(x,y) = \begin{cases} e^{-|y-x|^{\gamma}} \frac{y-x}{|y-x|} & \text{if } y \in B_r(x) \setminus \{x\}, \\ 0 & \text{otherwise }; \end{cases}$$

In the dynamics of  $y_k$ ,  $\zeta \neq \gamma$ .

	Control of crowd dynamics	Kinetic approximation	Conclusions & Perspectives
00000	000000000000	000000000000000000000000000000000000000	00
Microscopic mo	del		

# Dynamics of followers



Followers dynamics without leaders

	Control of crowd dynamics	K
00000	000000000000	
Microscopic m	odel	

Conclusions & Perspectives

# Control strategy : "dumb"

The control  $u_k : [0,T] \to \mathbb{R}^d_k$ ,  $k = 1, \dots, N^L$  as "dumb" strategy :

$$u_k(t) = \left(\frac{x^{\mathrm{D}} - y_k(t)}{|x^{\mathrm{D}} - y_k(t)|} - y_k(t)\right)$$



(Left) Dynamics with "dumb" strategy and (Right) occupancy of the exit's visibility zone

Conclusions & Perspectives

# Control strategy : "dumb"

(Left) Dynamics with

	Control of crowd dynamics	Kinetic approximation	
00000	000000000000	000000000000000000000000000000000000000	00
Microscopic m	odel		

## Control strategy : MPC

The control  $u_k : [0,T] \to \mathbb{R}^d, \quad k = 1, \dots, N^L$ , with  $u_k$  minimizing the functional

$$J(u) = \int_{t}^{t+T_{p}} \left[ C^{\mathrm{F}} \sum_{i=1}^{N^{\mathrm{F}}} \|x_{i} - x^{\mathrm{D}}\|^{2} + C^{\mathrm{L}} \sum_{i=1}^{N^{\mathrm{F}}} \sum_{k=1}^{N^{\mathrm{L}}} \|x_{i} - y_{k}\|^{2} + \nu \sum_{k=1}^{N^{\mathrm{L}}} \|u_{k}\|^{2} \right] d\tau$$

applying Model Predictive Control (MPC) technique.



(Left) MPC scheme and (Right) occupancy of the exit's visibility zone
The control  $u_k : [0,T] \to \mathbb{R}^d_k, \quad k = 1, \dots, N^L, u_k$  minimizes the functional

 $J(u) = \min \{t | t \ge 0, x_i(t) \notin \Omega, i = 1, ..., N\}.$ 

<sup>5.</sup> C. Audet, K.-C. Dang, and D. Orban 2014

The control  $u_k : [0,T] \to \mathbb{R}^d_k, \quad k = 1, \dots, N^L, u_k$  minimizes the functional

 $J(u) = \min \{t | t \ge 0, x_i(t) \notin \Omega, i = 1, ..., N\}.$ 

To minimize such functional we resort on a metaheursitic optimization method, named Modified Compass Search  $^5$ .

leaders' trajectories are piecewise constant;

<sup>5.</sup> C. Audet, K.-C. Dang, and D. Orban 2014

The control  $u_k : [0,T] \to \mathbb{R}^d_k$ ,  $k = 1, \dots, N^L$ ,  $u_k$  minimizes the functional

 $J(u) = \min \{t | t \ge 0, x_i(t) \notin \Omega, i = 1, ..., N\}.$ 

- leaders' trajectories are piecewise constant;
- starting from an initial guess, at each iteration we modify the current best strategy with small random variations;

<sup>5.</sup> C. Audet, K.-C. Dang, and D. Orban 2014

The control  $u_k : [0,T] \to \mathbb{R}^d_k$ ,  $k = 1, \dots, N^L$ ,  $u_k$  minimizes the functional

 $J(u) = \min \{t | t \ge 0, x_i(t) \notin \Omega, i = 1, ..., N\}.$ 

- leaders' trajectories are piecewise constant;
- starting from an initial guess, at each iteration we modify the current best strategy with small random variations;
- we keep the variation if the evaluated cost is smaller than before;

<sup>5.</sup> C. Audet, K.-C. Dang, and D. Orban 2014

The control  $u_k : [0,T] \to \mathbb{R}^d_k$ ,  $k = 1, \dots, N^L$ ,  $u_k$  minimizes the functional

 $J(u) = \min \{t | t \ge 0, x_i(t) \notin \Omega, i = 1, ..., N\}.$ 

- leaders' trajectories are piecewise constant;
- starting from an initial guess, at each iteration we modify the current best strategy with small random variations;
- we keep the variation if the evaluated cost is smaller than before;
- the method generates a sequence converging to a local minimum.

<sup>5.</sup> C. Audet, K.-C. Dang, and D. Orban 2014

Introduction Control of crowd dynamics Kine 00000 00000000000 000 000 Microscopic model

Conclusions & Perspectives

## Clog up effect around exit



(Left) Dynamics with "smart" strategy and (Right) occupancy of the exit's visibility zone

Introduction Control of crowd dynamics 00000 00000000000 Microscopic model Cinetic approximation

Conclusions & Perspectives

## Clog up effect around exit

Dynamics with "smart"

Control of crowd dynamics 0000000000000 Microscopic model

## MPC vs Compass Search



## Kinetic approximation

- When the number of interacting agents  $N^{\rm F}$  is very large  $\Rightarrow$  huge system of ODEs and control problem untreatable
- The idea is to describe the followers-leaders dynamics considering a continuos density function for followers and maintaining leaders at the microscopic level. Thus recovering a mesoscopic+microscopic system<sup>6</sup>.
- Here we follow a kinetic approximation of the crowd dynamics and to approach the mean-field limit <sup>7</sup>.
- This approach allows to develop asymptotic DSMC methods for the efficient simulation of the dynamics <sup>8</sup>.

7. B. Duering, P. Markowich, J.-F. Pietschmann, M.T. Wolfram '09, J. A. Carrillo, M. Fornasier, G. Toscani and F. Vecil, '10, L. Pareschi, G. Toscani '13, G.A., L. Pareschi, M. Zanella '14

8. A.V. Bobylev, K. Nanbu, '00; R.E. Caflisch, L.Pareschi, G. Dimarco '10.

<sup>6.</sup> J. A. Canizo, J. A. Carrillo, J. Rosado '10, J. A. Carrillo, Y. P. Choi, M. Hauray, S. Salem '15

00000	)

Conclusions & Perspectives

## Kinetic approximation



Introduction 00000 ontrol of crowd dynamic 000000000000 Conclusions & Perspectives

## A binary interactions approach

• When a follower (x, v) interacts with another follower  $(\hat{x}, \hat{v})$  or a leader  $(\tilde{x}, \tilde{v})$ , they update their state variables according to

$$(FF) \begin{cases} v^* &= v + \eta^F [\underline{\theta}(x)C^z \xi + S(x,v) + \rho^F H(x,v,\hat{x},\hat{v})] \\ & A(x,v) \\ \hat{v}^* &= \hat{v} + \eta^F \left[ \theta(\hat{x})C^z \xi + S(\hat{x},\hat{v}) + \rho^F H(\hat{x},\hat{v},x,v) \right] \end{cases}$$

<sup>9.</sup> J. Haskovec '13, A. Blanchet, P. Degond '17-18; G.A. F. Ferrarese '23.

Introduction 00000 ontrol of crowd dynamic 000000000000 Conclusions & Perspectives

## A binary interactions approach

When a follower (x, v) interacts with another follower  $(\hat{x}, \hat{v})$  or a leader  $(\tilde{x}, \tilde{v})$ , they update their state variables according to

$$(FF) \begin{cases} v^* = v + \eta^F [\underline{\theta(x)}C^z\xi + S(x,v) + \rho^F H(x,v,\hat{x},\hat{v})] \\ A(x,v) \\ \hat{v}^* = \hat{v} + \eta^F [\theta(\hat{x})C^z\xi + S(\hat{x},\hat{v}) + \rho^F H(\hat{x},\hat{v},x,v)] \end{cases}$$

(FL) 
$$\begin{cases} v^{**} &= v + \eta^L \rho^L H(x, v, \tilde{x}, \tilde{v}) \\ \tilde{v}^{**} &= \tilde{v} \end{cases}$$

<sup>9.</sup> J. Haskovec '13, A. Blanchet, P. Degond '17-18; G.A. F. Ferrarese '23.

Introduction C

ontrol of crowd dynamic 000000000000 Conclusions & Perspectives

### A binary interactions approach

• When a follower (x, v) interacts with another follower  $(\hat{x}, \hat{v})$  or a leader  $(\tilde{x}, \tilde{v})$ , they update their state variables according to

$$(FF) \begin{cases} v^* = v + \eta^F [\underline{\theta(x)}C^z\xi + S(x,v) + \rho^F H(x,v,\hat{x},\hat{v})] \\ \hat{v}^* = \hat{v} + \eta^F [\theta(\hat{x})C^z\xi + S(\hat{x},\hat{v}) + \rho^F H(\hat{x},\hat{v},x,v)] \end{cases}$$

(FL) 
$$\begin{cases} v^{+} = v + \eta^{-} \rho^{-} H(x, v, x, v) \\ \tilde{v}^{**} = \tilde{v} \end{cases}$$

where η<sup>F</sup>, η<sup>L</sup> are the interaction strength and ξ ~ N(0, ς<sup>2</sup>).
We consider the following densities for follower and leaders,

$$f = f(t, x, v)$$
  $g(t, x, v) = \sum_{k=1}^{N^{L}} \delta_{y_{k}(t), w_{k}(t)}(x, v)$ 

• We assume that the total mass of the followers and leaders are such that

$$\rho^F = \int f(x,v) dx dv = N^F$$
 and  $\rho^L = \int g(x,v) dx dv = N^L$ .

<sup>9.</sup> J. Haskovec '13, A. Blanchet, P. Degond '17-18; G.A. F. Ferrarese '23.

Introduction 0

ontrol of crowd dynamic 000000000000  Conclusions & Perspectives

### A binary interactions approach

• When a follower (x, v) interacts with another follower  $(\hat{x}, \hat{v})$  or a leader  $(\tilde{x}, \tilde{v})$ , they update their state variables according to

$$(FF) \begin{cases} v^* = v + \eta^F [\underline{\theta}(x)C^z\xi + S(x,v) + \rho^F H(x,v,\hat{x},\hat{v})] \\ A(x,v) \\ \hat{v}^* = \hat{v} + \eta^F [\theta(\hat{x})C^z\xi + S(\hat{x},\hat{v}) + \rho^F H(\hat{x},\hat{v},x,v)] \end{cases}$$
$$\begin{cases} v^{**} = v + \eta^L \rho^L H(x,v,\tilde{x},\tilde{v}) \end{cases}$$

(FL) 
$$\begin{cases} v^{**} &= v + \eta^L \rho^L H(x, v, \tilde{x}, \tilde{v}) \\ \tilde{v}^{**} &= \tilde{v} \end{cases}$$

where  $\eta^F, \eta^L$  are the interaction strength and  $\xi \sim \mathcal{N}(0, \varsigma^2)$ . • We consider the following densities for follower and leaders,

$$f = f(t, x, v)$$
  $g(t, x, v) = \sum_{k=1}^{N^{L}} \delta_{y_{k}(t), w_{k}(t)}(x, v)$ 

• We assume that the total mass of the followers and leaders are such that

$$\rho^F = \int f(x,v) dx dv = N^F$$
 and  $\rho^L = \int g(x,v) dx dv = N^L$ .

**To simplify the discussion we neglect the topological interaction** in  $H(\cdot)$ .<sup>9</sup> 9. J. Haskovec '13, A. Blanchet, P. Degond '17-18; G.A. F. Ferrarese '23.

### Boltzmann-Povzner dynamics

We assume that f, g satify Boltzmann-Povzner dynamics  $^{10}$  + the ODEs for leaders

$$\begin{cases} \partial_t f + v \cdot \nabla_x f = \lambda^{\mathbf{F}} Q(f, f) + \lambda^{\mathbf{L}} Q(f, g), \\ \dot{y}_k = \int_{\mathbb{R}^{2d}} R_{\zeta, r}(y_k, x) f(x, v) dx \ dv + \sum_{\ell=1}^{N^{\mathbf{L}}} R_{\zeta, r}(y_k, y_\ell) + u_k, \end{cases}$$
(1)

where  $\lambda^{\rm F}$  and  $\lambda^{\rm L}$  are the interaction frequencies and

#### 10. A.K. Povzner 1962

#### Boltzmann-Povzner dynamics

We assume that f, g satify Boltzmann-Povzner dynamics  $^{10}$  + the ODEs for leaders

$$\begin{cases} \partial_t f + v \cdot \nabla_x f = \lambda^{\mathbf{F}} Q(f, f) + \lambda^{\mathbf{L}} Q(f, g), \\ \dot{y}_k = \int_{\mathbb{R}^{2d}} R_{\zeta, r}(y_k, x) f(x, v) dx \ dv + \sum_{\ell=1}^{N^{\mathbf{L}}} R_{\zeta, r}(y_k, y_\ell) + u_k, \end{cases}$$
(1)

where  $\lambda^{\rm F}$  and  $\lambda^{\rm L}$  are the interaction frequencies and

$$\begin{split} &Q(f,f) = \mathbb{E}\left[\int_{\mathbb{R}^{2d}} \left(\frac{1}{J_{\mathrm{FF}}} f(x,v_*) f(\hat{x},\hat{v}_*) - f(x,v) f(\hat{x},\hat{v})\right) d\hat{x} \ d\hat{v}\right], \\ &Q(f,g) = \mathbb{E}\left[\int_{\mathbb{R}^{2d}} \left(\frac{1}{J_{\mathrm{FL}}} f(x,v_{**}) g(\tilde{x},\tilde{v}_*) - f(x,v) g(\tilde{x},\tilde{v})\right) d\tilde{x} \ d\tilde{v}\right]. \end{split}$$

with  $v_*, w_*, v_{**}, w_{**}$  are the pre-interaction velocities and  $\mathbb{E}[\cdot]$  is the expected value w.r.t.  $\xi$ .

#### 10. A.K. Povzner 1962

		K
00000	000000000000	0

#### Theorem : Grazing collision limit

Fix the control u. Let consider the following scaling

$$\eta^F = \eta^L = \varepsilon, \quad \lambda^F = \frac{1}{\varepsilon N^F}, \quad \lambda^L = \frac{1}{\varepsilon N^L}, \quad \varsigma^2 = \frac{\sigma^2}{\varepsilon}$$

and define  $(f^{\varepsilon}, y^{\varepsilon})$  be a solution of (1). Then, as  $\varepsilon \to 0$ ,  $(f^{\varepsilon}, y^{\varepsilon})$  converges pointwise to a solution of the Fokker-Planck-type equation

$$\begin{cases} \partial_t f + v \cdot \nabla_x f = -\nabla_v \cdot ((S + \mathcal{H}[f] + \mathcal{H}[g])f) + \frac{1}{2}\sigma^2 (\theta C^z)^2 \Delta_v f, \\ \dot{y}_k = \int_{\mathbb{R}^{2d}} R_{\zeta,r}(y_k, x) f(x, v) \ dx \ dv + \sum_{\ell=1}^{N^L} R_{\zeta,r}(y_k, y_\ell) + u_k, \quad k = 1, \dots, N^L \end{cases} \end{cases}$$

		ŀ
00000	000000000000	С

#### Theorem : Grazing collision limit

Fix the control u. Let consider the following scaling

$$\eta^F = \eta^L = \varepsilon, \quad \lambda^F = \frac{1}{\varepsilon N^F}, \quad \lambda^L = \frac{1}{\varepsilon N^L}, \quad \varsigma^2 = \frac{\sigma^2}{\varepsilon}$$

and define  $(f^{\varepsilon}, y^{\varepsilon})$  be a solution of (1). Then, as  $\varepsilon \to 0$ ,  $(f^{\varepsilon}, y^{\varepsilon})$  converges pointwise to a solution of the Fokker-Planck-type equation

$$\begin{cases} \partial_t f + v \cdot \nabla_x f = -\nabla_v \cdot \left( (S + \mathcal{H}[f] + \mathcal{H}[g]) f \right) + \frac{1}{2} \sigma^2 (\theta C^z)^2 \Delta_v f, \\ \dot{y}_k = \int_{\mathbb{R}^{2d}} R_{\zeta,r}(y_k, x) f(x, v) \ dx \ dv + \sum_{\ell=1}^{N^L} R_{\zeta,r}(y_k, y_\ell) + u_k, \quad k = 1, \dots, N^L \end{cases}$$

which is the "mean-field limit" of the microscopic model. Where we recall that

Conclusions & Perspectives

#### Theorem : Grazing collision limit

Fix the control u. Let consider the following scaling

$$\eta^F = \eta^L = \varepsilon, \quad \lambda^F = \frac{1}{\varepsilon N^F}, \quad \lambda^L = \frac{1}{\varepsilon N^L}, \quad \varsigma^2 = \frac{\sigma^2}{\varepsilon}$$

and define  $(f^{\varepsilon}, y^{\varepsilon})$  be a solution of (1). Then, as  $\varepsilon \to 0$ ,  $(f^{\varepsilon}, y^{\varepsilon})$  converges pointwise to a solution of the Fokker-Planck-type equation

$$\begin{cases} \partial_t f + v \cdot \nabla_x f = -\nabla_v \cdot \left( (S + \mathcal{H}[f] + \mathcal{H}[g]) f \right) + \frac{1}{2} \sigma^2 (\theta C^z)^2 \Delta_v f, \\ \dot{y}_k = \int_{\mathbb{R}^{2d}} R_{\zeta,r}(y_k, x) f(x, v) \, dx \, dv + \sum_{\ell=1}^{N^L} R_{\zeta,r}(y_k, y_\ell) + u_k, \quad k = 1, \dots, N^L \end{cases}$$

which is the "mean-field limit" of the microscopic model. Where we recall that

$$\begin{split} \mathcal{H}[f](x,v) &= \int_{\mathbb{R}^{2d}} H(x,\hat{x},v,\hat{v}) f(\hat{x},\hat{v}) \ d\hat{x} \ d\hat{v}, \\ S(x,v) &= -\theta(x) C^{\mathsf{z}} v + (1-\theta(x)) C^{\mathsf{D}} \left( \frac{x^{\mathsf{D}}-x}{|x^{\mathsf{D}}-x|} - v \right) + C^{\mathsf{v}} (\alpha^2 - |v|^2) v. \end{split}$$

Conclusions & Perspectives

#### Theorem : Grazing collision limit

Fix the control u. Let consider the following scaling

$$\eta^F = \eta^L = \varepsilon, \quad \lambda^F = \frac{1}{\varepsilon N^F}, \quad \lambda^L = \frac{1}{\varepsilon N^L}, \quad \varsigma^2 = \frac{\sigma^2}{\varepsilon}$$

and define  $(f^{\varepsilon}, y^{\varepsilon})$  be a solution of (1). Then, as  $\varepsilon \to 0$ ,  $(f^{\varepsilon}, y^{\varepsilon})$  converges pointwise to a solution of the Fokker-Planck-type equation

$$\begin{cases} \partial_t f + v \cdot \nabla_x f = -\nabla_v \cdot \left( (S + \mathcal{H}[f] + \mathcal{H}[g]) f \right) + \frac{1}{2} \sigma^2 (\theta C^z)^2 \Delta_v f, \\ \dot{y}_k = \int_{\mathbb{R}^{2d}} R_{\zeta,r}(y_k, x) f(x, v) \, dx \, dv + \sum_{\ell=1}^{N^L} R_{\zeta,r}(y_k, y_\ell) + u_k, \quad k = 1, \dots, N^L \end{cases}$$

which is the "mean-field limit" of the microscopic model. Where we recall that

$$\begin{split} \mathcal{H}[f](x,v) &= \int_{\mathbb{R}^{2d}} H(x,\hat{x},v,\hat{v}) f(\hat{x},\hat{v}) \ d\hat{x} \ d\hat{v}, \\ S(x,v) &= -\theta(x) C^{\mathsf{z}} v + (1-\theta(x)) C^{\mathsf{D}} \left( \frac{x^{\mathsf{D}}-x}{|x^{\mathsf{D}}-x|} - v \right) + C^{\mathsf{v}} (\alpha^2 - |v|^2) v. \end{split}$$

	Control of crowd dynamics	Kinetic approximation	Conclusions & Perspectives
00000	000000000000	000000000000000000000000000000000000000	00

#### Idea of the proof

• We write a weak formulation of (1), therefore given  $\mathcal{T}_{\delta} \supseteq \mathcal{C}_{c}^{\infty}(\mathbb{R}^{d} \times \mathbb{R}^{d}; \mathbb{R})$  a suitable space function, for any test function  $\varphi \in \mathcal{T}_{\delta}$ ,

$$\lambda \left\langle Q(f,f),\varphi \right\rangle = \lambda \mathbb{E}\left(\int_{\mathbb{R}^{4d}} \left(\varphi(x,v^*) - \varphi(x,v)\right) f(x,v) f(\hat{x},\hat{v}) \ dx dv d\hat{x} d\hat{v}\right)$$

• We derive the Taylor expansion around  $v^* - v$  up to the second order of the interaction operator in the weak formulation the operator.

$$\begin{split} \lambda \left\langle Q(f,f),\varphi \right\rangle &= \lambda \mathbb{E}\left( \int_{\mathbb{R}^{4d}} \nabla_{v} \varphi(x,v) \cdot (v^{*}-v) f(x,v) f(\hat{x},\hat{v}) \ dx dv d\hat{x} d\hat{v} \right) \\ &+ \frac{\lambda}{2} \mathbb{E}(K^{2}[f,\varphi]) + \lambda R_{\varphi}, \end{split}$$

Using the grazing collision scaling, and taking the limit for  $\varepsilon \to 0$  for any  $\varphi \in \mathcal{T}_{\delta}$  we obtain the mean-field equation.

# (Asymptotic) DSMC methods

We can simulate the binary interaction dynamic with small values of  $\varepsilon$  in order to approximate the mesoscopic model<sup>11</sup>.

- We use a **splitting method**, for transport and collisional part of the scaled Boltzmann equation.
- We rewrite the collision step as

$$\partial_t f = \frac{1}{\varepsilon} \left[ Q_{\varepsilon}^{F,+}(f,f) - \rho^F f \right] + \frac{1}{\varepsilon} \left[ Q_{\varepsilon}^{L,+}(f,g) - \rho^L f \right]$$

where  $Q_{\varepsilon}^{+}$  is the **gain part** of the collision operator.

• We use a Monte-Carlo method to perform the evolution of the density f.

<sup>11.</sup> G.A., L. Pareschi, 2013

00000	000000000000
Asymptotic s	ochastic particle method

## Nanbu-like algorithm

- Let us consider a time interval [0, T] and discretize it in  $n_{tot}$  intervals of size  $\Delta t$ . Denote with  $f^n(x, v)$  the approximation of  $f(x, v, n\Delta t)$ .
- The *forward Euler* scheme writes

$$f^{n+1} = \left(1 - \frac{(\rho^F + \rho^L)\Delta t}{\varepsilon}\right) f^n + \frac{\rho^F \Delta t}{\varepsilon} \frac{Q_{\varepsilon}^{+,F}(f^n, f^n)}{\rho^F} + \frac{\rho^L \Delta t}{\varepsilon} \frac{Q_{\varepsilon}^{+,L}(f^n, g^n)}{\rho^L}$$

Under the restriction that  $\Delta t \leq \varepsilon/(\rho^F + \rho^L)$  then  $f^{n+1}$  is a convex combination of densities.

## Nanbu-like algorithm

- Let us consider a time interval [0, T] and discretize it in  $n_{tot}$  intervals of size  $\Delta t$ . Denote with  $f^n(x, v)$  the approximation of  $f(x, v, n\Delta t)$ .
- The *forward Euler* scheme writes

$$f^{n+1} = \left(1 - \frac{(\rho^F + \rho^L)\Delta t}{\varepsilon}\right) f^n + \frac{\rho^F \Delta t}{\varepsilon} \frac{Q_{\varepsilon}^{+,F}(f^n, f^n)}{\rho^F} + \frac{\rho^L \Delta t}{\varepsilon} \frac{Q_{\varepsilon}^{+,L}(f^n, g^n)}{\rho^L}$$

Under the restriction that  $\Delta t \leq \varepsilon/(\rho^F + \rho^L)$  then  $f^{n+1}$  is a convex combination of densities.

The algorithms cost is linear,  $O(N_s)$ , w.r.t. to the number of sample particles  $N_s$  used to reconstruct the density  $f^n$ .

 Introduction
 Control of crowd dynamics

 00000
 000000000000

 Asymptotic stochastic particle method

Conclusions & Perspectives

## Convergence of the Binary Interaction Algorithms



Left : Relative error,  $\|f^{\varepsilon} - f^{0}\|_{L^{2}_{r}}$ , after  $\varepsilon = \sqrt{1/N_{s}}$  the error is not improving, due to the statistical fluctuations of the method.

Right : CPU time for  $\varepsilon = 0.01$  time step  $\Delta t = \varepsilon$ , T = 1.

In case of **topological interaction** one has to additionally consider the cost of approximate the secontaining the closest agents. This step can be performed via construction of a grid, or relying on a k-NN search on a tree. In this case the computation cost becomes  $O(N_s \log(N_s))^{12}$ 

#### 12. G.A. F. Ferrarese '23.

	Control of crowd dyn	
00000	0000000000000	
Numerical exp		

Conclusions & Perspectives

## Uncontrolled case



Uncontrolled evolution of followers' density.

Introduction	Control of crowd dynamics	
00000	000000000000	
Numerical expe		

## Uncontrolled case

		Kinetic approximation	
00000	000000000000	000000000000000000000000000000000000000	00

## Dumb strategy

Control 
$$u: [0,T] \to \mathbb{R}^d$$
 as a "dumb" strategy  $: u_k(t) = \left(\frac{x^{\mathbb{D}} - y_k(t)}{|x^{\mathbb{D}} - y_k(t)|} - y_k(t)\right);$ 



Evolution of followers' density under microscopic leaders' fixed strategy.

		Kinetic approximation	Conclusions & Pers
00000	000000000000	000000000000000000000000000000000000000	00
Numerical exp			

## Dumb strategy

	Control of crowd dynamics	Kinetic approximation	Conclusions & Perspectives
00000	000000000000	000000000000000000000000000000000000000	00
	riments		

#### Smart strategy

Control  $u:[0,T] \to \mathbb{R}^d$  as a "smart" strategy such that

$$\min_{u} J(u) = \int_{0}^{T} \left( \frac{P(t) + \nu \sum_{k=1}^{N^{L}} |u_{k}(t)|^{2}}{dt} \right) dt,$$

where P(t) represents the number of followers outside exit at time t.



Evolution of followers' density under microscopic leaders' best strategy.

		Kinetic approximation	
00000	000000000000	000000000000000000000000000000000000000	00

## Dumb strategy

Introduction Control of crowd dynamics 00000 00000000000 Numerical experiments Conclusions & Perspectives

#### Evacuation with multiple exits

Denote by  $\Omega \equiv \mathbb{R}^d$  the walking area, identify the different exits by  $x_e^{\mathsf{D}} \in \Omega$  with  $e = 1, \ldots, N_e$  and assume that the target is completely visible from any point belonging to  $\Sigma_e$ .



Introduction Control of crowd dynamics 00000 00000000000 Numerical experiments Conclusions & Perspectives

Evacuation with multiple exits

Figure - Uncontrolled case.

ntroduction Control of crowd dynamics 00000 00000000000 Conclusions & Perspectives

Numerical experiments

#### Evacuation with multiple exits : 'dumb' strategy

Figure - Go to target strategy.

$$u_{k}^{\text{self/opt}}(t) = \beta \frac{x_{k}^{\text{D}} - y_{k}(t)}{\|x_{k}^{\text{D}}(t) - y_{k}(t)\|} + (1 - \beta)(m_{F}(t) - y_{k}(t)),$$

where  $x_k^{\text{D}}$  is the target position at time t,  $m_F(t)$  is the followers centre of mass. We assume  $\beta = 1$  for selfish leaders and  $\beta \in [0, 1]$  for optimized leaders.

	Control of crowd dynamics
00000	000000000000
Numerical exp	eriments

## Control framework

#### Evacuation time

$$\mathcal{J}(\mathbf{x}, \mathbf{y}, \mathbf{u}^{\text{opt}}) = \{t > 0 \mid (x_i(t), y_j(t)) \notin \Omega \}.$$

Total mass with multiple exits

$$\mathcal{J}(\mathbf{x}, \mathbf{y}, \mathbf{u}^{\mathrm{opt}}) = \int_{\mathbb{R}^d} \int_{\Omega \setminus \cup_e \Sigma_e} (f^{N^{\mathrm{F}}}(T, x, v) + g^{N^{\mathrm{L}}}(T, x, v)) dx dv.$$

Optimal mass splitting over multiple exits

$$\mathcal{J}(\mathbf{x}, \mathbf{y}, \mathbf{u}^{\text{opt}}) = \sum_{e=1}^{N_e} \left| \mathcal{M}_e^F(T) - \mathcal{M}_e^{\text{des}} \right|^2.$$

where  $\mathcal{M}_e^{\text{des}}$  is the desired mass to be reached in the visibility area  $\Sigma_e$  and  $\mathcal{M}_e^F(T)$  is the total mass of followers and leaders who reached exit  $x_e^{\text{D}}$  up to final time T.

Kinetic approximation 

Numerical experiments

## Optimized CS strategy : minimum time evacuation

Figure - Optimized compass search strategy.

$$\mathbf{u}^{\text{opt}}(t) = \arg\min_{\mathbf{u}^{\text{opt}}} \mathcal{J}(\mathbf{x}, \mathbf{y}, \mathbf{u}^{\text{opt}}) = \{t > 0 \mid (x_i(t), y_j(t)) \notin \Omega \}.$$
		Kinetic approximation	
00000	000000000000	000000000000000000000000000000000000000	00
Numerical experiments			

### Minimum time evacuation

	uncontrolled	go to target	CS (50 it)
Evacuation time	>1000	> 1000	768
Total mass evacuated	46%	98%	100%

Table – Performance of leader strategies.



Figure – Decrease of the value functional as a function of the compass search iteration.

Conclusions & Perspectives

### Mass maximization in presence of obstacles

- Consider two rooms, one inside the other.
- Assume the internal room to be bounded by three walls while the external room by four walls.



- Assume that walls can be perceived only by physical contact (evacuation in case of null visibility).
- At initial time leaders and followers are uniformly distributed in the inner room.

Conclusions & Perspectives

### Mass maximization : microscopic case



Figure - Go to target strategy.

Conclusions & Perspectives

### Mass maximization : microscopic case



Figure - Optimized compass search strategy.

Conclusions & Perspectives

### Mass maximization : microscopic case



Figure - Mass maximization in presence of obstacles : evacuated mass.

	go-to-target	CS (3 it)
Evacuation time	>3000	2718
Evacuated mass	34%	100%

Table – Performance of leader strategies.

Conclusions & Perspectives

Mass maximization : mesoscopic case

Figure – Go to target strategy.

Conclusions & Perspectives

Mass maximization : mesoscopic case

Figure - Optimized compass search strategy.

#### Numerical experiments

### Mass maximization : mesoscopic case

	go-to-target	CS (5 it)
Evacuation time	>3000	2380
Evacuated mass	78.8%	100%

Table – Performance of leader strategies.



Figure – Mass maximization in presence of obstacles : occupancy of the visibility area  $\Sigma_1$  (left) and  $\Sigma_2$  (right) as a function of time for go-to-target and optimal compass search strategies.

## Mass splitting in presence of obstacles

#### Microscopic case

	go-to-target	CS (50 it)
Evacuation time	>3000	2704
Evacuated mass from $x_1^{\tau}$	0%	45%
Evacuated mass from $x_2^{\overline{\tau}}$	34%	55%
Total mass evacuated	34%	100%

Table – Performance of leader strategies.

#### Mesoscopic case

	go-to-target	CS (50 it)
Evacuation time	> 3000	>3000
Evacuated mass from $x_1^{\tau}$	0%	49%
Evacuated mass from $x_2^{\overline{\tau}}$	78.8%	50%
Total mass evacuated	78.8%	99%

Table – Performance of leader strategies.

Conclusions & Perspectives

Mass splitting in presence of obstacles

Figure - Optimized compass search strategy.

### Conclusions and perspectives

### Conclusions :

- We have shown how it is possible to influence the whole crowd by introducing few informed agents and by optimizing their strategies.
- We have considered different scenarios to create more complex situations.

#### Some perspectives

- Optimal position and number of leaders to control a certain configuration of followers.
- Cooperative strategies to optimally distribute the followers among different exists.
- Improve optimization of leaders trajectories (e.g. CBO, PSO) with theoretical guarantees of convergence to global minimum.

### Conclusions and perspectives

### Conclusions :

- We have shown how it is possible to influence the whole crowd by introducing few informed agents and by optimizing their strategies.
- We have considered different scenarios to create more complex situations.

#### Some perspectives

- Optimal position and number of leaders to control a certain configuration of followers.
- Cooperative strategies to optimally distribute the followers among different exists.
- Improve optimization of leaders trajectories (e.g. CBO, PSO) with theoretical guarantees of convergence to global minimum.

# Thank you!

### Conclusions and perspectives

#### References

- G. A., M. Bongini, E. Cristiani, D. Kalise, Invisible Control of Self-Organizing Agents Leaving Unknown Environments, SIAM Journal of App. Math., 2016.
- G. A., E. Cristiani, L. Pareschi, D. Peri, Mathematical models and methods for crowd dynamics control, Crowd Dynamics, Vol. 2, 2020.
- G. A., F. Ferrarese, C. Segala, Optimized leaders strategies for crowd evacuation in unknown environments with multiple exits, Crowd Dynamics, Vol. 3, 2021.
- G. Albi, S. Almi, M. Morandotti, F. Solombrino, Mean-field selective optimal control via transient leadership, *Applied Mathematics & Optimization*, 2022.

Projects :

- Data-driven discovery and control of multi-scale interacting artificial agent systems. MUR PRIN-PNRR 2022
- **2** Efficient numerical schemes and optimal control methods for time-dependent partial differential equations. MUR PRIN 2022

### Macroscopic limits

- The derivation of a macroscopic model is a very difficult task. The main problem to pass to macroscopic equations is that there is no classical Maxwellian equilibrium.
- There are derivations of macroscopic limits for flocking and swarming dynamics assuming a mono-kinetic ansatz and that the fluctuations are negligible, i.e.  $f(x, v, t) = \rho(x, t)\delta(v - V(x, t))$  and T(x, t) = 0, for example for the Cucker-Smale model in this case we have <sup>13</sup>

$$\begin{split} &\partial_t \rho + \nabla_x \cdot (\rho V) = 0, \\ &\rho \partial_t V + \nabla_x \cdot (\rho V \otimes V) = \int_{\mathbb{R}^d} H(|x - y|) (V(t, y) - V(t, x)) \rho(t, y) \rho(t, x) \, dy. \end{split}$$

For the self-alignment particles of Vicsek type, with fixed speed, a phase transition study in presence of noise has been proposed by (P. Degond, A. Frouvelle J.-G. Liu '12), investigating the hyperbolicity of the macroscopic system.

<sup>13.</sup> J.A. Carrillo, M. Fornasier, J. Rosado, G. Toscani '10

### Modified compass search algorithm

- Choose an initial leaders strategy given by the go to target one.
- Focus on the optimized leaders strategy  $u^* = u^{\text{opt},(0)}$ .
- For  $j = 1, \ldots, j_{MAX}$ 
  - Select  $\pi(t)$  points on each leaders trajectory,  $t \in S_M = \{t_1, t_2, \ldots, t_M\}$  and define

$$\pi^*(t_m) = \pi(t_m) + B_m, \quad m = 1, \dots, M$$

where  $B_m \in Unif([-1, 1]^d)$  is a random perturbation.

Set

$$u^{\text{opt},(j)}(t) = \frac{\pi^*(t_{m+1}) - \pi^*(t_m)}{\|\pi^*(t_{m+1}) - \pi^*(t_m)\|}, \quad t \in [t_m, t_{m+1}].$$

• Compute the functional  $\mathcal{J}(\mathbf{x}, \mathbf{u}^{\mathrm{opt}, (j)})$ .

If  $\mathcal{J}(\mathbf{x}, \mathbf{u}^{\mathrm{opt}, (j)}) \leq \mathcal{J}(\mathbf{x}, \mathbf{u}^*)$  then set  $u^* \leftarrow u^{\mathrm{opt}, (j)}$  and  $\mathcal{J}(\mathbf{x}, \mathbf{u}^*) \leftarrow \mathcal{J}(\mathbf{x}, \mathbf{u}^{\mathrm{opt}, (j)})$ .